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# DISCRETE STRUCTURE

## Introduction

Discrete Mathematics deals with discrete objects. Discrete objects are those objects that can be counted. For example:- Trees, integers, houses, etc.

## Logic

Logic is a language for reasoning. Since logic can help us to reason the mathematical models. It needs some rules associated with logic. So that we can apply those rules for mathematical reasoning. Applications may be for designing circuits, programming, program verification, etc.

## Propositions and Propositional Calculus

→ Proposition is a fundamental concept of logic.

→ Proposition is a declarative sentence that is either true or false, but not both.

e.g.  $2+2=5$  (false) ;  $7-1=6$  (true) ; Kathmandu is capital city of Nepal (true) are some of the examples of proposition.

$x > 5$  ; come here ;  $3+4$  are some examples which are not propositions.

→ Propositions are denoted by using small letters like  $p, q, r, s, \dots$ . The truth value of proposition is denoted by  $T$  for true proposition and  $F$  for false proposition.

→ The logic that deals with proposition is called propositional logic or propositional calculus.

## Logical Operators / Connectives

→ Logical operators are used to connect mathematical statements having one or more propositions by combining the propositions.

→ The combinational propositional is called compound proposition.

→ The truth table is used to get the relationship between truth values of propositions.

### 1. Negation (NOT)

Gives a proposition  $p$ , negation operator ( $\neg$ ) is used to get negation of  $p$ , denoted by  $\neg p$  called "not  $p$ ".

Eg:-  $p =$  I love birds.  
 $\neg p =$  I don't love birds.

| $p$ | $\neg p$ |
|-----|----------|
| T   | F        |
| F   | T        |

### 2. Conjunction (AND)

Gives two propositions  $p$  and  $q$ , the proposition " $p \wedge q$ " denoted by  $p \wedge q$  is the proposition that is true whenever  $p$  and  $q$  are true, false otherwise.

Eg:-  $p =$  "Ram is intelligent"

$q =$  "Ram is diligent"

$p \wedge q =$  Ram is intelligent and diligent.

| P | q | $p \wedge q$ |
|---|---|--------------|
| T | T | T            |
| T | F | F            |
| F | T | F            |
| F | F | F            |

### 3. Disjunction (OR):

Given two propositions  $p$  and  $q$ , the proposition " $p$  OR  $q$ " denoted by " $p \vee q$ " is the proposition that is false whenever both the proposition  $p$  and  $q$  are false, true otherwise.

Eg:-  $p$  = "Ram is intelligent"

$q$  = "Ram is deligent"

$p \vee q$  = "Ram is intelligent or deligent"

| P | q | $p \vee q$ |
|---|---|------------|
| T | T | T          |
| T | F | T          |
| F | T | T          |
| F | F | F          |

### # Construct a truth table

1.  $\neg p \wedge (p \vee \neg q)$

| P | q | $\neg p$ | $\neg q$ | $(p \vee \neg q)$ | $\neg p \wedge (p \vee \neg q)$ |
|---|---|----------|----------|-------------------|---------------------------------|
| T | T | F        | F        | T                 | F                               |
| T | F | F        | T        | T                 | F                               |
| F | T | T        | F        | F                 | F                               |
| F | F | T        | T        | T                 | T                               |

11.  $(p \wedge q) \vee (\neg q \wedge r)$

| P | q | r | $\neg q$ | $p \wedge q$ | $\neg q \wedge r$ | $(p \wedge q) \vee (\neg q \wedge r)$ |
|---|---|---|----------|--------------|-------------------|---------------------------------------|
| T | T | T | F        | T            | F                 | T                                     |
| T | T | F | F        | T            | F                 | T                                     |
| T | F | T | T        | F            | T                 | T                                     |
| T | F | F | T        | F            | F                 | F                                     |
| F | T | T | F        | F            | F                 | F                                     |
| F | T | F | F        | F            | F                 | F                                     |
| F | F | T | T        | F            | T                 | T                                     |
| F | F | F | T        | F            | F                 | F                                     |

#### 4. Exclusive Or (XOR)

Given two propositions  $p$  and  $q$ , the proposition exclusive or of  $p$  and  $q$  denoted by  $P \oplus q$  is the proposition that is true whenever only one of the propositions  $p$  and  $q$  is true, false otherwise.

Eg:-  $p$  = "Ram drinks coffee in the morning"

$q$  = "Ram drinks tea in the morning"

$p \oplus q$  = "Ram drinks tea or coffee in the morning"

| P | q | $P \oplus q$ |
|---|---|--------------|
| T | T | F            |
| T | F | T            |
| F | T | T            |
| F | F | F            |

## 5. Implication

Given two propositional  $p$  and  $q$ , the proposition implication  $p \rightarrow q$ , is the proposition that is false when  $p$  is true and  $q$  is false, true otherwise. Here  $p$  is called "hypothesis" or "antecedent" or "premise" and  $q$  is called "conclusive" or "consequence".

Different terminologies to express  $p \rightarrow q$  are like:-

1. "if  $p$  then  $q$ "
2. " $q$  is consequence of  $p$ "
3. " $p$  is sufficient of  $q$ "
4. " $q$  if  $p$ "
5. " $q$  is necessary for  $p$ "
6. " $q$  follows from  $p$ "
7. "if  $p$ ,  $q$ "
8. " $p$  implies  $q$ "
9. " $p$  only if  $q$ "
10. " $q$  whenever  $p$ "
11. " $q$  provided  $p$ "

| $P$ | $q$ | $P \rightarrow q$ |
|-----|-----|-------------------|
| T   | T   | T                 |
| T   | F   | F                 |
| F   | T   | T                 |
| F   | F   | T                 |

## Contrapositive, Inverse and Converse

Some of related implication formed from  $p \rightarrow q$  are:-

Converse:  $q \rightarrow p$

Inverse:  $\neg p \rightarrow \neg q$

Contrapositive:  $\neg q \rightarrow \neg p$

$P =$  "today is saturday"

$q =$  "it is not today"

implication  $=$  if today is saturday, it is hot.

Converse  $:-$  It is hot today only if today is saturday.

Inverse  $:-$  If today is not saturday, it is not hot.

Contrapositive  $:-$  If it is not hot today, it is not saturday.

# Is contrapositive same as  $p \rightarrow q$ ? Verify.

| $P$ | $q$ | $P \rightarrow q$ | $\neg P$ | $\neg q$ | $\neg q \rightarrow \neg P$ |
|-----|-----|-------------------|----------|----------|-----------------------------|
| T   | T   | T                 | F        | F        | T                           |
| T   | F   | F                 | F        | T        | F                           |
| F   | T   | T                 | T        | F        | T                           |
| F   | F   | T                 | T        | T        | T                           |

$$P \rightarrow q = \neg q \rightarrow \neg P$$

Q. Let  $p, q$  and  $r$  be the positions.

$P =$  "You have the flu"

$q =$  "You ~~have~~ miss the final examination"

$r =$  "You pass the course".

Express each of the proposition as an English sentence and construct the truth table

①  $p \rightarrow q$

If you have flu, you miss final examination.

(ii)  $q \rightarrow \neg r$

If you miss the final exam, you will not pass the course.

(iii)  $(p \rightarrow \neg r) \vee (q \rightarrow \neg r)$

If you have the flu, you will not pass the course or if you miss final exam, you will not pass the course.

| P | q | r | $\neg r$ | $p \rightarrow \neg r$ | $q \rightarrow \neg r$ | $p \rightarrow q$ | $(p \rightarrow \neg r) \vee (q \rightarrow \neg r)$ |
|---|---|---|----------|------------------------|------------------------|-------------------|--|
| T | T | T | F        | F                      | F                      | T                 | F  |
| T | T | F | T        | T                      | T                      | T                 | T  |
| T | F | T | F        | F                      | T                      | F                 | T  |
| T | F | F | T        | T                      | T                      | F                 | T  |
| F | T | T | F        | T                      | F                      | T                 | T  |
| F | T | F | T        | T                      | T                      | T                 | T  |
| F | F | T | F        | T                      | T                      | T                 | T  |
| F | F | F | T        | T                      | T                      | T                 | T  |

Note:-

To translate english sentence to the proposition symbolic form, follows these steps:-

1. Restate the given sentence into building block sentence.
2. Give the symbols to each sentence and substitute the symbol using connectives:-

Q. If it is snowing then I will go to the beach.

$p \rightarrow$  "It is snowing"       $q =$  "I will go to beach"

$\Rightarrow p \rightarrow q$



Q. You can access the internet from campus, if you are a computer science major or you are not the freshman.

→

P = You can access the internet from campus.

q = You are a computer science major

r = You are the freshman

$$\Rightarrow (q \vee \neg r) \rightarrow P$$

# Construct the truth table:

$$((p \leftrightarrow q) \oplus (\neg p \rightarrow q)) \vee (q \rightarrow \neg r) \quad (*)$$

| P | q | r | $\neg p$ | $\neg r$ | $p \leftrightarrow q$ | $\neg p \rightarrow q$ | $q \rightarrow \neg r$ | (*) |
|---|---|---|----------|----------|-----------------------|------------------------|------------------------|-----|
| T | T | T | F        | F        | T                     | T                      | F                      | T   |
| T | T | F | F        | T        | T                     | T                      | F                      | T   |
| T | F | T | F        | F        | F                     | T                      | T                      | T   |
| T | F | F | F        | T        | F                     | T                      | T                      | T   |
| F | T | T | T        | F        | F                     | T                      | F                      | T   |
| F | T | F | T        | T        | F                     | T                      | F                      | T   |
| F | F | T | T        | F        | T                     | F                      | T                      | T   |
| F | F | F | T        | T        | T                     | F                      | T                      | T   |

1. Prepare the given sentence into propositional logic sentence.  
2. Give the symbol to each sentence and connective.

3. If it is compound then it will be in the form.

4. If it is compound then it will be in the form.

$$\Rightarrow p \rightarrow q$$

## 6. Biconditional

Given propositions  $p$  and  $q$ , the biconditional  $p \leftrightarrow q$  is a proposition that is true when  $p$  &  $q$  have same truth values. Alternatively  $p \leftrightarrow q$  is true whenever both  $p \rightarrow q$  and  $q \rightarrow p$  are true. Some of the technologies used for biconditional are:-

- ① "p if and only if q"
- ② "if p then q and conversely"
- ③ "p is necessary and sufficient for q".

Eg:-  $p = \text{"today is sunday"}$   
 $q = \text{"it is hot today"}$

$p \leftrightarrow q$  : today is sunday if and only if it is hot day.

| $p$ | $q$ | $p \rightarrow q$ | $q \rightarrow p$ | $p \leftrightarrow q$ |
|-----|-----|-------------------|-------------------|-----------------------|
| T   | T   | T                 | T                 | T                     |
| T   | F   | F                 | T                 | F                     |
| F   | T   | T                 | F                 | F                     |
| F   | F   | T                 | T                 | T                     |

# Let p, q and r be the proposition with truth value T, F, T respectively. Evaluate the following:

- i)  $\neg r \vee \neg(p \vee q)$
- ii)  $\neg(p \vee q) \wedge (\neg r) \vee q$

D)  $\neg r \vee \neg(p \vee q)$

| P | q | r | $\neg r$ | $p \vee q$ | $\neg(p \vee q)$ | $\neg r \vee \neg(p \vee q)$ |
|---|---|---|----------|------------|------------------|------------------------------|
| T | F | T | F        | F          | T                | F                            |

(ii)  $\neg(p \vee q) \wedge (\neg r) \vee q$

| P | q | r | $\neg r$ | $p \vee q$ | $\neg(p \vee q)$ | $(\neg r) \vee q$ | $\neg(p \vee q) \wedge (\neg r) \vee q$ |
|---|---|---|----------|------------|------------------|-------------------|---|
| T | F | T | F        | F          | T                | F                 | F                                       |

### Tautology and Contradiction

A compound proposition that is always true, no matter what the truth values of the atomic propositions that contain it is called tautology.

For example:-

$p \vee \neg p$  is always true verify

| P | $\neg P$ | $P \vee \neg P$ |
|---|----------|-----------------|
| T | F        | T               |
| T | F        | T               |
| F | T        | T               |
| F | T        | T               |

A compound proposition that is always false is called contradiction. For eg:-  $p \wedge \neg p$ .

|   |          |                   |
|---|----------|-------------------|
| P | $\neg p$ | $p \wedge \neg p$ |
| T | F        | F                 |
| F | T        | F                 |

A compound proposition that is neither a tautology nor a contradiction is called contingency.

Logical Equivalences:

The compound propositions  $p$  and  $q$  are logically equivalent denoted by  $p \leftrightarrow q$  or  $p \equiv q$ , if proposition  $p \leftrightarrow q$  is tautology.

Some important logical equivalences:-

- 1.  $p \wedge T \leftrightarrow p$  Identity law
- 2.  $p \vee F \leftrightarrow p$  "
- 3.  $p \wedge F \leftrightarrow F$  Domination Law
- 4.  $p \vee T \leftrightarrow T$  "
- 5.  $p \wedge p \leftrightarrow p$  Idempotent law
- 6.  $p \vee p \leftrightarrow p$  "
- 7.  $\neg(\neg p) \leftrightarrow p$  Double negation law
- 8.  $p \wedge q \leftrightarrow q \wedge p$  Commutative law
- 9.  $p \vee q \leftrightarrow q \vee p$  "
- 10.  $(p \wedge q) \wedge r \leftrightarrow p \wedge (q \wedge r)$  Associative law
- 11.  $(p \vee q) \vee r \leftrightarrow p \vee (q \vee r)$  "
- 12.  $p \wedge (q \vee r) \leftrightarrow (p \wedge q) \vee (p \wedge r)$  Distributive law
- 13.  $p \vee (q \wedge r) \leftrightarrow (p \vee q) \wedge (p \vee r)$  "
- 14.  $\neg(p \wedge q) \leftrightarrow \neg p \vee \neg q$  De-Morgan's Law
- 15.  $\neg(p \vee q) \leftrightarrow \neg p \wedge \neg q$  "

- 16.  $p \wedge \neg p \Leftrightarrow F$  Trivial Tautology
- 17.  $p \vee \neg p \Leftrightarrow T$  " "
- 18.  $p \rightarrow q \Leftrightarrow \neg p \vee q$  Implication
- 19.  $p \Leftrightarrow q \Leftrightarrow (p \rightarrow q) \wedge (q \rightarrow p)$  Equivalence
- 20.  $(p \wedge q) \rightarrow r \Leftrightarrow p \rightarrow (q \rightarrow r)$  Exportation
- 21.  $(p \rightarrow q) \wedge (p \rightarrow \neg q) \Leftrightarrow \neg p$  Absurdity
- 22.  $p \rightarrow q \Leftrightarrow \neg q \rightarrow \neg p$  Contrapositive
- 23.  $p \wedge (p \vee q) \Leftrightarrow p$  Absorption
- 24.  $p \vee (p \wedge q) \Leftrightarrow p$  "

- ① Truth Table
- ② Symbolic Derivation

12.  $p \wedge (q \vee r) \Leftrightarrow (p \wedge q) \vee (p \wedge r)$

| p | q | r | q ∨ r | p ∧ (q ∨ r) | (p ∧ q) ∨ (p ∧ r) |
|---|---|---|-------|-------------|-------------------|
| T | T | T | T     | T           | T                 |
| T | T | F | T     | T           | T                 |
| T | F | T | T     | T           | T                 |
| T | F | F | F     | F           | F                 |
| F | T | T | T     | F           | F                 |
| F | T | F | T     | F           | F                 |
| F | F | T | T     | F           | F                 |
| F | F | F | F     | F           | F                 |

$(p \wedge q) \vee (p \wedge r) \Leftrightarrow (p \wedge (q \vee r))$   
 $(p \vee q) \wedge (p \vee r) \Leftrightarrow (p \vee (q \wedge r))$   
 $(p \rightarrow q) \wedge (p \rightarrow r) \Leftrightarrow (p \rightarrow (q \wedge r))$   
 $(p \rightarrow q) \vee (p \rightarrow r) \Leftrightarrow (p \rightarrow (q \vee r))$

$(p \wedge q) \vee (p \wedge r)$

| p | q | r | $p \wedge q$ | $p \wedge r$ | $(p \wedge q) \vee (p \wedge r)$ |
|---|---|---|--------------|--------------|----------------------------------|
| T | T | T | T            | T            | T                                |
| T | T | F | T            | F            | T                                |
| T | F | T | F            | T            | T                                |
| T | F | F | F            | F            | F                                |
| F | T | T | F            | F            | F                                |
| F | T | F | F            | F            | F                                |
| F | F | T | F            | F            | F                                |
| F | F | F | F            | F            | F                                |

Hence,  $p \wedge (q \vee r) \Leftrightarrow (p \wedge q) \vee (p \wedge r)$

# Prove that:-  $(p \rightarrow q) \wedge (p \rightarrow \neg q) \equiv \neg p$  by the help of truth table

| p | q | $\neg q$ | $(p \rightarrow q)$ | $(p \rightarrow \neg q)$ | $(p \rightarrow q) \wedge (p \rightarrow \neg q)$ |
|---|---|----------|---------------------|--------------------------|---|
| T | T | F        | T                   | F                        | F   |
| T | F | T        | F                   | T                        | F   |
| F | T | F        | T                   | T                        | T   |
| F | F | T        | T                   | T                        | T   |

$\neg p$

Hence,  $(p \rightarrow q) \wedge (p \rightarrow \neg q) \equiv \neg p$

T  
T

$(p \wedge q) \vee (p \wedge \neg q) \equiv$   
 $(p \wedge q) \vee (p \wedge \neg q) \equiv$   
 $p \wedge (q \vee \neg q) \equiv$   
 $p \wedge T \equiv p$

# Show that  $\neg(p \rightarrow q)$  and  $(p \wedge \neg q)$  are logically equivalent by the help of symbolic derivation.

Solution:

$$\begin{aligned}
 \text{LHS: } & \neg(p \rightarrow q) \\
 & \equiv \neg(\neg p \vee q) \quad [ \because \text{Implication law} ] \\
 & \equiv \neg(\neg p) \wedge \neg q \quad [ \because \text{De Morgan's law} ] \\
 & \equiv p \wedge \neg q \quad [ \because \text{double negation} ] \\
 & \equiv \text{RHS} \\
 & \text{proved!}
 \end{aligned}$$

$$(p \rightarrow q) \wedge (p \rightarrow \neg q) \equiv \neg p$$

$$\begin{aligned}
 \text{LHS} & \equiv (p \rightarrow q) \wedge (p \rightarrow \neg q) \\
 & \equiv (\neg p \vee q) \wedge (\neg p \vee \neg q) \quad [ \text{Implication law} ] \\
 & \equiv [\neg p \vee q] \wedge [\neg p \vee \neg q] \quad [ \text{double negation} ] \\
 & \equiv \neg p \quad [ \text{Absurdity Law} ]
 \end{aligned}$$

# Show that  $\neg(p \vee (\neg p \wedge q))$  and  $(\neg p \wedge \neg q)$  are logically equivalent

$$\begin{aligned}
 \text{LHS} & \equiv \neg(p \vee (\neg p \wedge q)) \\
 & \equiv \neg p \wedge \neg(\neg p \wedge q) \quad \text{De Morgan's Law} \\
 & \equiv \neg p \wedge (p \vee \neg q) \quad \text{double negation} \\
 & \equiv \neg p \wedge p \vee \neg p \wedge \neg q \\
 & \equiv \text{F} \vee \neg p \wedge \neg q \\
 & \equiv (\neg p \wedge p) \vee (\neg p \wedge \neg q) \quad \text{Distributive} \\
 & \equiv \text{F} \vee (\neg p \wedge \neg q) \quad (\text{Double Negation}) \\
 & \equiv \neg p \wedge \neg q \quad [ \text{True tautology} ] \\
 & \equiv \neg p \wedge \neg q \vee \text{F} \quad [ \text{Identity} ] \\
 & \quad (\text{commutative})
 \end{aligned}$$

LHS:-  $\neg(p \vee (\neg p \wedge q))$   
 $\equiv \neg[(p \vee \neg p) \wedge (p \vee q)]$  (Distributive Law)  
 $\equiv \neg(T \wedge (p \vee q))$  (Tautology)  
 $\equiv \neg((\neg p) \vee (\neg \neg q))$  (Distributive)  
 $\equiv \neg((\neg p) \vee (q \wedge T))$  (Commutative)  
 $\equiv \neg(p \vee q)$  (Identity)  
 $\equiv \neg p \wedge \neg q$  (De Morgan's)  
 proved!

# Show that  $(p \wedge q) \rightarrow (p \vee q)$  is a tautology.

~~$\equiv (p \wedge q) \rightarrow (p \vee q)$~~   
 ~~$\equiv \neg(p \wedge q) \vee (p \vee q)$~~  [Implication Law]  
 ~~$\equiv (\neg p \vee \neg q) \vee (p \vee q)$~~  [De Morgan's Law]  
 ~~$\equiv \neg p \vee \neg q \vee p \vee q$~~   
 ~~$\equiv T$~~

$(p \wedge q) \rightarrow (p \vee q) \equiv \neg(p \wedge q) \vee (p \vee q)$  [Implication Law]  
 $\equiv (\neg p \vee \neg q) \vee (p \vee q)$  [De Morgan's Law]  
 $\equiv (\neg p \vee p) \vee (\neg q \vee q)$  [Associative]  
 $\equiv (p \vee \neg p) \vee (q \vee \neg q)$  [Commutative]  
 $\equiv T \vee T$  [Trivial Tautology]  
 $\equiv T$

$(\neg p) \vee (p \vee q)$  (1)  
 $(\neg p) \vee (p \vee q)$  (2)  
 $(\neg p) \vee (p \vee q)$  (3)



## Dual of compound proposition

The dual of compound proposition that contains only the logical operators  $\wedge$ ,  $\vee$  and  $\neg$  is the compound proposition obtained by replacing each  $\vee$  by  $\wedge$ , each  $\wedge$  by  $\vee$ , each  $\neg$  by  $\neg$  and each  $F$  by  $T$ . The dual of  $S$  is denoted by  $S^*$ .

Find the dual of each of these compound proposition.

(a)  $p \vee \neg q$

$$S = p \vee \neg q$$

$$S^* = p \wedge q$$

(b)  $p \wedge (q \vee (r \wedge T))$

$$S = p \wedge (q \vee (r \wedge T))$$

$$S^* = p \vee (q \wedge (r \vee F))$$

(c)  $(p \wedge \neg q) \vee (q \wedge F) \neg$

$$S = (p \wedge \neg q) \vee (q \wedge F) \neg$$

$$S^* = (p \vee \neg q) \wedge (q \vee F) \neg$$

(d)  $(p \vee F) \wedge (q \vee T)$

$$S = (p \vee F) \wedge (q \vee T)$$

$$S^* = (p \wedge T) \vee (q \wedge F)$$

When does  $S^* = S$ , where  $S$  is a compound proposition?

Solution:- Let

Since  $S$  is a compound proposition, let  $p$  be proposition then

$$S = p \vee p$$

The dual of  $S \Rightarrow S^* = p \wedge p$   $\square$

$$p \vee p = p \wedge p = p \text{ (Idempotent law)}$$

$$\therefore S = S^*$$

Show that  $(S^*)^* = S$  where  $S$  is a compound proposition.

Solution:-

$S$  is a compound proposition, then

$$\text{Let } S = p \wedge q$$

Now,

$$S^* = p \vee q$$

$$(S^*)^* = p \wedge q$$

$$\therefore (S^*)^* = S$$

proved

## Predicate.

Lets take a statement  $x > 3$ , there are two parts one is the variable part called "subject" and another is relation part " $> 3$ " called predicate. We can denote the statement " $x > 3$ " by  $P(x)$  where  $P$  is a predicate " $> 3$ " and  $x$  is the variable. Once the value is assigned to the propositional function then we can tell whether it is true or false i.e. proposition.

→ The logic involving predicates is called predicate logic or predicate calculus.

# Let  $P(x)$  denotes the statements " $x > 10$ ". What are the truth value of  $P(12)$  and  $P(5)$ ?

Solution:-

$$P(x) = x > 10$$

$$P(12) = 12 > 10 \text{ (True)}$$

$$P(5) = 5 > 10 \text{ (False)}$$

$$P(x) \text{ denotes } x > 10$$

$$P(12) \text{ denotes } 12 > 10$$

which is "true"

$$P(5) \text{ denotes } 5 > 10$$

which is "false"

# Let  $Q(x, y)$  denote the statement " $x \neq y + 3$ ". What are the truth value for the propositions  $Q(1, 2)$  and  $Q(3, 0)$ ?

Solution:-

$Q(x, y)$  denotes  $x \neq y + 3$

$Q(1, 2)$  denotes  $1 \neq 2 + 3$

which is "False"

$Q(3, 0)$  denotes  $3 \neq 0 + 3$

which is "True"

# Let  $R(x, y, z)$  denote the statement " $x + y = z$ ". What are the truth value of  $R(1, 2, 3)$  &  $R(0, 0, 1)$ ?

Solution:-

$R(x, y, z)$  denotes  $x + y = z$

$R(1, 2, 3)$  denotes  $1 + 2 = 3$

which is "True"

$R(0, 0, 1)$  denotes  $0 + 0 = 1$

which is "False"

## Quantifiers

Quantifiers are the tools to make the propositional function a proposition. Construction of propositions from the predicates using quantifiers is called quantification. The variables that appear in the statement can take different possible values and all the possible values that the variables can take forms a domain called "Universe of Discourse" or "Universal set".

### Types of Quantifiers:

1. Universal Quantifier
2. Existential Quantifier

### Universal Quantifier

It is denoted by  $(\forall)$  symbol "for all".  
The universal Quantification of  $P(x)$  denoted by for all  $x$   $P(x)$ :

$$\forall x \in P(x)$$

is a proposition, " $P(x)$  is true for all the values of  $x$  in the Universe of Discourse".

We can represent the universal quantification by using the english sentences like (i) "for all  $x$   $P(x)$  holds".

(ii) "for every  $x$   $P(x)$  holds".

(iii) "for each  $x$   $P(x)$  holds".

Example:- Express the statement into quantified statement.

"all students of CSIT takes discrete mathematics class", where UoD is set of all CSIT students".

$\Rightarrow D(x)$  denotes  $x$  takes discrete mathematics class.

$\forall x D(x)$

|                       |
|-----------------------|
| $D(x)$<br>= predicate |
|-----------------------|

### Existential Quantifiers

It is denoted by " $\exists$ ". The existential Quantification of  $p(x)$  denoted by there exists  $x P(x)$  " $\exists x P(x)$ ".

" $P(x)$  is true for some values of  $x$  in UoD".

It can be represented like

- "there exists  $x$  such that  $P(x)$  is true".
- " $P(x)$  is <sup>true</sup> for at least one  $x$ ."

Examples:-

# Let  $Q(x)$  be the statement " $x < 2$ ". What is truth value of the quantification  $\forall x Q(x)$  where domain consists of all real numbers?

$\Rightarrow Q(x)$  denotes " $x < 2$ "

UoD =  $\{ \dots, -2, -1, 0, 1, 2, 3, \dots \}$

$x = 3$

$\forall x Q(x) \Rightarrow Q(3) \Rightarrow 3 < 2$

false  $\therefore$  The truth value...

# What is the truth value of  $\exists x P(x)$  where  $P(x)$  is the statement " $x^2 > 10$ " and the UoD consists of the integer not exceeding 4?

$\Rightarrow P(x)$  denotes " $x^2 > 10$ "

UoD =  $\{0, 1, 2, 3, 4\}$

$\exists x P(x)$  (True)

Because:  $P(2)$ .

$$4^2 > 10$$

$$16 > 10$$

(True)

The true value of  $\exists x P(x)$  is true

### Free and Bound Variables

When the variable is assigned a value or it is quantified. It is called Bound variable. If the variable is not bounded then it is called free variables.

Examples:-

Identify the free and bound variables:

1.  $x P(x, y)$ , both are free variables.

2.  $P(2, y)$ ,  $\Rightarrow y$  is free.

3.  $P(2, y)$  where  $y = 4 \Rightarrow$  both bounded.

4.  $\forall x P(x)$ ,  $x$  is bounded variable.

5.  $\exists x \forall y P(x, y)$ ,  $x$  bounded,  $y$  free.

# Expression with no free variable is proposition?

# Expression with at least one free variable is predicate?

Order of Quantification :-

Example :-

Let  $L(x, y)$  denotes  $x$  loves  $y$  where  $U \cup D$  for  $x, y$  is set of all people in the world.

Translate the given quantified statement in English.

i)  $\forall x \exists y L(x, y)$

$\rightarrow$  for all  $x$  there exist some  $y$  such that  $x$  loves  $y$ . i.e. everyone loves someone.

ii)  $\exists y \forall x L(x, y)$

$\rightarrow$  There exist some  $y$  for all  $x$  such that  $x$  loves  $y$ . i.e. someone is loved by everyone.

iii)  $\forall x \forall y L(x, y)$

$\Rightarrow$  for all  $x$  and  $y$  such that  $x$  loves  $y$ . i.e. everyone loves everybody.

iv)  $\exists x \exists y L(x, y)$

$\Rightarrow$  there exist some  $x$  and some  $y$  such that  $x$  loves  $y$ . i.e. someone loves somebody.



## Negation of Quantified Expression.

$$\forall x P(x) \Rightarrow \neg \forall x P(x) \equiv \exists x \neg P(x)$$

$$\exists x P(x) \Rightarrow \neg \exists x P(x) \equiv \forall x \neg P(x)$$

Example:-

Let  $P(x)$  denotes  $x$  is lovely,  $\forall D$  for  $x$  is girls in Kathmandu.

$$\forall x P(x)$$

$\Rightarrow$  Every girl in Kathmandu are lovely

$$\exists x P(x)$$

$\Rightarrow$  Some girls in Kathmandu are lovely

$$\neg \forall x P(x)$$

$\Rightarrow$  Not all girls in Kathmandu are lovely

$$\neg \exists x P(x)$$

$\Rightarrow$  All girls in Kathmandu are not lovely

Translate the sentence into logical expression.

1. "not every integer is even."

let  $P(x)$  denotes  $x$  is even,  $UoD$  for integers  
 $\neg \forall x P(x)$

Translate "If a person is female and is a parent then this person is someone's mother."  
into logical expression where  $UoD$  is set of all peoples.

let  $F(x)$  denotes female  
 $P(x)$  denotes parent

$UoD = \{ \text{set of all people} \}$   
 $M(x, y)$  denotes  $x$  is mother of  $y$ .

$$\forall x \exists y (F(x) \wedge P(x) \rightarrow M(x, y))$$

## Mathematical Reasoning.

### Rules of Reasoning

To draw a conclusion from the given premise we must be able to apply some well defined steps that help reaching the conclusion. These steps of reaching the conclusion are provided by rule of inference.

#### Rule 1: Modus Ponens (or Law of Detachment)

Whenever two propositions  $p$  &  $p \rightarrow q$  are both true then we confirm  $q$  is true i.e.

$\frac{p, p \rightarrow q}{q}$ , this rule is valid rule of inference because the implication  $[p \wedge (p \rightarrow q)] \rightarrow q$  is a tautology.

| $p$ | $q$ | $p \rightarrow q$ | $p \wedge (p \rightarrow q)$ | $[p \wedge (p \rightarrow q)] \rightarrow q$ |
|-----|-----|-------------------|------------------------------|--|
| T   | T   | T                 | T                            | T  |
| T   | F   | F                 | F                            | T  |
| F   | T   | T                 | F                            | T  |
| F   | F   | T                 | F                            | T  |

#### Rule 2: Hypothetical Syllogism (Transitive Rule)

Whenever two propositions  $p \rightarrow q$  and  $q \rightarrow r$  are both true then we confirm that implication  $p \rightarrow r$  is true.

i.e.  $\frac{p \rightarrow q, q \rightarrow r}{\therefore p \rightarrow r}$ , this rule is valid rule of inference because the implication

$[(p \rightarrow q) \wedge (q \rightarrow r)] \rightarrow (p \rightarrow r)$  is tautology.

Similarly,

$$p \rightarrow q$$

$$q \rightarrow r$$

$$r \rightarrow s$$

$$P \rightarrow s$$

$$P \rightarrow q \wedge r \quad [p \rightarrow q \wedge p \rightarrow r \wedge q \rightarrow r] \quad [(p \rightarrow q) \wedge (q \rightarrow r)] \rightarrow (p \rightarrow r)$$

$$T \rightarrow T \wedge T \quad [T \rightarrow T \wedge T \rightarrow T \wedge T \rightarrow T] \quad [(T \rightarrow T) \wedge (T \rightarrow T)] \rightarrow (T \rightarrow T) \equiv T$$

$$T \rightarrow T \wedge F \quad [T \rightarrow T \wedge T \rightarrow F \wedge T \rightarrow F] \quad [(T \rightarrow T) \wedge (T \rightarrow F)] \rightarrow (T \rightarrow F) \equiv T$$

$$T \rightarrow F \wedge T \quad [T \rightarrow F \wedge T \rightarrow T \wedge T \rightarrow T] \quad [(T \rightarrow F) \wedge (T \rightarrow T)] \rightarrow (T \rightarrow T) \equiv T$$

$$T \rightarrow F \wedge F \quad [T \rightarrow F \wedge T \rightarrow F \wedge T \rightarrow F] \quad [(T \rightarrow F) \wedge (T \rightarrow F)] \rightarrow (T \rightarrow F) \equiv T$$

$$F \rightarrow T \wedge T \quad [F \rightarrow T \wedge F \rightarrow T \wedge F \rightarrow T] \quad [(F \rightarrow T) \wedge (F \rightarrow T)] \rightarrow (F \rightarrow T) \equiv T$$

$$F \rightarrow T \wedge F \quad [F \rightarrow T \wedge F \rightarrow F \wedge F \rightarrow F] \quad [(F \rightarrow T) \wedge (F \rightarrow F)] \rightarrow (F \rightarrow F) \equiv T$$

$$F \rightarrow F \wedge T \quad [F \rightarrow F \wedge F \rightarrow T \wedge F \rightarrow T] \quad [(F \rightarrow F) \wedge (F \rightarrow T)] \rightarrow (F \rightarrow T) \equiv T$$

$$F \rightarrow F \wedge F \quad [F \rightarrow F \wedge F \rightarrow F \wedge F \rightarrow F] \quad [(F \rightarrow F) \wedge (F \rightarrow F)] \rightarrow (F \rightarrow F) \equiv T$$

Rule 3:- Addition

Due to tautology  $p \rightarrow (p \vee q)$ , rule  $p \rightarrow p \vee q$  is valid rule of inference.

$$\begin{aligned} p &\rightarrow (p \vee q) \\ \equiv \neg p \vee (p \vee q) &\quad (\text{implication}) \\ \equiv \neg p \vee p \vee q \\ \equiv T \vee q &\quad (\text{Trivial tautology}) \\ \equiv T &\quad [\text{Domination}] \end{aligned}$$

$$[(p \rightarrow q) \wedge (q \rightarrow r)] \rightarrow (p \rightarrow r)$$

- $\equiv \neg [(p \rightarrow q) \wedge (q \rightarrow r)] \vee (p \rightarrow r)$  [:: Implication law]
- $\equiv \neg [(p \rightarrow q) \wedge (q \rightarrow r)] \vee (\neg p \vee r)$  [:: Implication law]
- $\equiv \neg [p \rightarrow q] \vee \neg [q \rightarrow r] \vee (\neg p \vee r)$  [:: De Morgan's law]
- $\equiv \neg (\neg p \vee q) \vee \neg (\neg q \vee r) \vee (\neg p \vee r)$  [Implication law]
- $\equiv (\neg(\neg p) \wedge \neg q) \vee (\neg(\neg q) \wedge \neg r) \vee (\neg p \vee r)$  [De Morgan's law]
- $\equiv (p \wedge \neg q) \vee (q \wedge \neg r) \vee (\neg p \vee r)$  [Double Negation]
- $\equiv (\neg q \wedge p) \vee (q \wedge \neg r) \vee (\neg p \vee r)$

$$[(p \rightarrow q) \wedge (q \rightarrow r)] \rightarrow (p \rightarrow r)$$

- $\equiv (p \wedge \neg q) \vee (q \wedge \neg r) \vee (\neg p \vee r)$  [Implication]
- $\equiv (p \wedge \neg q) \vee \{ (q \wedge \neg r) \vee r \} \vee \neg p$  [Associative]
- $\equiv (p \wedge \neg q) \vee \{ (q \vee r) \wedge (\neg r \vee r) \} \vee \neg p$  [Distributive]
- $\equiv (p \wedge \neg q) \vee \{ (q \vee r) \wedge T \} \vee \neg p$  [Trivial Tautology]
- $\equiv \{ (p \wedge \neg q) \vee \neg p \} \vee (q \vee r) \wedge T$  [Associative]
- $\equiv (p \vee \neg p) \wedge (\neg q \vee \neg p) \vee (q \vee r) \wedge T$  [Distributive]
- $\equiv T \wedge (\neg q \vee \neg p) \vee (q \vee r) \wedge T$  [Trivial tautology]
- $\equiv T \wedge (\neg q \vee \neg p \vee q \vee r) \wedge T$  [Associative]
- $\equiv T \wedge T \vee (\neg p \vee r) \wedge T$  [Dominance]
- $\equiv T \wedge T$  [Trivial tautology]
- $\equiv T$

below

(implication)  $(p \vee q) \rightarrow q$   
 $(p \vee q) \vee q \equiv$   
 $p \vee q \vee q \equiv$   
 $p \vee T \equiv$   
 $T \equiv$

Rule 4:- Simplification:-

Due to the tautology  $(p \wedge q) \rightarrow p$ , rule  
 $\frac{p \wedge q}{\therefore p}$  is a valid rule of inference.

$$\begin{aligned} & (p \wedge q) \rightarrow p \\ \equiv & \neg(p \wedge q) \vee p \\ \equiv & \neg p \vee \neg q \vee p \\ \equiv & p \vee \neg p \vee \neg q \\ \equiv & T \vee \neg q \\ \equiv & T \end{aligned}$$

[Implication]  
[De-Morgan's Law]  
[Trivial tautology]  
[Trivial tautology]

Rule 5: Conjunction

Due to the tautology  $[(p) \wedge (q)] \rightarrow (p \wedge q)$ , rule  
 $\frac{p}{q} \therefore p \wedge q$  is valid rule of inference.

$$\begin{aligned} & [(p) \wedge (q)] \rightarrow (p \wedge q) \\ \equiv & \neg(p \wedge q) \rightarrow (p \wedge q) \\ \equiv & \neg(p \wedge q) \vee (p \wedge q) \\ \equiv & \neg p \vee \neg q \vee (p \wedge q) \end{aligned}$$

[Implication]  
[De-Morgan's law]

Rule 6: Modus Tollens

Due to tautology  $[\neg q \wedge (p \rightarrow q)] \rightarrow \neg p$ ; rule  $\frac{\neg q \quad p \rightarrow q}{\neg p}$

is valid rule of inference.

| P | q | $\neg p$ | $\neg q$ | $(p \rightarrow q)$ | $\neg q \wedge (p \rightarrow q)$ | $\neg q \wedge (p \rightarrow q) \rightarrow \neg p$ |
|---|---|----------|----------|---------------------|-----------------------------------|--|
| T | T | F        | F        | T                   | F                                 | T  |
| T | F | F        | T        | F                   | T                                 | T  |
| F | T | T        | F        | T                   | F                                 | T  |
| F | F | T        | T        | T                   | T                                 | T  |

$$\neg q \wedge (p \rightarrow q) \rightarrow \neg p$$

[Implication]

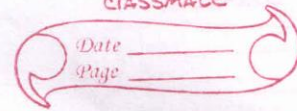
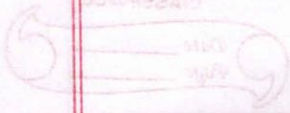
## Rule 7: Disjunctive syllogism

Due to tautology  $[(p \vee q) \wedge \neg p] \rightarrow q$ , rule  $\frac{p \vee q}{\neg p} \therefore q$  is a valid rule of inference.

| P | q | $\neg p$ | $p \vee q$ | $(p \vee q) \wedge \neg p$ | $((p \vee q) \wedge \neg p) \rightarrow q$ |
|---|---|----------|------------|----------------------------|--|
| T | T | F        | T          | F                          | T  |
| T | F | F        | T          | F                          | T  |
| F | T | T        | T          | T                          | T  |
| F | F | T        | F          | F                          | T  |

$$[(p \vee q) \wedge \neg p] \rightarrow q$$





## Rule 8: Resolution

Due to tautology  $[(p \vee q) \wedge (\neg p \vee r)] \rightarrow (q \vee r)$ ,  
 rule  $\frac{p \vee q \quad \neg p \vee r}{\therefore q \vee r}$  is valid rule of inference

$$\frac{p \vee q \quad \neg p \vee r}{\therefore q \vee r}$$

$$P.T \quad [(p \vee q) \wedge (\neg p \vee r)] \rightarrow (q \vee r)$$

$$p \rightarrow [q \vee r] \text{ (proved)}$$

Example:-

For the set of premises "If I play football, then I am sore the next day", "I will take rest if I am sore", "I did not take rest". What relevant conclusion can be drawn? Explain the rule of inference to draw the conclusion.

Solution:-

$p$  = I play football

$q$  = I am sore

$r$  = I will take rest

Hypothesis:-

(i)  $p \rightarrow q$

(ii)  ~~$q \rightarrow r$~~   $q \rightarrow r$

(iii)  $\neg r$

Steps

(i)  $p \rightarrow q$

(ii)  $q \rightarrow r$

(iii)  $p \rightarrow r$

(iv)  $\neg r$

(v)  $\neg p$

Reason.

Hypothesis.

Hypothesis

Transitive from (i) & (ii)

Hypothesis

Modes Tollens

Conclusion:

Therefore we can conclude that, "I did not play football."

Example:-

Show that the hypothesis "It is not sunny this afternoon and it is cooler than yesterday". "We will go swimming only if it is sunny" "If we do not go swimming, then we will take a trip" and "If we take a trip, then we will be home by sunset" lead to the conclusion "We will be home by sunset".

Solution:-

$p$  = It is sunny this afternoon.

$q$  = It is cooler.

$r$  = We will go swimming.

$s$  = We will take a trip.

$t$  = We will be home by sunset.

Hypothesis

(i)  $\neg p \wedge q$

(ii)  $r \rightarrow p$

(iii)  $\neg r \rightarrow s$

(iv)  $s \rightarrow t$

Steps

(i)  $\neg p \wedge q$

(ii)  $r \rightarrow p$

(iii)  $\neg r \rightarrow s$

(iv)  $s \rightarrow t$

(v)  $\neg r \rightarrow t$

(vi)  $\neg p$

(vii)  $\neg r$

(viii)  $s$

(ix)  $t$

Reason

Hypothesis

Hypothesis

Hypothesis

Hypothesis

Transitive from (iii) & (iv)

Simplification (i)

(Modus Tollens)

(vi) & (ii)

Modus Ponens (vii) & (iii)

Modus Ponens (viii) & (iv)

Fallacies:-

The fallacies are argument that are convincing but not correct. So fallacies produce faulty inference. Fallacies are contingency rather than tautology.

① Fallacy of affirming the conclusion:

The kind of fallacy has the form  $q$   
 $p \rightarrow q$

i.e.  $q \wedge (p \rightarrow q) \rightarrow p$ . This is not a tautology, hence it is fallacy.

| $p$ | $q$ | $p \rightarrow q$ | $q \wedge (p \rightarrow q)$ | $q \wedge (p \rightarrow q) \rightarrow p$ |
|-----|-----|-------------------|------------------------------|--|
| T   | T   | T                 | T                            | T  |
| T   | F   | F                 | F                            | T  |
| F   | T   | T                 | F                            | F  |
| F   | F   | T                 | F                            | T  |

Example:-

"If the economy of Nepal is poor, then the education system in Nepal will be poor."  
 "The education system in Nepal is poor. Therefore, Economy of Nepal is poor."

Solution:-

$p$  = economy of Nepal is poor  
 $q$  = education system in Nepal is poor.

$p \rightarrow q$

$q$

$\therefore p$  (which is fallacy (fallacy of affirming the conclusion)). Hence we can conclude economy of Nepal is not poor.

### (10) Fallacy of denying the hypothesis

This kind of fallacy has the form  $\frac{p \rightarrow q}{\neg p} \therefore \neg q$   
 i.e.  $[\neg p \wedge (p \rightarrow q)] \rightarrow \neg q$ . This is not a tautology  
 hence it is a fallacy.

| p | q | $\neg p$ | $\neg q$ | $p \rightarrow q$ | $\neg p \wedge (p \rightarrow q)$ | $[\neg p \wedge (p \rightarrow q)] \rightarrow \neg q$ |
|---|---|----------|----------|-------------------|-----------------------------------|--|
| T | T | F        | F        | T                 | F                                 | T  |
| T | F | F        | T        | F                 | F                                 | T  |
| F | T | T        | F        | T                 | T                                 | F  |
| F | F | T        | T        | T                 | T                                 | T  |

Example:-

"If today is Sunday, then it rains today".  
 "Today is not Sunday". Therefore,  
 "it doesnot rain today".

$\Rightarrow$   $p$  = today is Sunday  
 $q$  = it rains today.

$$p \rightarrow q$$

$$\frac{\neg p}{\therefore \neg q}$$

$$\therefore \neg q$$

### (iii) Begging the question (Circular Reasoning)

If the statement that is used for loop is equivalent to the statement that is being proved then it is called circular reasoning.

Example:-

Ravi is black because he is black.

### Rules of inference for Quantified Statement

Universal Instantiation:-

If the proposition of the form  $\forall x P(x)$  is supposed to be true then the universal quantifier can be dropped out to get  $P(c)$  is true for arbitrary  $c$  in the universe of discourse.

i.e.  $\forall x P(x)$

$\therefore P(c)$ , for all  $c$

Universal Generalization:-

If all the instances of  $c$  makes  $P(c)$  true, then  $\forall x P(x)$  is true. This can be written as

$P(c)$  for all  $c$ ,

$\therefore \forall x P(x)$

Here the chosen  $c$  must be arbitrary not a specific element from the UoD.

## Existential Instantiation

If the proposition of the form  $\exists x P(x)$  is supposed to be true then there is an element  $c$  in the UoD such that  $P(c)$  is true.

$$\text{i.e. } \exists x P(x)$$

$\therefore P(c)$  for some  $c$

Here  $c$  is not an arbitrary, it must be scientific such that  $P(x)$  is true.

## Existential Generalization

If at least an element  $c$  from the UoD make  $P(c)$  true then  $\exists x P(x)$  is true.

i.e.  $P(c)$ , for some  $c$

$$\therefore \exists x P(x)$$

# Show that the premises, "Everyone in this discrete mathematics class taken a course in computer science" and "Marla is a student in this class" imply the conclusion "Marla has taken a course in Computer Science."

Solution:-

$D(x)$  denotes  $x$  is in discrete mathematics class

$C(x)$  denotes  $x$  has taken a course in C.S.

Quantified statement (Hypothesis)

$$\textcircled{1} \forall x (D(x) \rightarrow C(x))$$

$$\textcircled{2} D(\text{Marla})$$

## ③ C (Marla)

| Steps   | Result                             |
|---|------------------------------------|
| ① $\forall x (D(x) \rightarrow C(x))$           | Hypothesis.                        |
| ② $D(\text{Marla}) \rightarrow C(\text{Marla})$ | Universal Instantiation,<br>from ① |
| ③ $D(\text{Marla})$                             | Hypothesis                         |
| ④ $C(\text{Marla})$                             | Modes ponens from ②<br>& ③         |

# Prove or disprove the validity of the argument,  
 "every living thing is a plant or animal.", "Nori's  
 dog is alive and it is not a plant.", "All animals  
 have heart", Hence "Nori's dog has a heart".

Solution:- UoD denotes "every living thing is a plant or animal".

$H(x)$  denotes All animals have heart.

$P(x) \rightarrow x$  is plant,  $A(x) \rightarrow x$  is animal,  $J(x) \rightarrow x$  is alive.

|  |                                     |
|--|-------------------------------------|
| 1. $\forall x (P(x) \vee A(x))$                            | Hypothesis.                         |
| 2. $J(\text{Nori's dog}) \wedge \neg P(\text{Nori's dog})$ | <del>from</del> Hypothesis.         |
| 3. $J(\text{Nori's dog}) \wedge A(\text{Nori's dog})$      | from ① & ②                          |
| 4. $\forall x H(x)$  | Hypothesis                          |
| 5. $H(\text{Nori's Dog})$                                  | Universal instantiation from<br>(5) |

Hypothesis:

- ①  $\forall x (P(x) \vee A(x))$
- ②  $J(\text{Nori's Dog}) \wedge \neg P(\text{Nori's Dog})$
- ③  $\forall x H(x)$
- ④  $H(\text{Nori's dog})$



## Proving Theorem

### 1. Direct Proof:-

We prove the implication  $p \rightarrow q$ , where we start assuming that the hypothesis i.e.  $p$  is true and using rule of inference, theorems etc. If  $q$  becomes true, then the argument becomes valid. This is known as direct proof.

### Example:-

If  $a$  and  $b$  are odd integers, then  $a+b$  is even integer.

### Solution:

By the def<sup>n</sup> of odd integer  
 $\rightarrow n = 2k + 1$

By the def<sup>n</sup> of even integer.  
 $\rightarrow n = 2k$

Here,

$$a = 2k + 1 \quad (\because \text{By def}^n) \checkmark$$

$$b = 2l + 1 \quad (\because \text{"}) \checkmark$$

so,

$$a + b = 2k + 1 + 2l + 1$$

$$= 2k + 2l + 2$$

$$= 2(k + l + 1)$$

$$= 2m \quad (\because (k + l + 1) = m \text{ is any integer})$$

$\therefore a + b = 2m$  which is given by the def<sup>n</sup> of even integer.

Example :-

If  $m, n$  are divisible by 3,  $mn$  is divisible by 9.

Solution :-

By the definition of divisible by 3  
 $\rightarrow m, n/3 = \{1, 2, 3, \dots\}$

By the definition of divisible by 3  
 $\rightarrow m, n/9 = \{1, 2, 3, \dots\}$

Here,

$$m = 3k$$

$$n = 3l$$

So,

$$mn = 3k \cdot 3l$$

$$= 9(kl)$$

$$= 9n \quad (\because kl = n \text{ is any integer}).$$

# Show that the square of an even number is an even number using direct proof.

Solution :-

By definition of ~~the~~ even number,

$$n = 2k$$

By definition of square of even number,

$$n^2 = (2k)^2$$

Here,

$$n = 2k \quad \text{from definition.}$$

So,

$$n = 2k$$

Squaring we get.

$$n^2 = 4k^2 \quad (\text{where } k \text{ is an even integer}).$$

$$= 4m$$

$$\therefore n^2 = 4m \quad (\because k^2 = m, \text{ which is given by def}^n \text{ of square of even num})$$

# Use direct proof to show that every odd integer is the difference of two squares.

By definition of odd integer

$$n = 2k + 1$$

By definition of difference square,

$$n = (2k+1)^2$$

$\therefore$  Now,

$$\begin{aligned} n &= (2k+1)^2 - (2l+1)^2 \\ &= 4k^2 + 4k + 1 - 4l^2 - 4l - 1 \\ &= 4k^2 + 4k - 4l^2 - 4l \end{aligned}$$

Indirect Proof (Proof by Contradiction)

If we prove the implication  $p \rightarrow q$  by assuming the conclusion is false i.e.  $p \rightarrow q \equiv \neg q \rightarrow \neg p$  is known as proof by contradiction or indirect proof.

Example:-

If the product of two integers  $a$  &  $b$  is even, then either  $a$  is even or  $b$  is even.

Solution:-

$\neg q = a$  and  $b$  are both odd.

$\neg p =$  product of two integers  $a$  &  $b$  is odd.

We know,

$$a = 2k + 1$$

$$b = 2l + 1$$

Now,

$$\begin{aligned}
 ab &= (2k+1)(2l+1) \\
 &= 2k+2l+2 \quad (k+2k+2l+1) \\
 &= 2(k+l+1) \quad 2(2k+2l+1) \\
 &= 2m+1 \quad (m = 2k+2l+1; m \text{ is any integer})
 \end{aligned}$$

we know that.

$$\neg q \rightarrow \neg p \equiv p \rightarrow q$$

$\therefore$  We can conclude that product of two integers  $a$  &  $b$  is even, then either  $a$  is even or  $b$  is even.

# Prove that if  $n$  is an integer and  $3n+2$  is odd, then  $n$  is odd.

Solution:-

By definition of integer.

$$\neg p = n \text{ is not odd. even}$$

$$\text{By } \neg q = (3n+2) \text{ is even.}$$

We know,

$$n = 2k+1$$

Now,

$$\begin{aligned}
 3n+2 &= 3(2k+1)+2 & 3n+2 &= 3(k)+2 \\
 &= 6k+3+2 & &= 3k+2 \\
 &= 6k+5 & &= 2k+1+2k+1+1 \\
 & & &= (2k+1)+(k+1)
 \end{aligned}$$

$$3n+2 = 3(2k+1)+2$$

$$= 6k+3+2$$

$$= 6k+5 = 2(3k+2) = 2m$$

## Proofs by Contradiction

The steps in proof of implication  $P \rightarrow Q$  by contradiction are:-

- i) Assume  $P \wedge \neg Q$  are true.
- ii) Try to show the above assumption is false.

Example:-

If  $a^2$  is an even number, then  $a$  is an even number.

Solution:-

Assume  $a$  is an odd number and  $a^2$  is an even number.

Then,  $a = 2k + 1$  (By def<sup>n</sup> of odd integers)

$$a^2 = 4k^2 + 4k + 1$$

$$= 2(2k^2 + 2k) + 1$$

$$= 2m + 1 \quad (\text{where } 2k^2 + 2k = m; \text{ is any integer})$$

So, by contradiction, we can prove that

If  $a$  is an even number then  $a^2$  is an even number.

# Give a proof by contradiction of the theorem "If  $3n+2$  is odd, then  $n$  is odd".

Sol<sup>n</sup>:-

Assume that  $n$  is an even number and  $3n+2$  is odd.

By def<sup>n</sup> of even integers,

$$n = 2k$$

then,

$$\begin{aligned} 3n+2 &= 3(2k)+2 \\ &= 6k+2 \\ &= 2(3k+1) \\ &= 2m \quad (3k+1 = m; \text{ is any integer}) \end{aligned}$$

So, by contradiction, we can prove that, if  $3n+2$  is odd, then  $n$  is odd.

# Show that if  $n$  is an integer and  $n^3+5$  is odd, then  $n$  is even using

- i) a proof by contraposition
- ii) a proof by contradiction

Sol<sup>n</sup>:

① by contraposition

$$\neg p \Rightarrow n^3+5 \text{ is even}$$

$$\neg q \Rightarrow n \text{ is odd}$$

then,

$$n = 2k+1 \quad (\text{By def. of odd integer})$$

$$\neg q = 2k+1$$

$$\neg p = n^3+5$$

$$= (2k+1)^3+5$$

$$= 8k^3+6k^2+3k+1+5$$

$$= 8k^3+6k^2+3k+6$$

$$= 2(4k^3+3k^2+3k+3)$$

$$= 2m \quad (4k^3+3k^2+3k+3 = m; m \text{ is an integer})$$

$\therefore$  we know that

$$\neg q \Rightarrow \neg p \equiv p \Rightarrow q$$

$\therefore$  We can conclude that if  $n$  is an integer and  $n^3+5$  is odd, then  $n$  is even.

(ii) by contradiction.

Assume  $n$  is odd and  $n^3+5$  is odd.

$$n = 2k+1 \quad (\text{By def}^n \text{ of odd integers})$$

Then,

$$\begin{aligned} n^3+5 &= (2k+1)^3+5 \\ &= 8k^3+12k^2+6k+1+5 \\ &= 8k^3+12k^2+6k+6 \\ &= 2(4k^3+6k^2+3k+3) \\ &= 2m \quad (m=4k^3+6k^2+3k+3 = m \\ &\quad \text{is any integer}). \end{aligned}$$

So by contradiction, we can prove that if  $n^3+5$  is odd then  $n$  is even.

# Prove that if  $n$  is an ~~even~~ perfect square, then  $n+2$  is not a perfect square. using PF

- i) Direct proof method
- ii) Indirect proof method
- iii) Proof by contradiction

Solution:-

i) Direct proof method.

By def<sup>n</sup> of perfect square.

$$n = a^2$$

Then,

$$n+2 = (a^2)+2$$

$$n+2 = k^2+2 \quad n = k^2$$

$$\therefore n+2 = (k^2)+2 \\ = k^2+2$$

which is not a perfect square.

$\therefore n+2 = k^2+2$  is not a perfect square by the definition of perfect square.

ii) Indirect proof method (Contradiction).

$\neg p = n$  is not a perfect square.  
 $\neg q =$  perfect square.

Then,

$$n+2 = (k+2)^2 \quad (\text{By def. of perfect square}).$$

$$\therefore \neg q = (k+2)^2 \\ = k^2+4 \\ = m^2 \quad ((k+2)^2 = m^2 ; m \text{ is any integer})$$

$\therefore$  we can conclude that if  $n$  is a perfect square, then  $n+2$  is not a perfect square.



iii) Proof by contradiction

Assume  $m^2$  is a perfect square and  $n+2$  is not a perfect square.

Proof by Cases :-

The implications of the form  $(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q$  can be proved by using the tautology  $(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q \iff [(p_1 \rightarrow q) \wedge (p_2 \rightarrow q) \wedge \dots \wedge (p_n \rightarrow q)]$  i.e. we can show every implication  $(p_i \rightarrow q)$  true for  $i=1, 2, \dots, n$

Examples :-

if  $x > 3$ , then  $x^2 > 9$ , where  $x$  is a real number.

Solution :-

Considers two cases.

(i)  $x > 3$

(ii)  $x > 3$

Since  $|x|$  is an absolute value of  $x$  (i), the value of  $x$  is  $x$  when  $x \geq 0$ . (ii) the value of  $x$  is  $-x$  when  $x < 0$ .

- i) if  $x > 3$ ,  $x^2 > 9$  which is true.
- ii) if  $-x < 3$ ,  $x^2 > 9$  which is true.

Hence, ~~if~~  $|x| > 3$  then  $x^2 > 9$ .

### Proof of Equivalence

We can prove the equivalence i.e.  $p \leftrightarrow q$  by showing  $p \rightarrow q$  and  $q \rightarrow p$  both.

### Example:-

Prove that if  $n$  is a positive integer, then  $n$  is even iff  $7n+4$  is even.

### Solution:-

Consider ~~to~~  $p = n$  is even  
 $q = 7n+4$  is even.

We have,

$$n = 2k \quad (\text{By def}^n \text{ of even integer})$$

$$\text{Then, } q = 7(2k) + 4$$

$$= 14k + 4$$

$$= 2(7k + 2)$$

$$= 2m \quad (7k + 2 = m; m \text{ is any integer})$$

$\therefore p \rightarrow q$  is true.

Again,

$p = n$  is odd.

$q = 7n + 4$  is even.

We know,

$$n = 2k + 1$$

$$\therefore q = 7(2k + 1) + 4 \\ = 14k + 7 + 4$$

$q = 7n + 4$  is even

$p = n$  is even

We know,

$$n = 2k$$

$$q = 7(2k) + 4 \\ = 14k + 4 \\ = 2(7k + 2)$$

$$= 2m \quad (7k + 2 = m; m \text{ is any integer})$$

$\therefore q \rightarrow p$  is true.

We have,

$p \rightarrow q$  is true &  $q \rightarrow p$  is also true

$\therefore p \leftrightarrow q$  is true

Existence Proof:-

A proof of a proposition of the form  $\exists x P(x)$  is called an existence proof.

constructive existence proof:-

$\Rightarrow$  Here some element 'a' is found to show  $P(a)$  is true.

non-constructive existence proof:-

$\Rightarrow$  Here, we do not provide 'a' such that  $P(a)$  is true but prove that  $\exists x P(x)$  is true in different way.

Example:-

Prove by using constructive existence proof that there are 100 consecutive positive integers that are not perfect square.

Solution :

$$50^2 = 2500$$

$$51^2 = 2601$$

$\therefore$  The 100 consecutive positive integers that are not perfect square are:  
• 2501 to 2600.

# Proof using non-constructive existence: proof that there exists rational numbers  $x$  &  $y$  such that  $x^y$  is rational.

Sol<sup>n</sup>:-

$$\text{Let } x = \sqrt{2}, y = \sqrt{2}$$

Then

$$x^y = (\sqrt{2})^{\sqrt{2}}$$

(i) if  $(\sqrt{2})^{\sqrt{2}}$  is rational, we are done.

(ii) if  $(\sqrt{2})^{\sqrt{2}}$  is irrational.

Then

$$x = (\sqrt{2})^{\sqrt{2}}, y = \sqrt{2}$$

$$x^y = \left( (\sqrt{2})^{\sqrt{2}} \right)^{\sqrt{2}} = 2 \text{ which is rational.}$$

Vagous Proof:-

A proof of  $p \rightarrow q$  that uses the fact that  $p$  is false.

Example:-

Show that proposition  $p(0)$  is true, where  $p(n)$  is "If  $n > 1$  then  $n^2 > n$ ".

Solution.

$$\text{Given, } \begin{cases} p = n > 1 \\ q = n^2 > n \end{cases}$$

when  $n=0$ ,

$$p(0) = 0 > 1 \text{ (false)}$$

$$q(0) = 0^2 > 0 \text{ (false)}$$

$$\therefore p = n < 1$$

and,

$$\therefore q = n^2 > n$$

$\therefore$  We can conclude that if  $n > 1$  then  $n^2 > n$ .

Trivial Proof:-

A proof of  $p \rightarrow q$  that uses the fact that  $q$  is true.

Example:-

Let  $p(n)$  be "If  $a$  and  $b$  are +ve integers with  $a \geq b$  then  $a^n \geq b^n$ ", where the domain consists of all integers. Show that  $p(0)$  is true.

Solution:-

$$p = a \geq b \quad \text{where } a, b \text{ are +ve integers}$$

$$q = a^n \geq b^n$$

then,

$$\text{when } p(0) = a \geq b$$

$$\text{when } n=0$$

$$p(0) = a^0 \geq b^0 = 1 \geq 1 \text{ (True)}$$

$\therefore$  We can conclude that if  $a \geq b$  are +ve integers with  $a \geq b$  then  $a^n \geq b^n$ .

# Mathematical Induction:

## Principle of mathematical Induction

Steps:-

- (i) Basis Step:- Show  $P(n_0)$  is true.
- (ii) Inductive Hypothesis: Assume  $P(k)$  is true for  $k=n$
- (iii) Inductive Step:- Show that  $P(k+1)$  is true on the basis of Inductive Hypothesis.

Example:-

Use mathematical induction to prove that  
 $1+2+\dots+n = \frac{n(n+1)}{2}$

Solution

1. Basis steps:  $n=0$   
 $\frac{0(0+1)}{2} = 0$

$n=1$   
 $\frac{1(1+1)}{2} = 1$  which is true for  $n=1$

2. Inductive hypothesis:  
 Assume  $P(k)$  is true  
 i.e.  $P(k) = \frac{k(k+1)}{2} = \frac{k^2+k}{2}$

3. Inductive step:  
 $P(k+1) = 1+2+3+\dots+k+(k+1)$   
 $= \frac{k(k+1)}{2} + (k+1) = \frac{(k+1)(k+2)}{2}$  (By inductive method.)

which is true, hence proved.

Example:-

Use mathematical induction to prove that  
 $1 + 2 + 2^2 + \dots + 2^n = 2^{n+1} - 1$

Solution:

1. Basis step:  $n=1$

$$1 = 2^{1+1} - 1 = 2^2 - 1 = 3$$

$$n=0$$

$$2^{0+1} - 1 = 2 - 1 = 1$$

which is true  
for  $n=1$ .

2. Inductive hypothesis:-

Assume  $P(k)$  is true.

$$\text{i.e. } P(k) = 2^{k+1} - 1 \quad ; \quad k = 1 + 2 + \dots + 2^k$$

3. Inductive step

$$P(k+1) = 1 + 2 + \dots + 2^k + 2^{k+1}$$

$$= 2^{k+1} - 1 + 2^{k+1}$$

$$= 2^{k+1}(1+1) - 1 + 2^{k+1}$$

$$= 2^{k+1} \cdot 2 - 1 + 2^{k+1}$$

$$= 2^{k+1} \cdot 2 - 1 + 2^{k+1}$$

$$= 2^{k+1} \cdot 2 - 1 + 2^{k+1}$$

$$= 2^{k+2} - 1$$



Prove that  $1 \cdot 1! + 2 \cdot 2! + \dots + n \cdot n! = (n+1)! - 1$ , whenever  $n$  is an true integer.

Solution

1. Basic step :-

$$n=0 \quad (0+1)! - 1 = 0$$

$$n=1 \quad (1+1)! - 1 = 1$$

which is true for  $n=1$ .

2. Inductive hypothesis

Assume  $P(k)$  is true

$$\text{i.e. } P(k) = 1 \cdot 1! + \dots + k \cdot k! = (k+1)! - 1$$

3. Inductive steps.

$$P(k+1) = 1 \cdot 1! + 2 \cdot 2! + \dots + k \cdot k! + (k+1)(k+1)!$$

$$= (k+1)! - 1 + (k+1)(k+1)!$$

$$= (k+1)! (1 + (k+1)) - 1$$

$$= (k+1)! (k+2) - 1$$

$$= (k+2)! - 1 \quad \text{By induction method}$$

## Strong Induction (Second principle of Mathematical Induction)

Steps:-

1. Basis steps: Show  $P(n_0)$  is true.
2. Inductive hypothesis (strong): Assume  $P(k)$  is true for all  $n_0 \leq k \leq n$ .
3. Inductive step: Show based on assumption that  $P(k+1)$  is true.

Eg:- Prove that 2 divides  $n^2+n$  whenever  $n$  is a positive integer.

Solution:

1. Basis step:-

$$n=0 \Rightarrow P(n_0) = 0$$

$$n=1$$

$$P(n_1) = \frac{1^2+1}{2} = \frac{2}{2} = 1$$

which is true for  $n=1$ .

2. Inductive hypothesis (strong):

Assume  $P(k)$  is true for all  $n_0 \leq k \leq n$ .

$$\text{i.e. } P(k) = \frac{k^2+k}{2}$$

3. Inductive step:-

$$P(k+1) = \frac{(k+1)^2+(k+1)}{2}$$

$$= \frac{k^2+2k+1+k+1}{2} = \frac{k^2+3k+2}{2}$$

$$\begin{aligned} \text{[}\because \text{ from inductive hypothesis: } k^2+k \text{ is divisible by 2]} &= \frac{k^2+k}{2} + \frac{2k(k+1)}{2} = \frac{k^2+k}{2} + 2(k+1) \\ &= 2k^2+2k+2m \quad (m=k^2+k) \\ &= 2(m+k+1) = 2l \end{aligned}$$

which is divisible by 2.

Hence, it is true, proved!

### Recursive Definition:-

Steps:-

1. Basis steps:-

Specify the value of the function at base (generally 0 or 1)

2. Recursive step:-

Specify the rule for finding the value of function using the value of a function already found.

Example:-

Give a recursive def<sup>n</sup> of a sequence  $\{a_n\}$ ;  $n=1, 2, \dots, n$  if  $a_n = 10^n$ .

Basis step:-

$$n=1 \\ a_n = 10^n \therefore a_1 = 10$$

Recursive step:-

$$a_n = 10 a_{n-1}$$

The recursive definition of sequence is  $a_n = 10 a_{n-1}$

# Give a recursive def<sup>n</sup> of the sequence  $\{a_n\}, n=1,2,3,\dots$   
if

(a)  $a_n = 6n$

(b)  $a_n = 2n + 1$

(c)  $a_n = 5$

(d)  $a_n = n^2$

sol<sup>n</sup>:-

(a)  $a_n = 6n$

Basis step:-

$n=1.$

$a_n = 6n ; a_1 = 6$

Recursive steps:-

$a_n = 6 + (a_{n-1})$

$\therefore$  The recursive definition of sequence is  $a_n = 6 + (a_{n-1})$

(b)  $a_n = 2n + 1$

Basis step:-

$n=1$

$a_n = 2n + 1 \Rightarrow a_1 = 3$

Recursive steps:-

$a_n = 2 + (a_{n-1})$

$\therefore$  The recursive definition of sequence is  $a_n = 2 + (a_{n-1}),$

$$(c) a_n = 5$$

Basis steps:

$$n = 1$$

$$a_n = 5 ; a_1 = 5.$$

Recursive steps:-

$$a_n = 5$$

∴ The recursive definition of sequence  $a_n = 5$

$$(d) a_n = n^2$$

Basis steps:-

$$n = 1$$

$$a_n = n^2 ; a_1 = 1 ; a_2 = 4 ; a_3 = 9 \dots$$

Recursive step:-

$$a_n = (2n - 1) + (a_{n-1})$$

∴ The recursive definition of sequence  $a_n = n^2$

$$a_n = (2n - 1) + (a_{n-1})$$

Unit 3 :-

Recurrence relation

→ A recurrence relation for a sequence  $\{a_n\}$  is an equation that expresses  $a_n$  in terms of one or more of the previous terms of the sequence namely  $a_0, a_1, \dots, a_{n-1}$  for all integers  $n$  with  $n \geq n_0$ ; where  $n_0$  is a non-negative integer.

→ A sequence is called a solution of recurrence relation if its terms satisfies the recurrence relation.

Example :-

For  $a_n = 3a_{n-1}$  and  $a_0 = 1$  find  $a_1, a_2, a_3, a_4$  &  $a_5$ .

Solution :-

$$a_0 = 1$$

$$a_n = 3a_{n-1}$$

Then

$$a_1 = 3 \cdot a_0 = 3(1) = 3$$

$$a_2 = 3 \cdot a_1 = 9$$

$$a_3 = 3 \cdot a_2 = 27$$

$$a_4 = 3 \cdot a_3 = 81$$

$$a_5 = 3 \cdot a_4 = 243$$

# Find the recurrence relation to find the total amount after 30 yrs if a person deposits Rs. 10,000 in a saving account of a bank yielding 11% per year with interest compounded annually.

Sol<sup>n</sup>:-

Basis step:

$$n=1.$$

$$a_1 = 10,000 \times 11\% + 10,000$$

$$= 10,000 + 1100$$

$$= 11,100$$

$$= a_0 + 0.11 a_0$$

let  $a_0 = 10,000$

Recursive step:

$$a_n = a_{n-1} + 0.11 a_{n-1}$$

$$a_n = a_{n-1} + 0.11 a_{n-1}$$

$$\text{or, } a_n = (1.11)^n P_0$$

$$a_1 = 11,100$$

$$a_2 = 11\% \text{ of } a_1 + a_1$$

$$= (1.11)^2 a_0$$

$$a_3 = (1.11)^3 a_0$$

## Solving Recurrence Relations.

### 1. Linear Homogeneous Recurrence Relation of Degree $k$ with constant coefficients.

Linear Homogeneous Recurrence Relation of Degree  $k$  with constant coefficient with recurrence relation of the form:-

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} \text{ where } c_1, c_2, \dots, c_k \text{ are real numbers and } c_k \neq 0.$$

The above relation is linear since, right hand side is the sum of the multiples of previous terms of the sequence. It is homogeneous because no terms occurs without being multiple of some  $a_j$ . All the coefficients of the terms are constant because they do not depend on  $n$ . And the degree of the relation is  $k$  because  $a_n$  is expressed in terms of previous  $k$  terms of the sequence.

$$(i) P_n = (1-1)P_{n-1}$$

$$(ii) a_n = a_{n-5}$$

$$(iii) a_n = a_{n+1} + a_{n-2}$$

$$(iv) H_n = 2H_{n-1} + 1 \quad (\otimes) \text{ (because it's not homogeneous)}$$

$$(v) B_n = n B_{n-1} \quad (\otimes) \text{ (because there is no constant)}$$



## Solving linear Homogeneous Recurrence Relation of Degree $k$ with constant coefficients.

In solving the recurrence relation of this type, the approach is to look for the solution of the form  $a_n = r^n$ , where  $r$  is a constant.  $a_n = r^n$  is a solution of a recurrence relation  $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k}$  if and only if  $r^n = C_1 r^{n-1} + C_2 r^{n-2} + \dots + C_k r^{n-k}$ .

When we divide both sides by  $r^{n-k}$  and transpose the right hand side we have,

$$r^k - C_1 r^{k-1} - C_2 r^{k-2} - \dots - C_k = 0.$$

Here, we can say  $a_n = r^n$  is a solution iff  $r$  is the solution of eqn  $r^k - C_1 r^{k-1} - \dots - C_k = 0$ , which is called characteristic eqn and the soln to this eqn is called characteristic root.

### Theorem 1:-

Let  $C_1$  and  $C_2$  be real numbers. Suppose  $r^2 - C_1 r - C_2 = 0$  has two distinct roots  $r_1$  and  $r_2$ . Thus the sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = C_1 a_{n-1} + C_2 a_{n-2}$  iff  $a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$  for  $n = 0, 1, 2, \dots$  where  $\alpha_1$  and  $\alpha_2$  are constants.

### Example:-

Solve the recurrence relation  $a_n = a_{n+1} + 6a_{n-2}$  for  $n \geq 2$ ,  $a_0 = 3$ ,  $a_1 = 6$ .

Solution:-

Characteristics eqn:-

$$r^2 - C_1 r - C_2 = 0$$

$$\Rightarrow r^2 - r - 6 = 0$$

$$\text{or } r_1 = 3; r_2 = -2$$

The solution of this recurrence rel<sup>n</sup> is

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \dots + \alpha_k r_k^n = 0$$

$$\therefore a_n = \alpha_1 3^n + \alpha_2 (-2)^n$$

We know that,

$$a_0 = 3 = \alpha_1 (3)^0 + \alpha_2 (-2)^0$$

$$\alpha_1 (3)^0 + \alpha_2 (-2)^0 = 3 \quad \text{--- (i)}$$

$$a_1 = 6 = \alpha_1 (3)^1 + \alpha_2 (-2)^1$$

$$\alpha_1 (3)^1 + \alpha_2 (-2)^1 = 6 \quad \text{--- (ii)}$$

From (i) & (ii)

$$\alpha_1 + \alpha_2 = 3$$

$$3\alpha_1 - 2\alpha_2 = 6$$

$$\therefore \alpha_1 = 12/5$$

$$\alpha_2 = 8/5$$

$$\therefore \{a_n\} \text{ is } a_n = \frac{12}{5} (3)^n + \frac{8}{5} (-2)^n$$

Exercise

What is the solution of the recurrence relation  $a_n = a_{n+1} + 2a_{n-2}$  with  $a_0 = 2$  and  $a_1 = 7$ ?

Solution:-

Characteristic eq<sup>n</sup> is

$$r^2 - r - 2 = 0$$

$$r^2 - r - 2 = 0$$

$$\alpha_1 r^2 - r + r - 2 = 0$$

$$\alpha_1 r(r-2) + 1(r-2) = 0$$

$$r = 1 - \alpha_1 (r-2) (r+1) = 0$$

$$r = 1 + 2 = 3 \quad \therefore r_1 = 3$$

$$r_2 = -1$$

Hence, we can conclude

The solution of the recurrence eq<sup>n</sup> is.

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$$

$$\therefore a_n = \alpha_1 (2)^n + \alpha_2 (-1)^n \quad \text{--- (*)}$$

We know that,

$$a_0 = 2$$

$$\alpha_1 (2)^0 + \alpha_2 (-1)^0 = 2 \quad \text{--- (i)}$$

$$a_1 = 7$$

$$\alpha_1 (2)^1 + \alpha_2 (-1)^1 = 7 \quad \text{--- (ii)}$$

From (i) & (ii),

$$\alpha_1 + \alpha_2 = 2 \quad \text{or } \alpha_1 = 2 - \alpha_2$$

$$2\alpha_1 - \alpha_2 = 7$$

$$\text{or } 2(2 - \alpha_2) - \alpha_2 = 7$$

$$\text{or } 4 - 2\alpha_2 - \alpha_2 = 7$$

$$\text{or } 4 - 3\alpha_2 = 7$$

$$\text{or } 3\alpha_2 = -3$$

$$\text{or } \alpha_2 = -1$$

$$\therefore \alpha_1 = 2 - (-1)$$

$$= 3$$

Putting value of  $\alpha_1$  and  $\alpha_2$ , we get.

$$a_n = 3(2)^n + (-1)(-1)^n$$

When  $n=0$ ,

$$a_0 = 3(2)^0 + (-1)(-1)^0 = 3 - 1 = 2$$

$$a_1 = 3(2)^1 + (-1)(-1)^1 = 6 + 1 = 7$$

$\therefore$  The solution of recurrence relation is  
 any is  $a_n = 3(2)^n + (-1)(-1)^n$

Exercise:-

Find the explicit formula for the fibonacci numbers. [Use  $f_n = f_{n-1} + f_{n-2}$  as recursive def<sup>n</sup> and  $f_0 = 0$  and  $f_1 = 1$  as initial condition].

Solution:-

Recursive Relation:-

$$f_n = f_{n-1} + f_{n-2}$$

Characteristic eq<sup>n</sup>:-

$$r^2 - r - 1 = 0$$

$$\therefore r = \frac{-1 \pm \sqrt{1 - 4(-1)}}{2}$$

$$r = \frac{-1 \pm \sqrt{5}}{2}$$

$$\therefore r_1 = \frac{-1 + \sqrt{5}}{2} \quad r_2 = \frac{-1 - \sqrt{5}}{2}$$

The sol<sup>n</sup> of recursive relation is.

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$$

$$a_n = \alpha_1 \left( \frac{-1 + \sqrt{5}}{2} \right)^n + \alpha_2 \left( \frac{-1 - \sqrt{5}}{2} \right)^n$$

We know,

$$a_0 = 0.$$

$$a_1 = 1.$$

$$\therefore \alpha_1 = -\alpha_2 \quad \text{--- (1)}$$

$a_1 = 1$

$$\alpha_1 \left( \frac{-1 + \sqrt{5}}{2} \right) + \alpha_2 \left( \frac{-1 - \sqrt{5}}{2} \right) = 1$$

$$\text{or, } \frac{-\alpha_1 + \alpha_1 \sqrt{5}}{2} + \frac{-\alpha_2 - \alpha_2 \sqrt{5}}{2} = 1$$

$$\text{or, } \frac{\alpha_1}{2} - \frac{\alpha_2 \sqrt{5}}{2} - \frac{\alpha_2}{2} - \frac{\alpha_2 \sqrt{5}}{2} = 1$$

$$\text{or, } -\alpha_2 = 2$$

$$\alpha_2 = -2$$

$$\text{or, } \alpha_2 = \frac{-1}{\sqrt{5}}$$

$$\therefore \alpha_1 = \frac{1}{\sqrt{5}}$$

Putting value of  $\alpha_1$  &  $\alpha_2$  we get.

$$\{a_n\} \text{ is } a_n = \frac{1}{\sqrt{5}} \left( \frac{-1 + \sqrt{5}}{2} \right)^n + \frac{1}{\sqrt{5}} \left( \frac{-1 - \sqrt{5}}{2} \right)^n$$

Theorem 2:

Let  $C_1$  and  $C_2$  be real numbers with  $C_2 \neq 0$ . Suppose that  $r^2 - C_1 r - C_2 = 0$  has only one root  $r_0$ . Then the sequence  $\{a_n\}$  is a sol<sup>n</sup> of the recurrence relation  $a_n = C_1 a_{n-1} + C_2 a_{n-2}$  iff  $a_n = \alpha_1 r_0^n + \alpha_2 n r_0^n$  for  $n = 0, 1, 2, \dots$  where  $\alpha_1$  and  $\alpha_2$  are constant.

Example:-

Solve the recurrence relation  $a_n = 2a_{n-1} - a_{n-2}$  for  $n \geq 2$ ,  $a_0 = 3$ ,  $a_1 = 6$

Solution:-

Characteristic eq<sup>n</sup>:-

$$r^2 - 2r + 1 = 0$$

$$\text{or, } r^2 - r - r + 1 = 0$$

$$\text{or, } r(r-1) - 1(r-1) = 0$$

$$\text{or, } (r-1)(r-1) = 0$$

$$\therefore r_1 = 1; r_2 = 1$$

The sol<sup>n</sup> of recurrence relation is

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$$

we have,  $a_0 = 3$

$$\therefore \alpha_1 (1)^0 + \alpha_2 (1)^0 = 3$$

$$\text{or, } \alpha_1 + \alpha_2 = 3 \quad \text{--- (1)}$$

$$a_1 = 6$$

$$\alpha_1 (1)^1 + \alpha_2 (1)^1 = 6$$

$$\alpha_1 + \alpha_2 = 6$$

$$\alpha_2 = 3$$

$$\therefore \text{any } a_n = 3(1)^n + 3 \cdot n(1)^n$$

What is the solution of the recurrence relation

$$a_n = 6a_{n-1} - 9a_{n-2} \text{ with initial condition } a_0 = 1 \text{ \& } a_1 = 6?$$

Sol<sup>n</sup>:-

Characteristic eq<sup>n</sup> is

$$r^2 - 6r + 9 = 0$$

$$\text{or, } r^2 - 6r + 9 = 0$$

$$\text{or, } 2r^2 - 3r + 3r + 9 = 0$$

$$\text{or, } r(r-3) - 3(r-3) = 0$$

$$\therefore (r-3)(r-3) = 0$$

$$\therefore r_1 = 3$$

$$r_2 = 3$$

The sol<sup>n</sup> of recurrence relation is

$$a_n = \alpha_1 (r_1)^n + \alpha_2 n (r_2)^n$$

When,

$$a_0 = 1$$

$$\alpha_1 (3)^0 + \alpha_2 \cdot 0 (3)^0 = 1$$

$$\alpha_1 = 1$$

$$a_1 = 6$$

$$\alpha_1 (3)^1 + \alpha_2 \cdot 1 \cdot (3)^1 = 6$$

$$3\alpha_1 + 3\alpha_2 = 6$$

$$\alpha_2 = 1$$

$$\therefore \{a_n\} \text{ is } a_n = 1(3)^n + 1n(3)^n$$

Theorem 3:-

Let  $C_1, C_2, \dots, C_k$  be real numbers suppose  $r^k - C_1 r^{k-1} - \dots - C_k = 0$  has  $k$  distinct roots  $r_1, r_2, \dots, r_k$ .

Then the sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k}$  if

$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \dots + \alpha_k r_k^n$  for  $n = 0, 1, 2, \dots$   
where  $\alpha_1, \dots, \alpha_k$  are constants.

Example:-

Solve the recurrence relation  $a_n = 2a_{n-1} + a_{n-2} - 2a_{n-3}$  for  $n \geq 3$ ,  $a_0 = 3, a_1 = 6, a_2 = 9$

(Characteristic Eq:-

$$r^3 - 2r^2 - r + 2 = 0$$

$$\text{or, } r^2(r-2) - 1(r-2) = 0$$

$$\text{or, } (r^2-1)(r-2) = 0$$

$$\text{or, } (r+1)(r-1)(r-2) = 0$$

$$\therefore r_1 = -1$$

$$r_2 = 1$$

$$r_3 = 2$$

The sol<sup>n</sup> of recurrence relation is:-

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \alpha_3 r_3^n$$

$$\text{or, } a_n = \alpha_1 (-1)^n + \alpha_2 (1)^n + \alpha_3 (2)^n$$

where,

$$a_0 = 3$$

$$\alpha_1 (-1)^0 + \alpha_2 (1)^0 + \alpha_3 (2)^0 = 3$$

$$\alpha_1 + \alpha_2 + \alpha_3 = 3 \quad \text{--- (i)}$$

When  $a_1 = 6$

$$\alpha_1 (-1)^1 + \alpha_2 (1)^1 + \alpha_3 (2)^1 = 6$$

$$-\alpha_1 + \alpha_2 + 2\alpha_3 = 6 \quad \text{--- (ii)}$$



When  $a_2 = 9$

$$a_1(-1)^2 + a_2(1)^2 + a_3(2)^2 = 9$$

$$a_1 + a_2 + 4a_3 = 9 \quad \text{--- (ii)}$$

From (i) (ii) & (iii)

$$a_1 + a_2 + a_3 = 3 \quad \text{--- (i)} \quad \text{or } a_1 + a_2 = 3 - a_3 \quad \text{--- (x)}$$

$$-a_1 + a_2 + 2a_3 = 6$$

$$a_1 + a_2 + 4a_3 = 9$$

from (x)

$$(3 - a_3) + 4(a_3) = 9$$

$$3 - a_3 + 4a_3 = 9$$

$$3 + 3a_3 = 9$$

$$-3 + 9 = 3a_3$$

$$6 = 3a_3$$

$$a_3 = 2$$

$\therefore$  from (i) & (ii)

$$a_1 + a_2 = 1$$

$$-a_1 + a_2 = 2$$

$$2a_2 = 3$$

$$\therefore a_2 = \frac{3}{2}$$

$$\therefore a_1 = 3 - 2 - \frac{3}{2}$$

$$= 1 - \frac{3}{2} = -\frac{1}{2}$$

$$\therefore \text{say } f(x) = -\frac{1}{2}(-1)^n + \frac{3}{2}(1)^n + 2(2)^n$$

Theorem 4:-

Let  $C_1, C_2, \dots, C_k$  be real numbers. Suppose that  $r^k - C_1 r^{k-1} - \dots - C_k = 0$  has  $t$  distinct roots  $r_1, r_2, \dots, r_t$  with multiplicity  $m_1, m_2, \dots, m_t$  respectively, so that  $m_i \geq 1$  for  $i=1, 2, \dots, t$  and  $m_1 + m_2 + \dots + m_t = k$ .

Then the sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k}$ .

iff

$$a_n = (\alpha_{1,0} + \alpha_{1,1}n + \dots + \alpha_{1,m_1-1}n^{m_1-1})r_1^n + (\alpha_{2,0} + \alpha_{2,1}n + \dots + \alpha_{2,m_2-1}n^{m_2-1})r_2^n + \dots + (\alpha_{t,0} + \alpha_{t,1}n + \dots + \alpha_{t,m_t-1}n^{m_t-1})r_t^n$$

for  $n=0, 1, 2, \dots$  where  $\alpha_{ij}$  are constant for  $1 \leq i \leq t$  and  $0 \leq j \leq m_i - 1$ .

Example:

Solve the recurrence relation  $a_n = 5a_{n-1} - 7a_{n-2} + 3a_{n-3}$  for  $n \geq 3$ ,  $a_0 = 2$ ,  $a_1 = 7$  and  $a_2 = 15$ .

Sol<sup>n</sup>:

Characteristic eq<sup>n</sup>:-

$$r^3 - 5r^2 + 7r - 3 = 0$$

$$\text{or } r^3 - r^2 - 4r^2 + 4r + 3r - 3 = 0$$

$$\text{or } r^2(r-1) - 4r(r-1) + 3(r-1) = 0$$

$$\text{or } (r-1)(r^2 - 4r + 3) = 0$$

$$\text{or } (r-1)(r^2 - r - 3r + 3) = 0$$

$$\text{or } (r-1)r(r-1) - 3(r-1) = 0$$

$$\text{or } (r-1)(r-1)(r-3) = 0$$

$$\therefore r_1 = 1 \quad r_2 = 1 \quad r_3 = 3$$

$$\therefore r_1 = 1 \text{ and } m_1 = 2$$

$$r_2 = 3 \text{ and } m_2 = 1$$

$$\therefore a_n = (\alpha_{1,0} + \alpha_{1,1}n + \dots + \alpha_{1,m_1-1}n^{m_1-1})r_1^n + (\alpha_{2,0} + \alpha_{2,1}n + \dots + \alpha_{2,m_2-1}n^{m_2-1})r_2^n$$

$$a_n = [( \alpha_{1,0} + \alpha_{1,1}n ) 1^n + (\alpha_{2,0}) 3^n]$$

We have

$$a_0 = 1 \quad \text{--- (i)}$$

$$a_1 = 9 \quad \text{--- (ii)}$$

$$a_2 = 15 \quad \text{--- (iii)}$$

~~$$a_0 = [\alpha_{1,0} + \alpha_{1,1} \cdot 0] 1^0 = 1$$~~

~~$$\alpha_{1,0} = 1$$~~

~~$$[\alpha_{1,0} + \alpha_{1,1} \cdot 1] 1^1 = 9$$~~

~~$$\alpha_{1,0} + \alpha_{1,1} = 9$$~~

~~$$[\alpha_{1,0} + \alpha_{1,1} \cdot 2] 1^2 + \alpha_{2,0} \cdot 3^2 = 15$$~~

$$(\alpha_{1,0} + \alpha_{1,1} \cdot 0) 1^0 + \alpha_{2,0} 3^0 = 1$$

$$\alpha_{1,0} + \alpha_{2,0} = 1 \quad \text{--- (i)}$$

$$(\alpha_{1,0} + \alpha_{1,1} \cdot 1) 1^1 + \alpha_{2,0} 3^1 = 9$$

$$\alpha_{1,0} + \alpha_{1,1} + 3\alpha_{2,0} = 9 \quad \text{--- (ii)}$$

$$(\alpha_{1,0} + \alpha_{1,1} \cdot 2) 1^2 + \alpha_{2,0} 3^2 = 15$$

$$\alpha_{1,0} + 2\alpha_{1,1} + 9\alpha_{2,0} = 15 \quad \text{--- (iii)}$$

From (i) & (iii)

$$2\alpha_{1,0} + 2\alpha_{1,1} + 6\alpha_{2,0} = 18$$

$$\alpha_{1,0} + 2\alpha_{1,1} + 3\alpha_{2,0} = 15$$

---


$$\alpha_{1,0} - 3\alpha_{2,0} = 3 \quad \text{--- (iv)}$$

from (i) & (v)

$$\alpha_{1,0} - 3\alpha_{2,0} = 3$$

$$\alpha_{1,0} + \alpha_{2,0} = 6$$

---


$$-4\alpha_{2,0} = 2$$

$$\alpha_{2,0} = -\frac{1}{2}$$

$$\therefore \alpha_{1,0} = 6 - \alpha_{2,0} = 6 + \frac{1}{2} = \frac{13}{2}$$

$$\alpha_{1,1} = 9 - 3\alpha_{2,0} - \alpha_{1,0}$$

$$= 9 + \frac{3}{2} - \frac{13}{2}$$

$$= 5$$

The solution of sequence is :-

$$a_n = \left(\frac{3}{2} + 0 \cdot n\right) 3^n + \left(-\frac{1}{2}\right) 3^n$$

For  $n=0$ ,

$$a_0 = \frac{3}{2} - \frac{1}{2} = 1$$

$$s = \dots$$

$$\dots$$

$$\dots$$

$$\dots$$

Exercise:-

Find the solution to the recurrence relation  
 $a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3}$  with initial conditions  
 $a_0 = 1$ ,  $a_1 = -2$  and  $a_2 = -1$ .

Solution:-

Characteristic eq<sup>n</sup> is

$$r^3 + 3r^2 + 3r + 1 = 0$$

~~$$0 = r^2(3r+1) \text{ or } (r+1)^3 = 0$$~~

$$\text{or } (r+1)(r+1)(r+1) = 0$$

$$\therefore r = -1 \text{ and } m = 3$$

~~$$\therefore a_n = (\alpha_{1,0} + \alpha_{1,1}n + \alpha_{1,2}n^2) (-1)^n$$~~

$$\therefore a_n = (\alpha_{1,0} + \alpha_{1,1}n + \dots + \alpha_{1,m-1}n^{m-1}) r^n$$

$$\text{or } a_n = (\alpha_{1,0} + \alpha_{1,1}n + \alpha_{1,2}n^2) (-1)^n$$

We have,

$$a_0 = 1$$

$$a_1 = -2$$

$$a_2 = -1$$

$$(\alpha_{1,0} + \alpha_{1,1} \cdot 0 + \alpha_{1,2} \cdot 0^2) (-1)^0 = 1$$

$$\alpha_{1,0} = 1 \quad \text{--- (i)}$$

$$(\alpha_{1,0} + \alpha_{1,1} \cdot 1 + \alpha_{1,2} \cdot 1^2) (-1)^1 = -2$$

$$-(\alpha_{1,0} + \alpha_{1,1} + \alpha_{1,2}) = -2 \quad \text{--- (ii)}$$

~~$$\alpha_{1,0} + \alpha_{1,1} + \alpha_{1,2} = 2 \quad \text{--- (iii)}$$~~

~~From (i),  $\alpha_{1,1} + \alpha_{1,2} = -1 \quad \text{--- (iv)}$~~

$$(\alpha_{1,0} + \alpha_{1,1} 2 + \alpha_{1,2} 2^2) (-1)^2 = -1$$

$$\alpha_{1,0} + 2\alpha_{1,1} + 4\alpha_{1,2} = -1 \quad \text{--- (iii)}$$

from (ii) & (iii)

~~$$-\alpha_{1,0} - \alpha_{1,1} - \alpha_{1,2} = -2$$~~

~~$$\alpha_{1,0} + 2\alpha_{1,1} + 4\alpha_{1,2} = -1$$~~

$$\alpha_{1,1} + 3\alpha_{1,2} = -3$$

from (i) & (ii)

$$-1 - \alpha_{1,1} - \alpha_{1,2} = -2$$

$$-\alpha_{1,1} - \alpha_{1,2} = -1$$

$$\alpha_{1,1} + \alpha_{1,2} = 1$$

$$\text{or, } \alpha_{1,1} = 1 - \alpha_{1,2}$$

Now,

in (iii)

$$1 + 2 = 2\alpha_{1,2} + 4\alpha_{1,2} = -1$$

$$1 + 2 + 2\alpha_{1,2} = -1$$

$$3 + 2\alpha_{1,2} = -1$$

$$2\alpha_{1,2} = -4$$

$$\alpha_{1,2} = -2$$

$$\text{or, } \alpha_{1,1} = 1 - (-2) = 3.$$

\(\therefore\) The sol<sup>n</sup> of sequence \(\{a\_n\}\) is

$$a_n = [1 + 3n + (-2)n^2] (-1)^n$$

## 2. Solving Linear Non-homogeneous Recurrence Relation of Degree $k$ with Constant Coefficients.

The recurrence relation of the form  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + f(n)$  where  $c_1, c_2, \dots, c_k$  are real numbers and  $f(n)$  is a function depending upon  $n$ . The recurrence relation preceding  $f(n)$  is called associated homogeneous recurrence relation.

### Theorem 5:-

If  $\{a_n^{(p)}\}$  is a particular solution of the non homogeneous linear recurrence relation with constant coefficient  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + f(n)$  then every solution of the form  $\{a_n^{(p)} + a_n^{(h)}\}$  where  $a_n^{(h)}$  is a solution of a associated homogeneous recurrence relation,

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

### Example:-

Find all the solutions of the recurrence relation  $a_n = 4a_{n-1} + n^2$ . Also find the solution of relation with initial condition  $a_1 = 1$ .

### Solution

here the associated recurrence relation is  $a_n = 4a_{n-1}$ .

Characteristic eq<sup>n</sup>:

$$r^2 - 4r + 1 = 0 \quad r - 4 = 0$$

$$r^2 - 2r - 2r + 1 = 0 \quad r = 4$$

$$\therefore a_n = \alpha(4)^n$$

$$\int a_n^{(n)} y = \alpha 4^n.$$

Now,

$f(n) = n^2$  is a polynomial of degree 2, a trial solution is a quadratic function in  $n$ , say  $p_n = an^2 + bn + c$  where  $a, b, c$  are constants.

To determine whether there are any solutions of this form, suppose that  $p_n = an^2 + bn + c$  is such a solution. Then the eq<sup>n</sup> is  $a_n = 4a_n + 2 + n^2$  becomes

$$\begin{aligned} an^2 + bn + c &= 4(a(n-1)^2 + b(n-1) + c) + n^2 \\ &= [4a(n-1)^2 + 4b(n-1) + 4c] + n^2 \\ &= [4a(n^2 - 2n + 1) + 4b(n-1) + 4c] + n^2 \\ &= (4an^2 - 4a + 4bn - 4b + 4c) + n^2 \\ &= (4a+1)n^2 + (4b-1)n + (4a-4b+4c) \end{aligned}$$

$an^2 + bn + c$  is a sol<sup>n</sup>  $\therefore$

$$a = 4a + 1 \Rightarrow 3a = -1 \Rightarrow a = -1/3$$

$$b = -8a + 4b \Rightarrow 2 + 4b \Rightarrow b = 1/2$$

$$c = 4a - 4b + 4c \Rightarrow -1 - 2 + 4c \Rightarrow c = 3/4$$

$$a = 4a + 1 \therefore a = -1/3$$

$$b = -8a + 4b \therefore b = -8/9$$

$$c = 4a - 4b + 4c \therefore c = -20/27$$

$$\therefore \int a_n^{(n)} y = an^2 + bn + c = \frac{-1}{3}n^2 + \frac{-8}{9}n - \frac{20}{27}$$

Now,

the solution of  $\int a_n y$  is

$$\int a_n^{(n)} + a_n^{(n)} y =$$

$$\therefore a_n = \alpha 4^n + \frac{-1}{3}n^2 - \frac{8}{9}n - \frac{20}{27}$$



Now,  $a_1 = 1$ .

$$a_n = \alpha(4)^n + \left( -\frac{1}{3}n^2 - \frac{8}{9}n - \frac{20}{27} \right)$$

$$\alpha(4)^1 + \left( -\frac{1}{3}(1)^2 - \frac{8}{9}(1) - \frac{20}{27} \right) = 1$$

$$4\alpha + \left( -\frac{53}{27} \right) = 1$$

$$\alpha = \frac{53}{27}$$

$$\alpha = \frac{20}{27}$$

$\therefore$  The <sup>final</sup> solution of  $\{a_n\}$

$$a_n = \frac{20}{27}(4)^n + (an^2 + bn + c)$$

Exercise :-

Find all the solutions of the recurrence relation  $a_n = 3a_{n-1} + 2^n$ . What is the solution with  $a_1 = 3$ .

Solution:-

here the associated recurrence relation is  $a_n = 3a_{n-1}$

Characteristic eq<sup>n</sup>:-

$$r - 3 = 0$$

$$r = 3$$

$$\therefore a_n = \alpha (3)^n$$

$$\{a_n^{(h)}\} = \alpha 3^n$$

Now  $f(n) = 2^n$  is a polynomial of degree 1, a trial solution of  $n$  a linear function is  $n$ , say  $p_n = an + c$  where  $a$  &  $c$  are constant.

To determine whether there are solutions of the form, suppose  $p_n = an + c$  is such sol<sup>n</sup>. Then eq<sup>n</sup> is  $a_n = 3a_{n-1} + 2^n$  becomes.

$$an + c = 3[a(n-1) + c] + 2^n$$

$$= 3[an - a + c] + 2^n$$

$$0 = 3an - 3a + 3c + 2^n - 3a$$

$$= 3an + 3n + 3c - n(3a + 2) + 3c(3a + 3c)$$

$$= (3a + 2)n + 3c(3a + 3c)$$

$\therefore an + c$  is sol<sup>n</sup> if

$$a = 3a + 2 \quad \text{or} \quad 2a = -2 \Rightarrow a = -1$$

$$c = 3c + 3a \quad \text{or} \quad c = 3c + 3$$

$$-2c = 3 \quad \text{or} \quad -2c - c = -3 \quad \text{or} \quad c = -3/2$$

$$\therefore \{a_n^{(p)}\} = a_n + c = -n - \frac{3}{2}$$

Now,

The solution of  $\{a_n\}$  is

$$\{a_n^{(p)} + a_n^{(n)}\}$$

$$\therefore a_n = 4 \cdot 3^n - n - \frac{3}{2}$$

Now,

$$a_1 = 3$$

$$a_n = 4 \cdot 3^n - n - \frac{3}{2}$$

$$\text{or, } 3 = 4 \cdot 3^1 - 1 - \frac{3}{2}$$

$$\text{or, } 3 = 4 \cdot 3 - \frac{2-3}{2}$$

$$\text{or, } 3 = 3\alpha \cdot \frac{5}{2}$$

$$\text{or, } 3\alpha = 3 - \frac{5}{2} + \frac{5}{2} = 0 + \frac{10}{2}$$

$$\text{or, } 3\alpha = \frac{10}{2}$$

$$\therefore \alpha = \frac{10}{6}$$

$\therefore$  Final solution of  $\{a_n\}$  is

$$a_n = \frac{11}{6} (3)^n - n - \frac{3}{2}$$

Theorem 6:-

Suppose that  $\{a_n\}$  satisfies the linear ~~to~~ non-homogeneous recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + f(n)$ , where  $c_1, c_2, \dots, c_k$  are real numbers and  $f(n) = (b_t n^t + b_{t-1} n^{t-1} + \dots + b_1 n + b_0) s^n$ , where  $b_0, b_1, \dots, b_t$  and  $s$  are real numbers.

When  $s$  is not a root of the characteristic eq<sup>n</sup> of the associated linear homogeneous recurrence relation, there is a particular solution of the form  $(P_t n^t + P_{t-1} n^{t-1} + \dots + P_1 n + P_0) s^n$ .

When  $s$  is a root of the characteristic eq<sup>n</sup> and its multiplicity is  $m$ , there is a particular solution of the form  $n^m (P_t n^t + P_{t-1} n^{t-1} + \dots + P_1 n + P_0) s^n$ .

Example:-

Find a solution of the recurrence relation  $a_n = 2a_{n-1} + n 2^n$ .

Sol<sup>n</sup>:-

here the associated <sup>linear homogeneous</sup> recurrence relation is  $a_n = 2a_{n-1}$

Characteristic eq<sup>n</sup> is

$$r - 2 = 0$$

$$r = 2$$

$\therefore$  Sol<sup>n</sup> of  $\{a_n\}$  is  $\propto 2^n$

$$f(n) = n \cdot 2^n$$

$\therefore$  The function is  $p = (b_1 n + b_0) s^n$

$$\{a_n\} = n^n (P_t n^t + P_{t-1} n^{t-1} + \dots + P_0) s^n$$

$$= n (p_1 n + p_0) 2^n$$

$$= n (p_1 n + p_0) 2^n$$

Example :-

Suppose that  $f(x)$  is a polynomial function of degree  $n$  with real coefficients. Then  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ , where  $a_n \neq 0$  and  $a_0, a_1, \dots, a_{n-1}$  are real numbers.

Let  $\alpha$  be a root of  $f(x)$ . Then  $f(\alpha) = 0$ . This implies that  $a_n \alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_1 \alpha + a_0 = 0$ .

Since  $\alpha$  is a root of  $f(x)$ , it is also a root of the characteristic equation of the homogeneous system  $y'' + p(x)y' + q(x)y = 0$ .

Let  $\alpha$  be a root of the characteristic equation of  $y'' + p(x)y' + q(x)y = 0$ . Then  $\alpha^2 + p(\alpha)\alpha + q(\alpha) = 0$ .

Example :-

Find a solution of the homogeneous equation  $y'' - 2y' + 2y = 0$ .

Let  $y = e^{rx}$  be a solution of the homogeneous equation. Then  $y' = r e^{rx}$  and  $y'' = r^2 e^{rx}$ . Substituting these into the equation, we get  $r^2 e^{rx} - 2r e^{rx} + 2e^{rx} = 0$ .

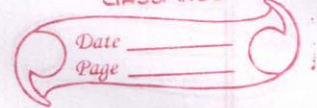
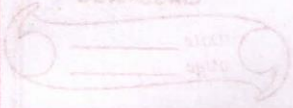
Since  $e^{rx} \neq 0$ , we can divide both sides by  $e^{rx}$  to get  $r^2 - 2r + 2 = 0$ .

The characteristic equation is  $r^2 - 2r + 2 = 0$ . The roots are  $r = 1 \pm i$ .

Therefore, the general solution of the homogeneous equation is  $y = e^x (C_1 \cos x + C_2 \sin x)$ .

Let  $y = e^{rx}$  be a solution of the homogeneous equation. Then  $y' = r e^{rx}$  and  $y'' = r^2 e^{rx}$ . Substituting these into the equation, we get  $r^2 e^{rx} - 2r e^{rx} + 2e^{rx} = 0$ .

Since  $e^{rx} \neq 0$ , we can divide both sides by  $e^{rx}$  to get  $r^2 - 2r + 2 = 0$ .



Find the  $\mathcal{B}^1$  of the recurrence relation  ~~$a_n = 6a_{n-1}$~~   
 $a_n = 6a_{n-1} - 9a_{n-2} + n3^n$ .

classmate  
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classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_

Find the N. of the necessary relation on each.

## Counting

### Introduction

- ~~Combination~~ Combinatorics is the study of arrangement of objects.
- Enumeration the counting of object with certain properties is an important part of combinatorics.
- we must count objects to determine the complexity the algorithm, to determine there are enough telephone numbers to meet demand etc.

### Basis of Counting

#### Sum Rule:

If a task can be done in  $n_1$  ways and a second task in  $n_2$  ways and if these tasks cannot be done at same time, then there are  $n_1 + n_2$  ways to done one of these tasks.

$$\text{i.e. } |A_1 \cup A_2 \cup A_3 \cup \dots \cup A_m| = |A_1| + |A_2| + \dots + |A_m|$$

#### Example:-

In how many ways we can draw a heart or a diamond from an ordinary deck of playing cards?

The number of ways to draw diamond ( $n_1$ ) = 13  
The number of ways to draw heart ( $n_2$ ) = 13.

∴ The total number of ways ( $n_1 + n_2$ ) = 26.



Example:-

In how many ways we can get a sum of 4 or of 8 when two distinguishable dice are rolled?

Solution:- By sum rule.

No. of ways we can get a sum of 4 is

$$(n_1) = 3$$

No. of ways we can get a sum of 8 is

$$(n_2) = 5$$

$\therefore$  The total number of ways  $(n_1 + n_2) = 8$ .

Product Rule

If a task can be done in  $n_1$  ways and a second task in  $n_2$  ways, after the first task has been done, then there are  $n_1 \cdot n_2$  ways to do the work that consists both the task.

$$\text{i.e. } |A_1 \times A_2 \times \dots \times A_k| = |A_1| \cdot |A_2| \cdot \dots \cdot |A_k|$$

Example:-

An office building contains 27 floors and has 32 offices on each floor. How many offices are there in the building?

Solution:-

By Product Rule;

$$\text{no. of floors } (n_1) = 27$$

$$\text{no. of offices } (n_2) = 32$$

$$\text{Total no. of offices} = n_1 \cdot n_2 = 27 \times 32$$

How many different three-letter initials with none of the letters can be repeated can people have?

Solution:-

The different ways in which three-letter initials with none of the letters can be repeated can people have =  $26 \times 25 \times 24$ .

The inclusion - exclusion Principle:-

When two tasks can be done at the same time, we cannot use the sum rule to count the number of ways to do one of the two tasks. Adding the number of ways to do each task leads to an over count, since the ways to do both tasks are counted twice. To correctly count the number of ways to do one of the two tasks, we add the number of ways to do both tasks. This technique is called principle of inclusion - exclusion.

Let  $A_1$  and  $A_2$  be sets and let  $T_1$  be the task of choosing element from  $A_1$ , and  $T_2$  be the task of choosing an element from  $A_2$ . The number of ways to do either  $T_1$  or  $T_2$  is.

$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

Exercise:-

How many bit strings of length <sup>eight</sup> ~~eight~~ either start with a 1 bit or end with two bits 00?

Solution:-

$A_1 =$  bit starting with 1

$A_2 =$  bit <sup>ending</sup> starting with 00

$$A_1 = 2^7 \text{ ways} = \underline{\quad \quad \quad}$$

$$A_2 = 2^6 \text{ ways} = \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad}$$

$$|A_1 \cup A_2| = \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} \underline{\quad \quad \quad} = 2^5 \text{ ways}$$

By principle of inclusion & exclusion principle.

$$\therefore |A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

$$= 2^7 + 2^6 - 2^5$$

$$= 160 \text{ ways}$$

Pigeonhole Principle:-

The Pigeonhole Principle (Theorem):-

If  $k+1$  or more objects are placed into  $k$  boxes then there is at least one box containing two or more of the objects.

Proof:-

We use proof by contradiction. Suppose that  $k+1$  or more boxes are placed into  $k$  boxes and no boxes such that there are no two objects in a box. This contradicts our assumption. So, there is at least one box assuming two or

more of the objects.

Example:-

Show that if there are 30 students in a class, then at least two have last name that begins with same letter.

Solution:-  
Total number of students = 30  
number of alphabets = 26.

By pigeonhole principle, <sup>at least</sup> there are two students having last name that begins with same letter.

Example:-

How many students must be in a class to guarantee that at least two students receive the same score on the final exam, if the exam is graded on a scale from 0 to 100 points?

Solution:-

$n = \text{no. of students in class.}$

~~no~~ score ~~in~~ in exam = 0 to 100

By pigeonhole principle,

~~At least~~ There must be at least 102 students.

## The generalised pigeonhole principle

If  $N$  objects are placed into  $K$  boxes there is at least  $\lceil N/K \rceil$  objects.

Proof:-

Suppose one of the boxes contains  $\lceil N/K \rceil$  or more objects. Then every box contains at most  $\lceil N/K \rceil - 1$  objects. So the total number of objects is at most  $K(\lceil N/K \rceil - 1)$ .

$$\text{But } \lceil N/K \rceil - 1 < N/K$$

Thus, the total number of objects is less than  $K(N/K)$  is less than  $N$ . This is a contradiction. Hence the proof.

Examples:-

Find the minimum number of people among 100 people who were born in the same month.

Solution:-

$$[N] \text{ total number of people} = 100$$

$$\text{no. of month } [K] = 12$$

By generalised pigeonhole principle,

$$\begin{aligned} \lceil N/K \rceil &= \lceil 8.33 \rceil \\ &= 9 \end{aligned}$$

If a class has 24 students, what is the max<sup>m</sup> number of possible grading that must be done to ensure that there are atleast two students with the same grade.

Solution:-

$$N = 24$$

$$K = ?$$

$$\lceil N/K \rceil = 2$$

We know that, By generalised pigeonhole principle,

$$N = K(r-1) + 1$$

$$\text{or, } 24 = K(2-1) + 1$$

$$\text{or, } 24 = K + 1$$

$$\therefore K = 23$$

# What is the minimum number of students required in a class to be sure that at least two will receive the same grade, if there are five possible grades A, B, C, D, E & F?

Solution:-

$$N = ?$$

$$K = 5$$

$$N/K = 6$$

Acc. to G. P. P.

$$N = K[4K-1] + 1$$

$$= 5[6-1] + 1$$

$$= 26$$

## Permutations and Combinations:-

### Permutations.

A permutation of a set of distinct objects is an ordered arrangement of these objects. An ordered arrangement of  $n$  elements of a set is called  $n$ -permutation.

$$\therefore P(n, r) = \frac{n!}{(n-r)!} \quad \text{and} \quad P(n, n) = n!$$

### Example:-

How many ways are there to select a first-prize winner, a second-prize winner and a third-prize winner from 100 different people who have entered a contest?

### Solution:-

$$n = 100$$

$$r = 3$$

$$P(n, r) = \frac{n!}{(n-r)!} = \frac{100!}{(100-3)!} = \frac{100!}{97!}$$

# How many permutations of the letters ABCDEFGH contains the string ABC?

### Solution:-

$$n = 8$$

$$r = 6$$

$$P(n, n) = 6! = 720$$

# Suppose that a ~~salesman~~ saleswoman has to visit eight different ~~travels~~ <sup>cities</sup>. She must begin ~~at~~ her trip in a specified city, but she can visit other seven cities in any order she wishes. ~~to~~ How many possible orders can be the saleswoman use when visiting these cities?

Solution:-

$$n = 8$$

$$r = 1$$

$$P(n, r) = 8!$$

2. Show ~~it~~ by mathematical induction that

$$\sum_{j=0}^n ar^j = a + ar + ar^2 + \dots + ar^n = \frac{ar^{n+1} - a}{r-1} \text{ where}$$

$r \neq 1$ , and  $n$  is a non-negative integer.



Combinations:-

An  $r$ -combination of elements of a set is an unordered selection of  $r$  elements from the set.

$$C(n, r) = \frac{n!}{r!(n-r)!}$$

Example:-

How many ways are there to select five players from a 10-member tennis team to make a trip to a match at another college?

Solution

$$\begin{aligned} \text{total no. of member } (n) &= 10 \\ r &= 5 \end{aligned}$$

$$\therefore C(10, 5) = \frac{10!}{5!(10-5)!}$$

$\therefore$  252 ways are there to select five players from a 10-member tennis team.

Binomial Coefficients

Theorem:-

Let  $x$  and  $y$  be variables, and let  $n$  be non-negative integer. Then,

$$(x+y)^n = \binom{n}{0} x^n y^0 + \binom{n}{1} x^{n-1} y^1 + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n} x^0 y^n$$

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

$$= \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \dots + \binom{n}{n-1} x y^{n-1} + \binom{n}{n} y^n$$

1. What is the expansion of  $(x+y)^4$ ?

$$(x+y)^4 = \sum_{j=0}^4 \binom{4}{j} x^{4-j} y^j$$

$$= \binom{4}{0} x^4 + \binom{4}{1} x^3 y + \binom{4}{2} x^2 y^2 + \binom{4}{3} x y^3 + \binom{4}{4} y^4$$

$$= x^4 + 4x^3 y + 6x^2 y^2 + 4x y^3 + y^4$$

2. What is the coefficient of  $x^{12} y^{13}$  in the expansion of  $(x+y)^{25}$ ?

Solution:-

The coefficient of  $x^{12} y^{13}$  is :

$$j = 13$$

$$n - j = 12$$

$$\therefore n = 12 + 13 = 25$$

$$\therefore \binom{n}{j} = \binom{25}{13} = 5200300$$

3. What is the coefficient of  $x^{12}y^{13}$  in the expansion of  $(2x - 3y)^{25}$ ?

Solution:-

$$(2x + (-3y))^{25}$$

The coefficient of  $x^{12}y^{13}$  is:-

$$j = 13$$

$$\begin{aligned} \therefore \binom{n}{j} &= \binom{25}{13} = -3.35 \times 10^{16} \\ &= \binom{25}{13} (2)^{12} x^{12} (-3)^{13} y^{13} = -3.35 \times 10^{16} \end{aligned}$$

Corollary 1:-

Let  $n$  be a non-negative integer, Then,

$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

Proof:-

Using the binomial theorem with  $x=1$  and  $y=1$ .

$$2^n = (x+y)^n = (1+1)^n$$

Then the binomial expansion will be

$$(1+1)^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} 1^k = \sum_{k=0}^n \binom{n}{k}$$

proved!

Corollary 2:-

Let  $n$  be a non-negative integer. Then

$$\sum_{k=0}^n (-1)^k \binom{n}{k} = 0$$

Proof:-

Let  $x=1$ ,  $y=(-1)$ .

$$0^n = (1 + (-1))^n =$$

$\therefore$  Using binomial theorem,

$$\sum_{k=0}^n \binom{n}{k} (1)^{n-k} (-1)^k = \sum_{k=0}^n \binom{n}{k} \times 0$$

$$= 0$$

proved!

Corollary 3:-

Let  $n$  be a non-negative integer. Then,

$$\sum_{k=0}^n (2)^k \binom{n}{k} = 3^n.$$

Proof:-

Using binomial theorem with  $x=1$  &  $y=2$ .

$$\therefore \sum_{k=0}^n \binom{n}{k} (1)^{n-k} (2)^k =$$

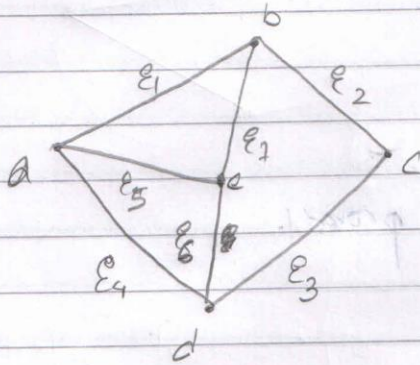
$$3^n = (1+2)^n$$

$$(1+2)^n = \sum_{k=0}^n \binom{n}{k} (1)^{n-k} (2)^k$$

$$= 2^k \sum_{k=0}^n \binom{n}{k}$$

proved.

# Unit - 4: Graphs

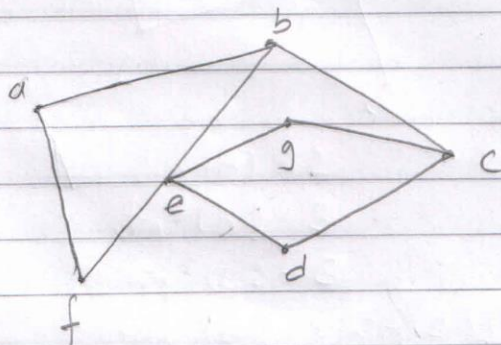


$G(V, E)$

$E = \{(a, b), (b, c), (c, d), (d, a), (a, e), (e, d)\}$   
 $V = \{a, b, c, d, e\}$

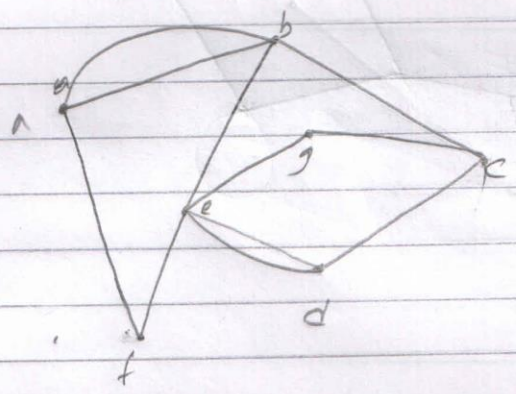
## Types of Graph

### 1. Simple Graph

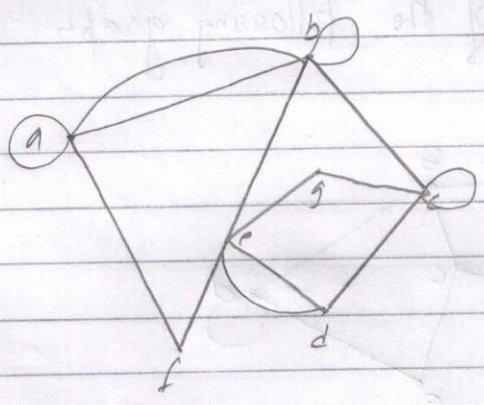


$G$

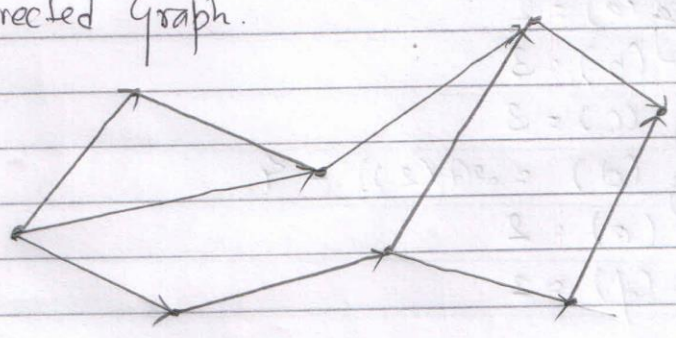
2. Multigraph



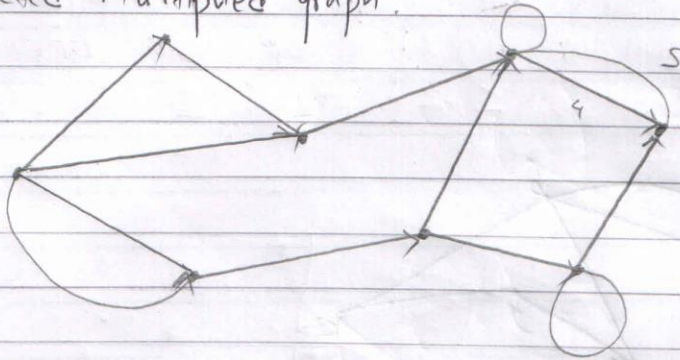
3. Pseudograph



3. Directed Graph



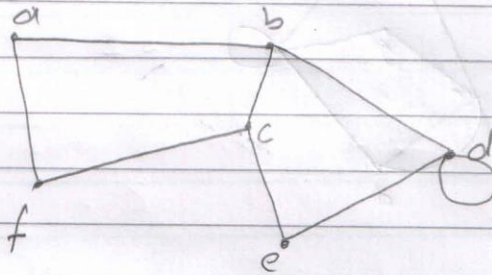
## 4. Directed Multiplied Graph.



## Graph Terminologies

Degree of vertices:-

# Find the degree of the following graph.



$$\text{deg}(a) = 2$$

$$\text{deg}(b) = 3$$

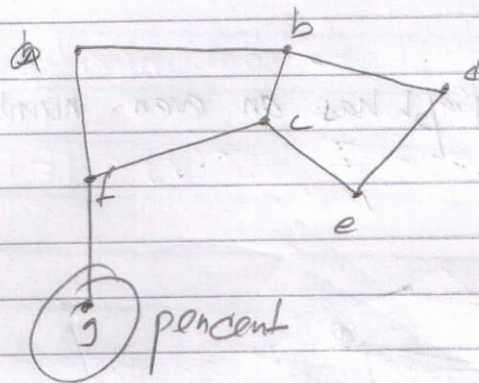
$$\text{deg}(c) = 3$$

$$\text{deg}(d) = 2 + (2) = 4$$

$$\text{deg}(e) = 2$$

$$\text{deg}(f) = 2$$

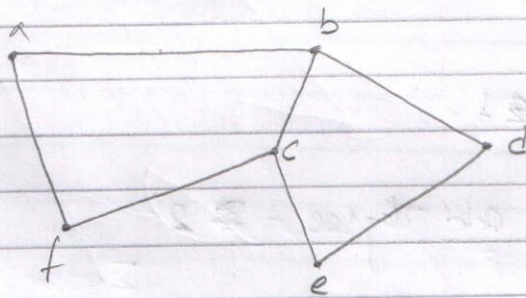




Theorem: The Handshaking Theorem

Let  $G = (V, E)$  be an undirected graph with  $e$  edges.  
Then,

$$2e = \sum_{v \in V} \deg(v)$$



$$\deg(a) = 2$$

$$\deg(b) = 3$$

$$\deg(c) = 3$$

$$\deg(d) = 2$$

$$\deg(e) = 2$$

$$\deg(f) = 2$$

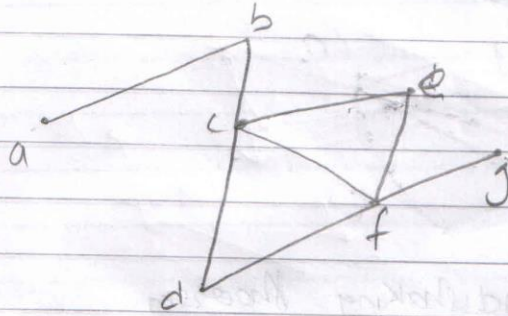
$$\text{Total deg} = 14$$

$$e = 7$$

$$\therefore \deg = 2e$$

Theorem :

An undirected graph has an even number of vertices of odd degree.



$$\deg(a) = 2$$

$$\deg(b) = 2$$

$$\deg(c) = 4$$

$$\deg(d) = 2$$

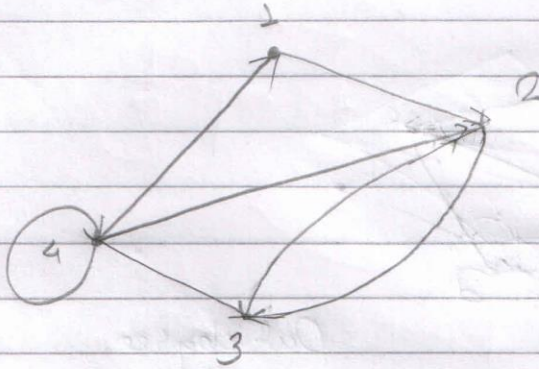
$$\deg(e) = 2$$

$$\deg(f) = 4$$

$$\deg(g) = 1$$

vertices with odd degree = 2

# Find the in-degree and out-degree in the following graph.



|   |             |             |   |                |
|---|-------------|-------------|---|----------------|
| } | In-degree   | Out-degree  | } | Representation |
|   | $\deg^-(a)$ | $\deg^+(a)$ |   |                |

In-degree

Out-degree

$$\deg^-(1) = 1$$

$$\deg^+(1) = 1$$

$$\deg^-(2) = 3$$

$$\deg^+(2) = 1$$

$$\deg^-(3) = 2$$

$$\deg^+(3) = 1$$

$$\deg^-(4) = 1$$

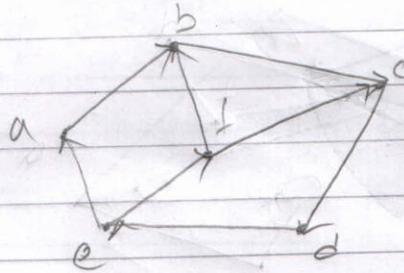
$$\deg^+(4) = 3$$

Theorem:-

$G(V, E)$  be a graph with directed edges.

Then,

$$\sum_{v \in V} \text{deg}^-(v) = \sum_{v \in V} \text{deg}^+(v) = |E|$$



In degree

~~$\text{deg}^-(a) = 1$~~

$\text{deg}^-(a) = 2$

$\text{deg}^-(b) = 2$

$\text{deg}^-(c) = 2$

$\text{deg}^-(d) = 1$

$\text{deg}^-(e) = 2$

$\text{deg}^-(f) = 1$

Out degree

~~$\text{deg}^+(a) = 1$~~

$\text{deg}^+(a) = 2$

$\text{deg}^+(b) = 1$

$\text{deg}^+(c) = 1$

$\text{deg}^+(d) = 1$

$\text{deg}^+(e) = 1$

$\text{deg}^+(f) = 2$

$\therefore \sum \text{deg}^-(v) = 8$

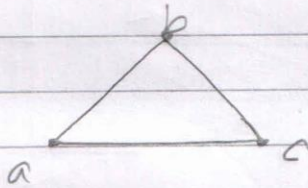
$\sum \text{deg}^+(v)$

## Complete graph

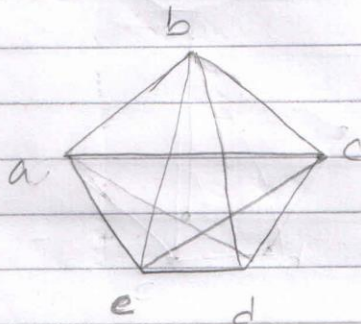
$K_n$

$K_1$

$K_3$



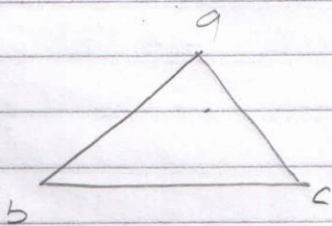
$K_5$



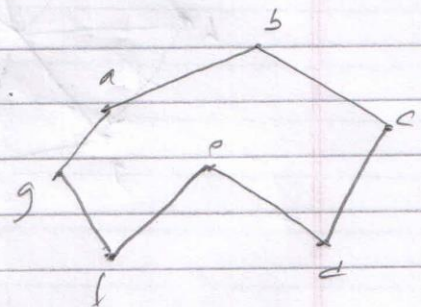
## Cycles:

$C_n$

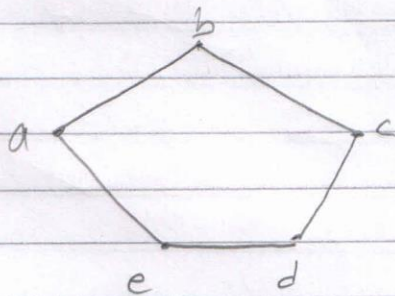
$C_3$



$C_5$



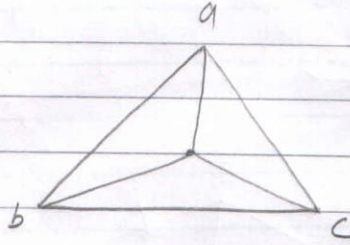
$C_5$



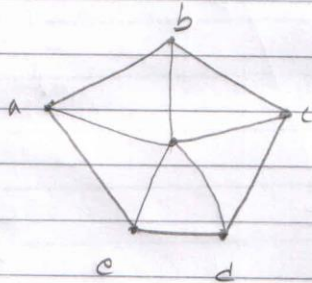
Wheels :-

$W_n$

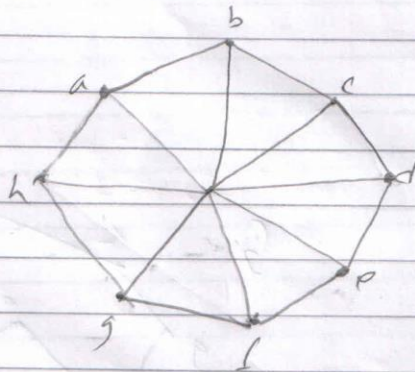
$W_3$



$W_5$

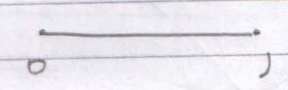


$W_7$

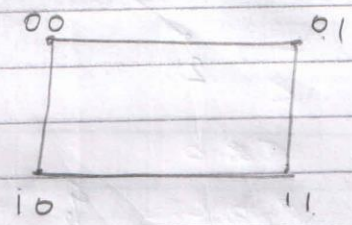


n-Cubes :-  
 $Q_n \rightarrow 2^n$

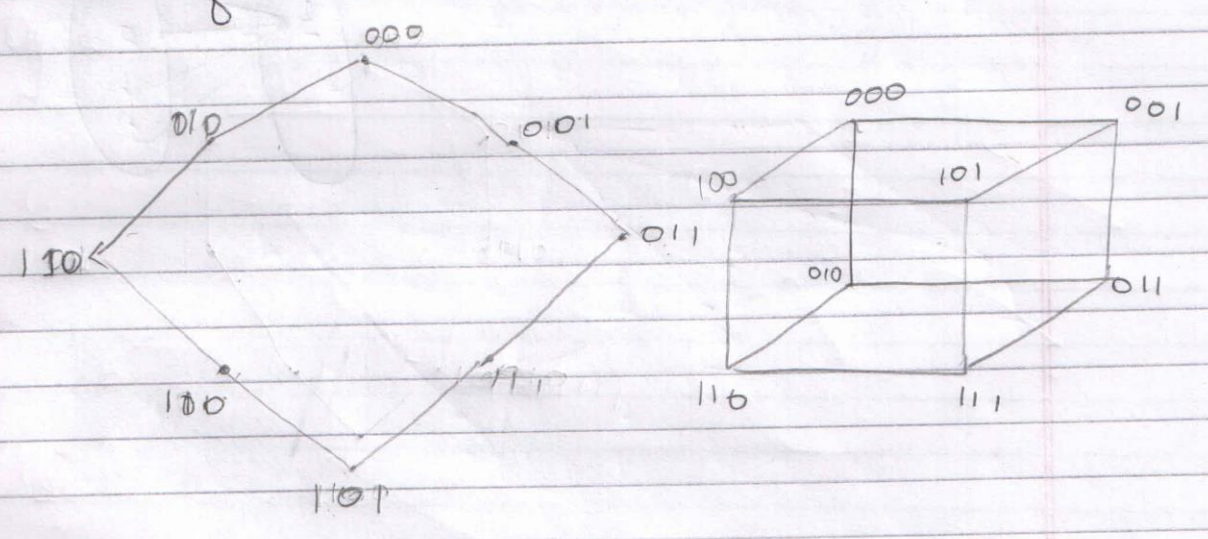
$Q_1$   
no. of vertices =  $2^1 = 2$



$Q_2$   
no. of vertices =  $2^2 = 4$

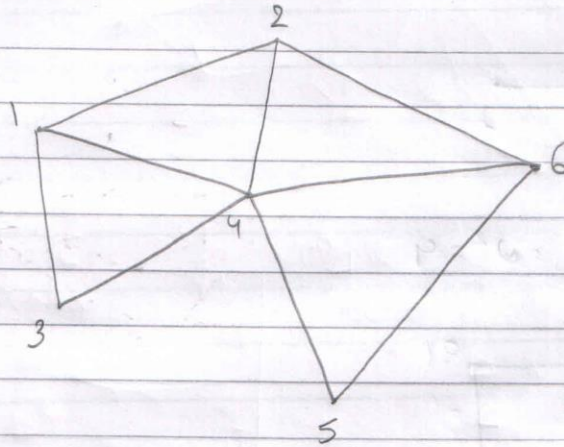


$Q_3$   
no. of vertices =  $2^3 = 8$

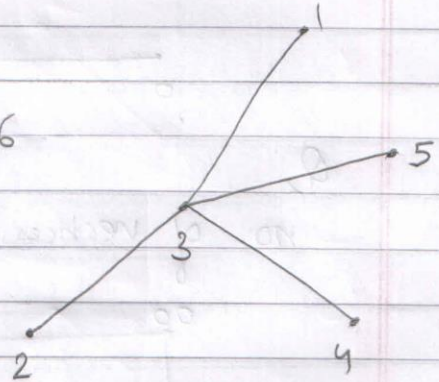


Bipartite Graph.

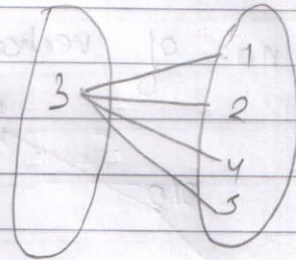
# Are the graphs below bipartite?



g<sub>1</sub>



g<sub>2</sub>

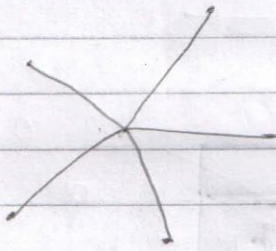




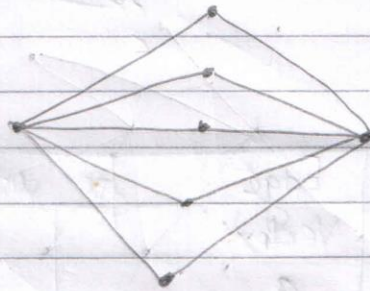
## Complete Bipartite Graph

$K_{m,n}$

$K_{1,5}$

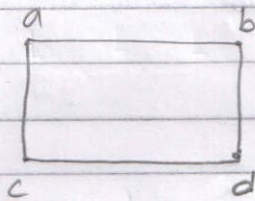


$K_{2,5}$

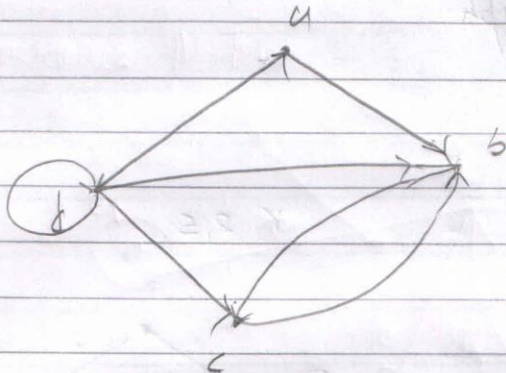


## Graph Representation :-

### 1. Adjacency List :-

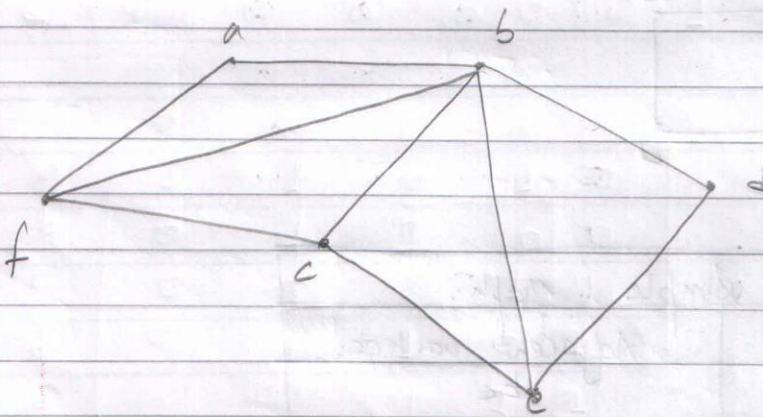


| Vertex | Adjacent vertices |
|--------|-------------------|
| a      | bc                |
| b      | ad                |
| c      | ad                |
| d      | bc                |

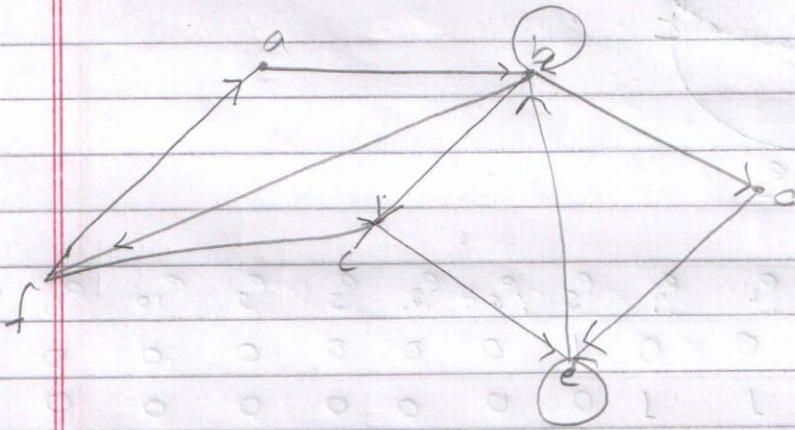


| Edge   | for simple graph |
|--------|------------------|
| Vertex | Adjacent graph   |
| a      | b                |
| b      | c                |
| c      | b, d             |
| d      | a, b, c          |

2. Adjacency Matrix :-

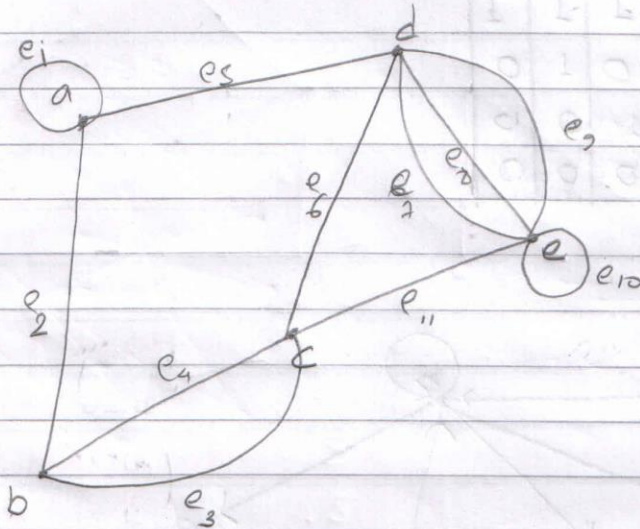


|   | a | b | c | d | e | f |
|---|---|---|---|---|---|---|
| a | 0 | 1 | 0 | 0 | 0 | 1 |
| b | 1 | 0 | 1 | 1 | 1 | 1 |
| c | 0 | 1 | 0 | 1 | 1 | 1 |
| d | 0 | 1 | 0 | 0 | 1 | 0 |
| e | 0 | 1 | 1 | 1 | 0 | 0 |
| f | 1 | 1 | 1 | 0 | 0 | 0 |



|   | a | b | c | d | e | f |
|---|---|---|---|---|---|---|
| a | 0 | 1 | 1 | 0 | 0 | 0 |
| b | 0 | 1 | 1 | 1 | 0 | 1 |
| c | 0 | 0 | 0 | 0 | 1 | 0 |
| d | 0 | 0 | 0 | 0 | 1 | 0 |
| e | 0 | 1 | 0 | 0 | 1 | 0 |
| f | 1 | 0 | 1 | 0 | 0 | 0 |

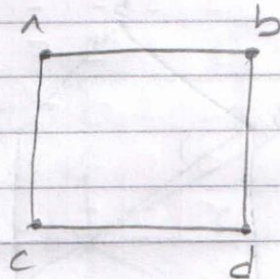
3. Incidence Matrix.



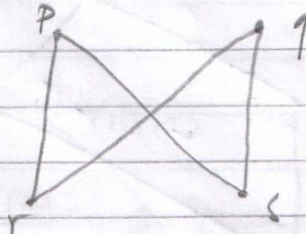
|   | $e_1$ | $e_2$ | $e_3$ | $e_4$ | $e_5$ | $e_6$ | $e_7$ | $e_8$ | $e_9$ | $e_{10}$ | $e_{11}$ |
|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|
| a | 1     | 1     | 0     | 0     | 1     | 0     | 0     | 0     | 0     | 0        | 0        |
| b | 0     | 1     | 1     | 1     | 0     | 0     | 0     | 0     | 0     | 0        | 0        |
| c | 0     | 0     | 1     | 1     | 0     | 1     | 0     | 0     | 0     | 0        | 1        |
| d | 0     | 0     | 0     | 0     | 1     | 1     | 1     | 1     | 1     | 0        | 0        |
| e | 0     | 0     | 0     | 0     | 0     | 0     | 1     | 1     | 1     | 1        | 1        |

|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 1 | 1 | 0 | 1 | 0 |
| b | 0 | 1 | 1 | 0 | 0 |
| c | 0 | 1 | 0 | 1 | 1 |
| d | 0 | 0 | 1 | 3 | 3 |
| e | 0 | 0 | 1 | 3 | 1 |

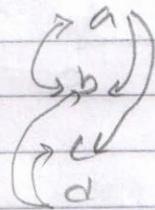
### Isomorphic Graph :-



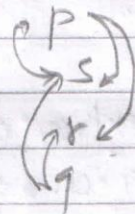
G



H

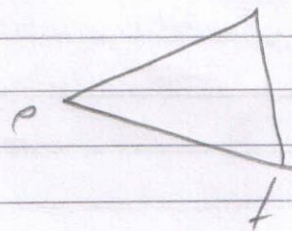
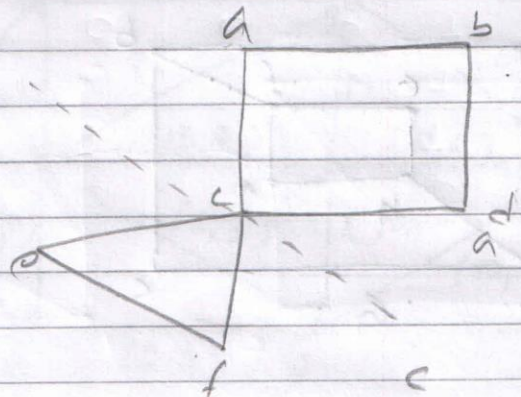


=



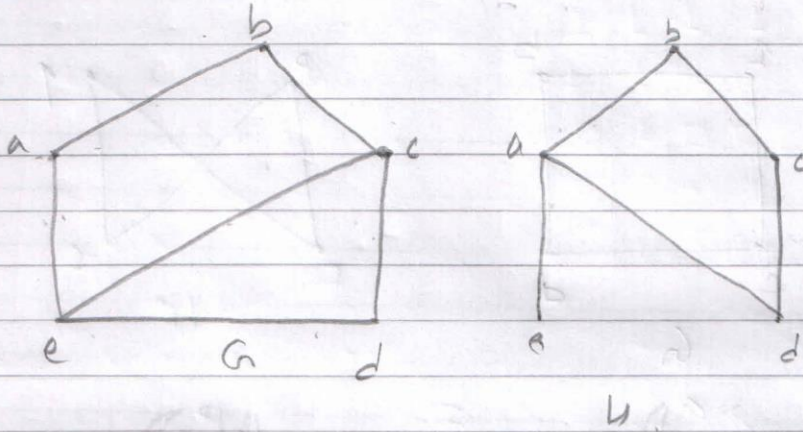
### Invariant Rule

1. no. of vertices must be equal.
2. no. of edges must be equal.
3. no. of degree of vertices must be equal.
4. subgraph consisting of same degree must be same.
5. Adjacent matrix must be same.



→ subgraph of G

show that the graphs displayed below are not isomorphic.

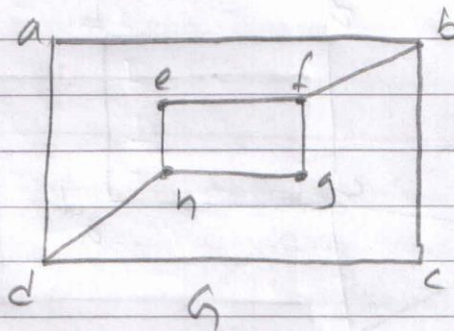


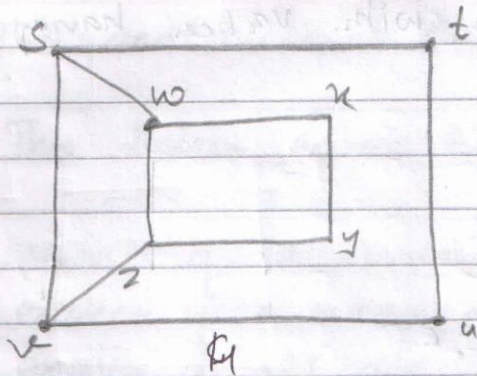
no. of vertices of  $G = 5$   
no. of vertices of  $H = 5$  } equal.

no. of edges of  $G = 7$  no. of edges of  $H$   
 $6 \neq 5$

Hence graphs are not isomorphic.

# Determine whether the graphs shown below are isomorphic or not.





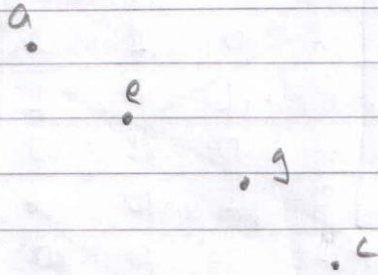
1. No. of vertices of  $G$  = No. of vertices of  $U$  = 8.

2. No. of edges of  $G$  = No. of edges of  $U$  = 10.

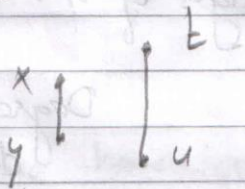
| Degree of vertices of $G$ |  | Degree of vertices of $U$ |  |
|---------------------------|--|---------------------------|--|
| $\text{deg}(a) = 2$       |  | $\text{deg}(s) = 3$       |  |
| $\text{deg}(b) = 3$       |  | $\text{deg}(t) = 2$       |  |
| $\text{deg}(c) = 2$       |  | $\text{deg}(u) = 2$       |  |
| $\text{deg}(d) = 3$       |  | $\text{deg}(v) = 3$       |  |
| $\text{deg}(e) = 2$       |  | $\text{deg}(w) = 3$       |  |
| $\text{deg}(f) = 3$       |  | $\text{deg}(x) = 2$       |  |
| $\text{deg}(g) = 2$       |  | $\text{deg}(y) = 2$       |  |
| $\text{deg}(h) = 3$       |  | $\text{deg}(z) = 3$       |  |

degree of vertices of  $G$  = degree of vertices of  $U$   
as there are equal no. of vertices having degree  
2 & 3.

Subgraph of  $G$  with vertices having degree 2.

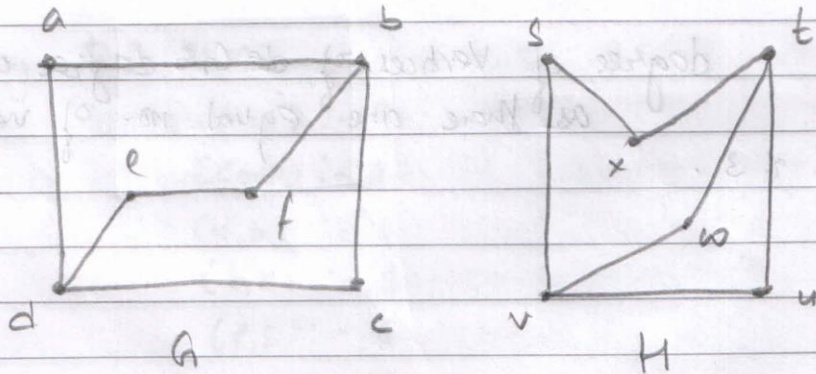


Subgraph of  $H$  with vertices having degree 2 is,



Hence subgraphs are not same they are not isomorphic.

# Determine whether the graphs shown below are isomorphic or not.





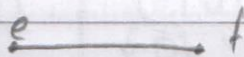
- 1 no. of vertices of  $G$  = no. of vertices of  $H$  = 7
- 2 no. of edges of  $G$  = no. of edges of  $H$  = 6
- 3 degree of vertices of  $G$       degree of vertices of  $H$ 

|                     |                     |
|---------------------|---------------------|
| $\text{deg}(a) = 2$ | $\text{deg}(s) = 2$ |
| $\text{deg}(b) = 3$ | $\text{deg}(t) = 3$ |
| $\text{deg}(c) = 2$ | $\text{deg}(u) = 2$ |
| $\text{deg}(d) = 3$ | $\text{deg}(v) = 3$ |
| $\text{deg}(e) = 2$ | $\text{deg}(w) = 2$ |
| $\text{deg}(f) = 2$ | $\text{deg}(x) = 2$ |

degree of vertices of  $G$  = degree of vertices of  $H$   
 as there are equal no. of degree vertices having  
 degree 2 & 3.

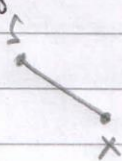
4. subgraph of  $G$  with vertices of degree 2.

a.



c.

subgraph of  $H$  with vertices of degree 2.



d.

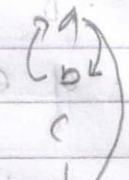
e.

and 2 vertices

since these are one straight line, common they are equal.

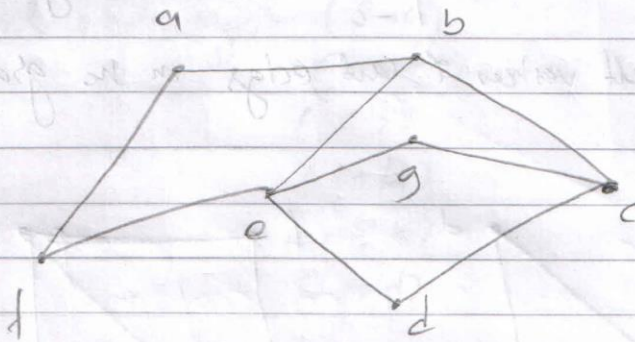
5. Adjacency matrix of G.

|   | a | b | c | d | e | f |
|---|---|---|---|---|---|---|
| a | 0 | 1 | 0 | 1 | 0 | 0 |
| b | 1 | 0 | 1 | 0 | 0 | 1 |
| c | 0 | 1 | 0 | 1 | 0 | 0 |
| d | 1 | 0 | 1 | 0 | 1 | 0 |
| e | 0 | 0 | 0 | 1 | 0 | 1 |
| f | 0 | 1 | 0 | 0 | 1 | 0 |



Adjacency matrix of H

# Graph Connectivity



From the graph given above show the paths and circuit of length 9.

⇒ Path:

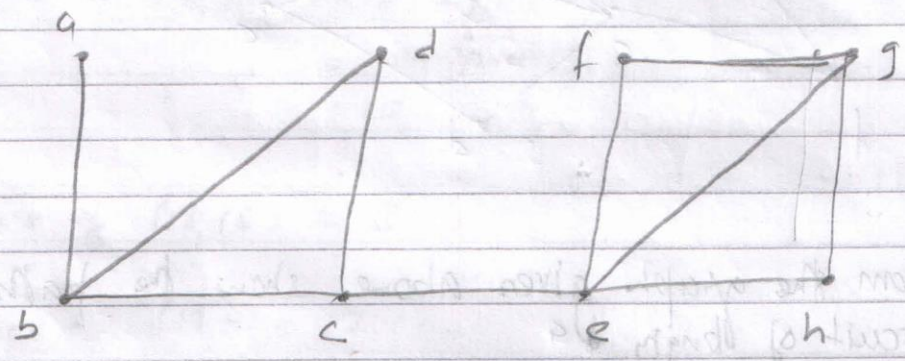
- a-b-c-g-e
- a-f-e-g-c
- a-b-e-g-c
- b-c-d-e-f
- a-f-e-d-c
- b-c-g-e-f
- b-e-d-c-g
- f-e-g-c-b
- and so on.

Circuit:

- a-b-e-f-a
- b-e-g-c-b
- e-g-c-d-e
- e-b-c-d-e
- and so on, and so on.

### Cut vertices (articulation points) and cut edge (bridge)

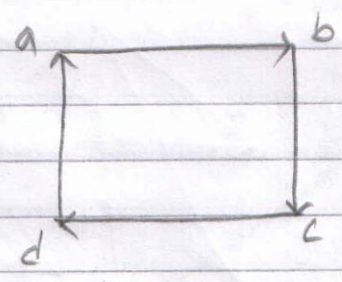
# Find out the cut vertices & cut edges in the graph given below:



cut vertices = { a, e, b }

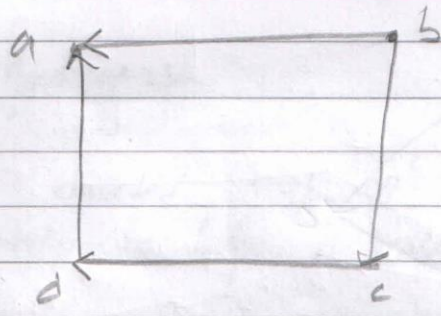
cut edges = { (c,e), (a,b) }

### Connectedness in directed graphs.

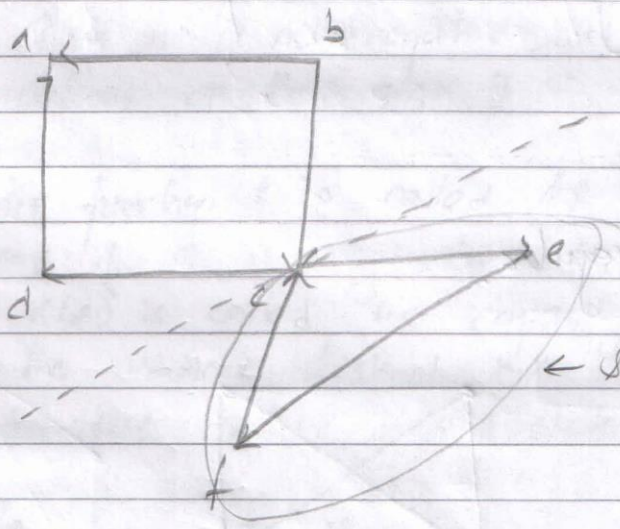


strongly connected.

every vertex having path to go to every vertex

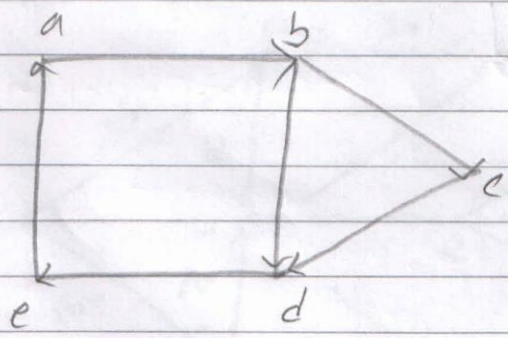


(weakly connected)

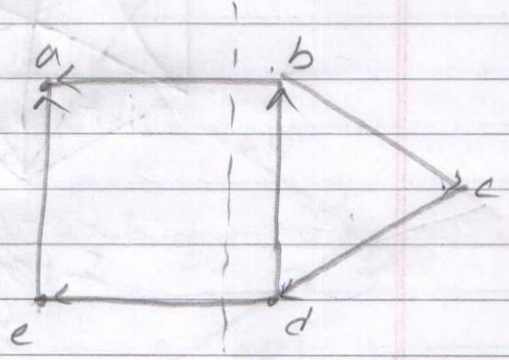


(weakly connected).

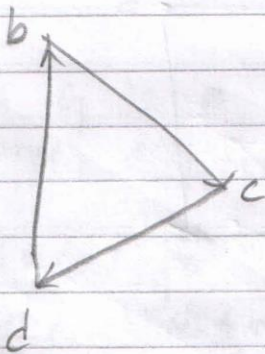
# Are the directed graphs shown below strongly connected? Are they weakly connected? Determine the strongly connected components if any.



(consistently)



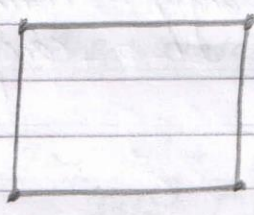
(weakly)



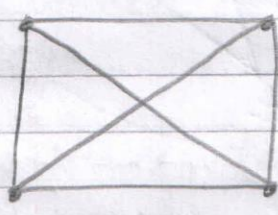
strongly connected component of  $n$

### Regular Graph.

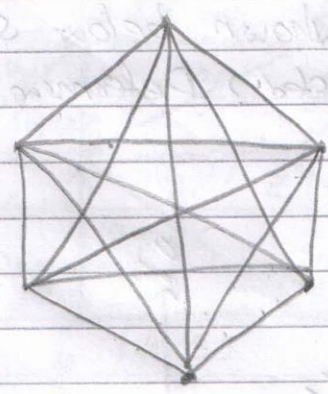
n-regular.



2-regular



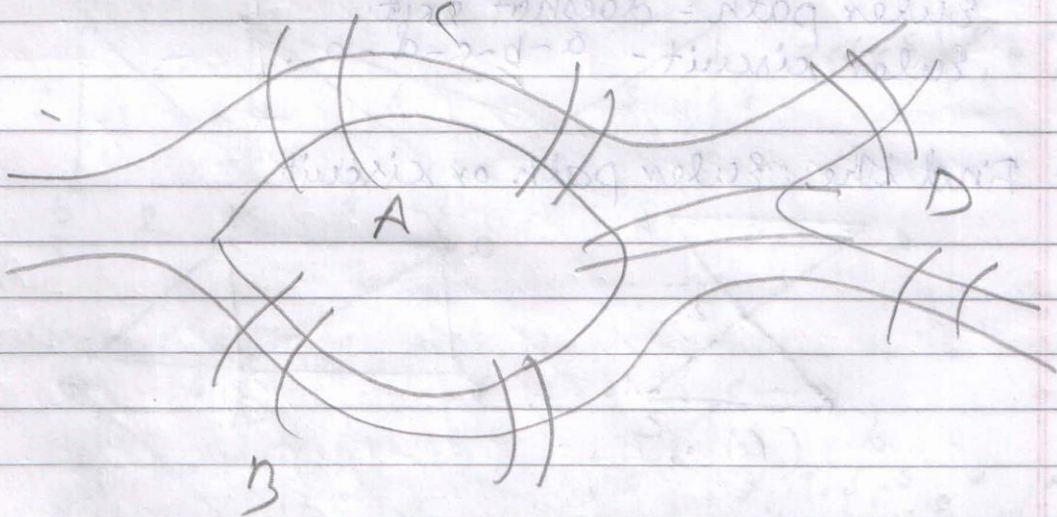
3-regular



5-regular

How many vertices does a regular graph of degree 4 with 10 edges have? Now:

Euler paths & circuits.

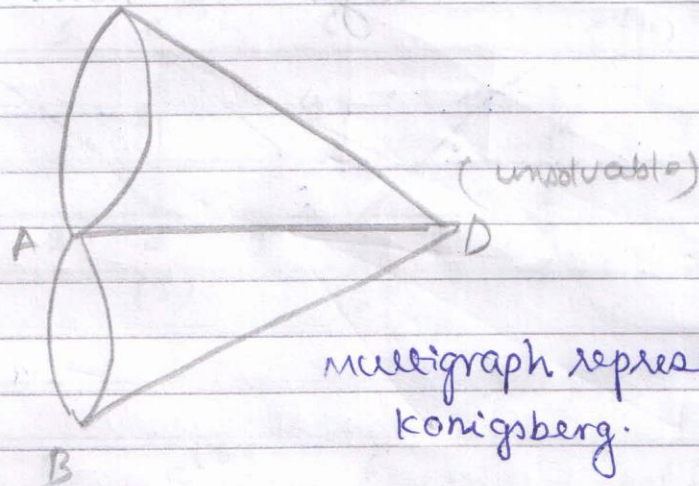


a-b-c-d-a-b-c-d

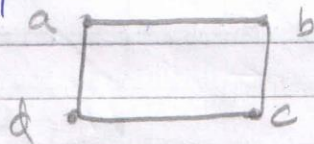
a-b-c-d

a-b-c-d-a-b-c-d

a-b-c-d

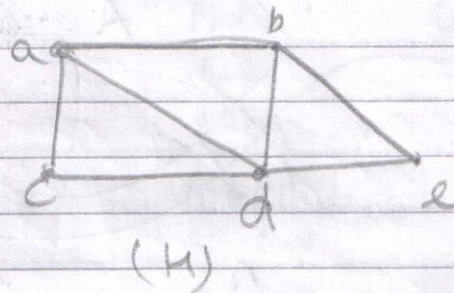
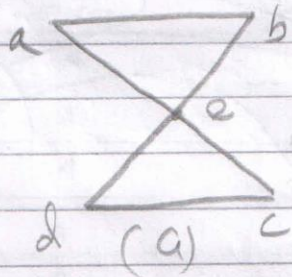


Euler path and circuit



Euler path - does not exist.  
Euler circuit - a-b-c-d-a

\* Find the Euler path or circuit



Euler path:

not exist

a-c-d-a-b-e-d

Euler circuit:

a-b-e-d-c-e-a

no exist.



## Necessary and sufficient condition for Euler circuits and paths.

**Theorem 1:** A connected multigraph has an Euler circuit if and only if each of its vertices have even degree.

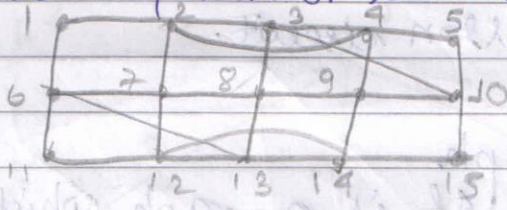
**necessary condition :-** A connected multigraph has an Euler circuit if degree of every vertex is even.

**Theorem 2:** A connected multigraph has an Euler path but not circuit if and only if it has exactly two vertices of odd degree.

necessary sufficient:

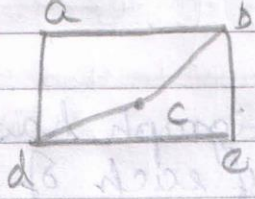


eg. find Euler path or circuit.

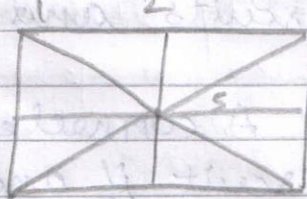


1-6-11-12-7-6-13-12-14-13-8-9-10-15-14-9-4-5-10-3-4-2-3-8-7-2-1

Hamilton paths.



a-b-c-d-e



1-2-3-5-9-6-5-8-7-5-4-1-3

Theorem:

Dirac's Theorem

If  $G$  is a simple graph with  $n$  vertices with  $n \geq 3$  such that the degree of every vertex in  $G$  is at least  $\frac{n}{2}$ , then  $G$  has a Hamilton circuit.

Ore's theorem

If  $G$  is a simple graph with  $n$ -vertices with  $n \geq 3$  such that  $\deg(u) + \deg(v) > n$  for every pair of non-adjacent vertices  $u$  and  $v$  in  $G$ , then  $G$  has Hamilton circuit.

# Planar Graph

It is a graph which can be drawn without crossing any edges.

Euler's formula:

Let  $G$  be a connected planar simple graph with ' $e$ ' edges, and ' $v$ ' vertices. Let  $r$  be the number of regions in a planar representation of  $G$ , then

$$r = e - v + 2$$

Q(1) Suppose that a connected planar graph has 30 edges. If a planar representation of these graphs divides the plane into 20 regions. How many vertices does this graph have?

Here,  $e = 30$   
 $r = 20$   
 $v = ?$

By Euler's theorem,

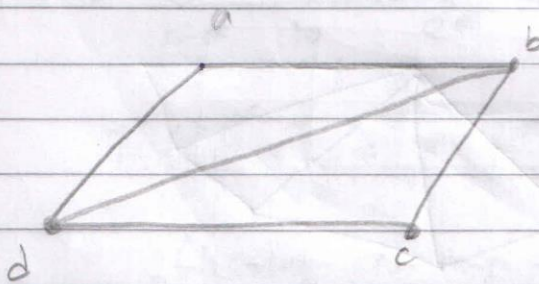
$$r = e - v + 2$$

$$\begin{aligned} \text{or } v &= e - r + 2 \\ &= 30 - 20 + 2 \\ &= 12 \end{aligned}$$

Hence, there are 12 vertices.

Corollary 1:-

If  $G$  is a connected planar graph with  $e$  edges and  $v$  vertices where  $v \geq 3$ , then  $e \leq 3v - 6$



$$e = 5$$

$$v = 4$$

$$\therefore e \leq 3v - 6$$

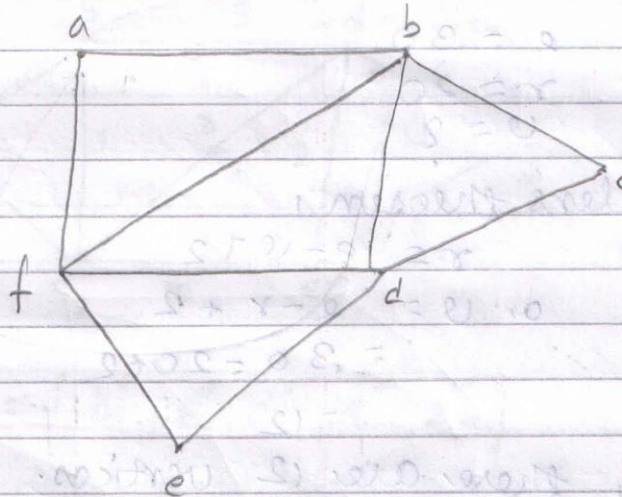
$$5 \leq 12 - 6$$

$$5 \leq 6$$



Corollary 2:-

If  $G$  is a connected planar graph, then  $G$  has a vertex of degree not exceeding 5.



$$\deg(a) = 2$$

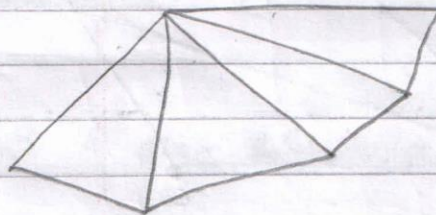
$$\deg(b) = 4$$

$$\deg(c) = 2$$

$$\deg(d) = 4$$

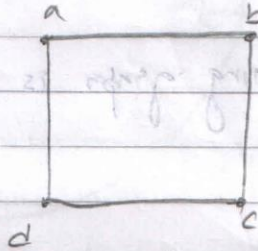
$$\deg(e) = 2$$

$$\deg(f) = 3$$



Corollary 3.

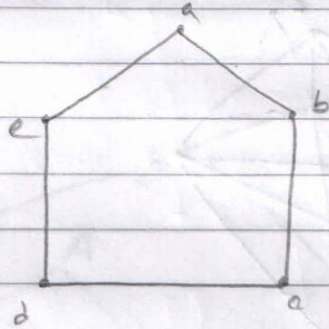
If a connected planar simple graph has  $e$  edges and  $v$  vertices with  $v \geq 3$  and no circuits of length 3, then,  $e \leq 2v - 4$ .



$$4 \leq 2 \times 4 - 4$$

$$4 \leq 8 - 4$$

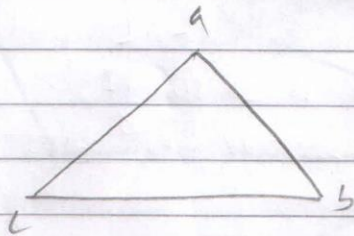
$$4 \leq 4 \quad \text{True}$$



$$5 \leq 2 \times 5 - 4$$

$$5 \leq 10 - 4$$

$$5 \leq 6 \quad \text{True}$$

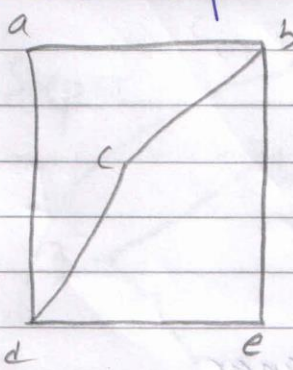
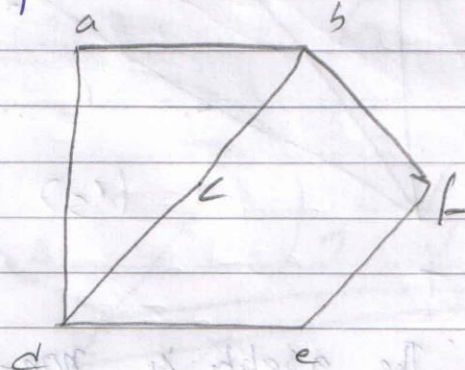
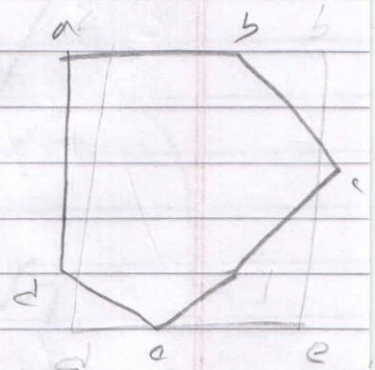


$$3 \leq 2 \times 3 - 4$$

$$3 \leq 6 - 4$$

$$3 \leq 2 \quad \text{which is false}$$

Homeomorphic graph:-

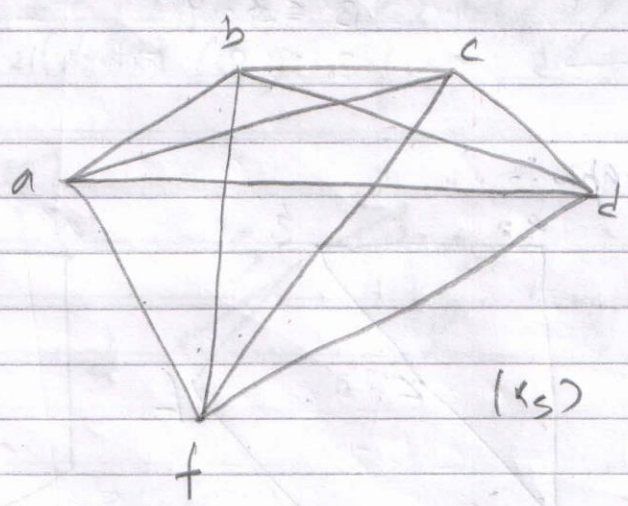
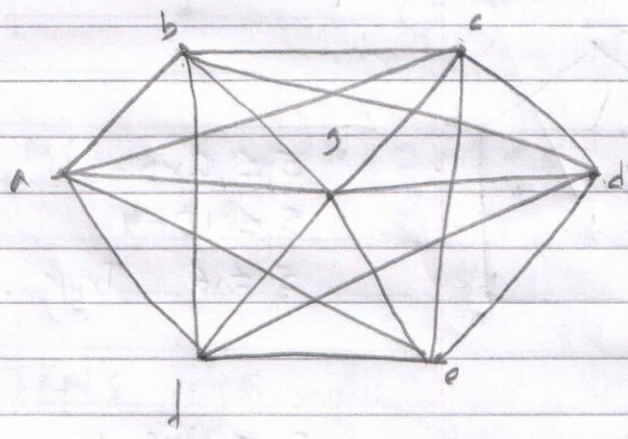
 $G_1$  $G_2$  $G_3$ 

$G_1$  and  $G_2$  are homeomorphic to  $G_3$

Kuratowski's Theorem:- (Planarity testing algorithm)

A graph is non-planar if and only if it contains subgraph homeomorphic to  $K_{3,3}$  or  $K_5$ .

# Determine whether the following graphs is planar or not?



∴ The graph is non-planar.

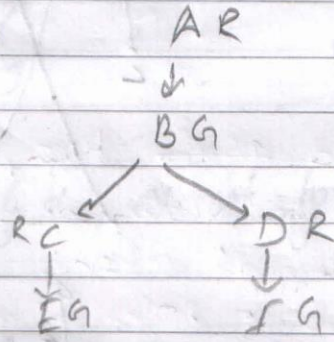
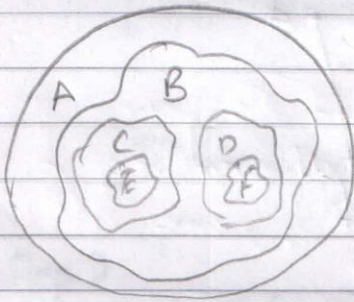
# Graph coloring

Chromatic number

## The four color Theorem:

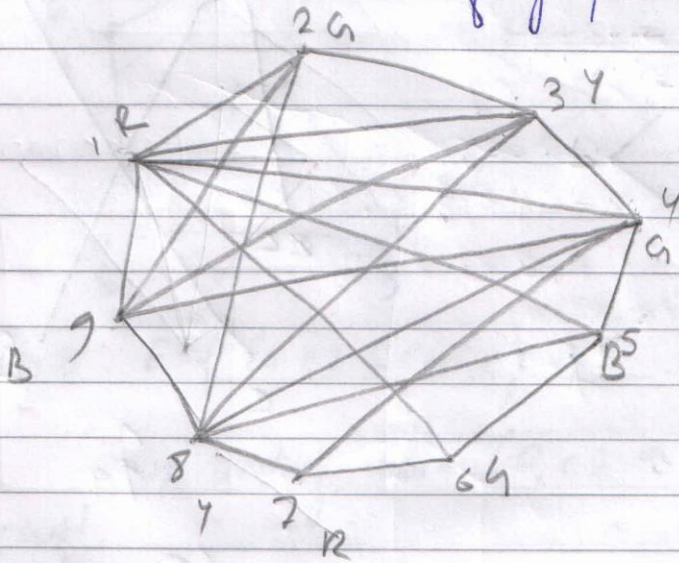
The chromatic number of a planar graph is no greater than four.

F.g:-



Chromatic number = 2

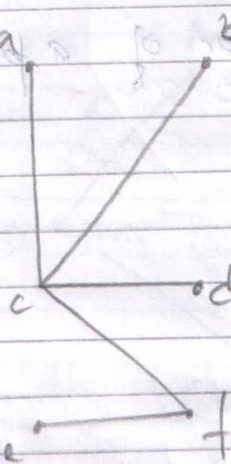
Find the chromatic no. of graph below:-



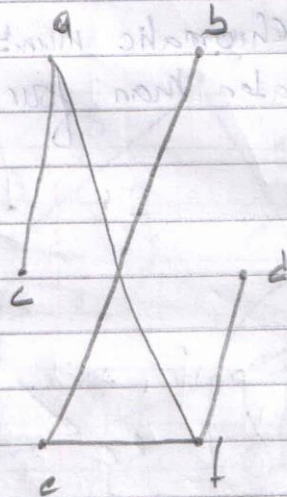
- 1, 2, 3, 4, 5, 6, 7 (R)
- 8 (B)
- 1, 2, 3, 4, 5 (G)
- 6, 7, 8 (R)

Trees

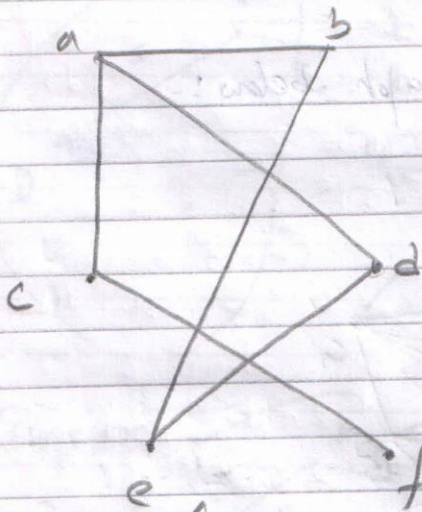
Which of the graph show below are trees?


 $G_1$ 

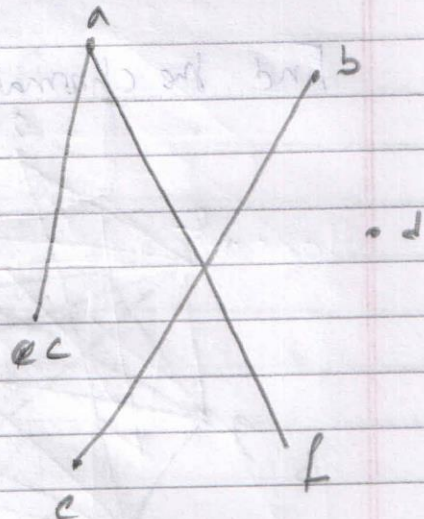
(Tree)


 $G_2$ 

(Tree)


 $G_3$ 

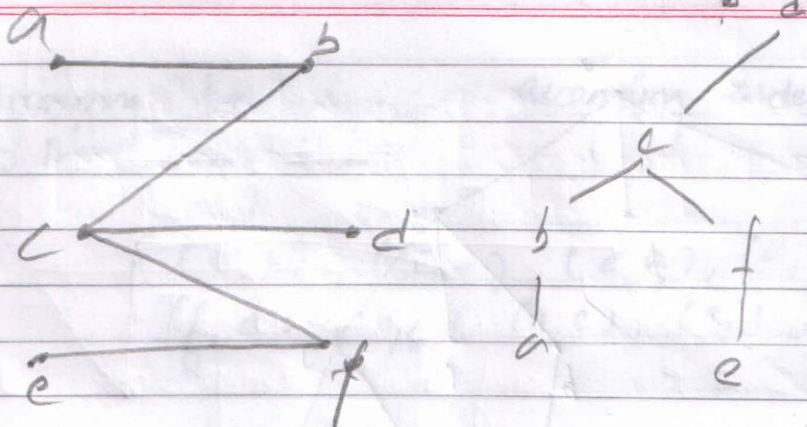
(Graph)


 $G_4$ 

(Forrest)

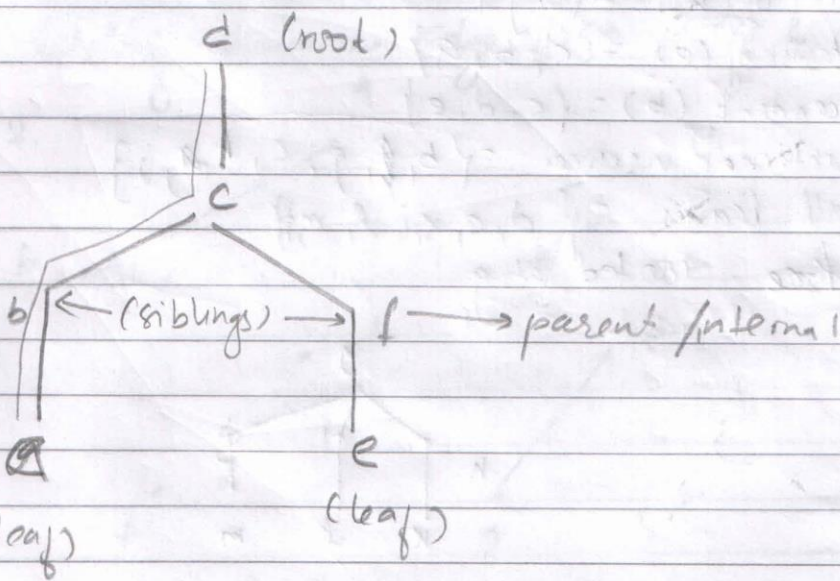
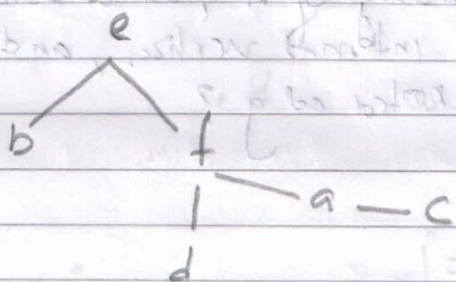


Unrooted



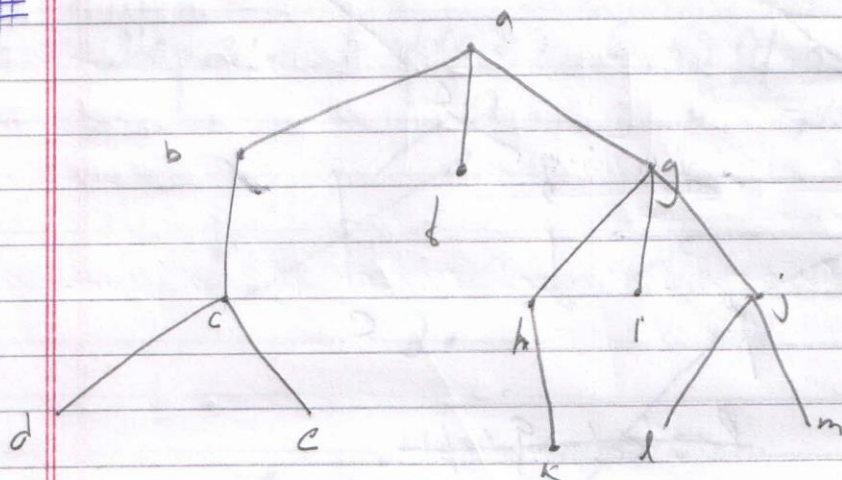
rooted graph

Rooted graph  $(G, r)$  of  $e$



ancestor of  $(a)$  =  $\{b, c, d\}$   
descendants of  $(d)$  =  $\{c, b, a, f, e\}$

#



In this rooted graph tree, find the parent of  $c$ , children of  $g$ , the sibling of  $h$ , all ancestors of  $e$ , all descendants of  $b$ , all internal vertices, and all leaves. What is the subtree rooted at  $g$ ?

parent of  $(c) \rightarrow \{b\}$

children of  $(g) \rightarrow \{h, i, j\}$

sibling of  $(h) \rightarrow \{i, j\}$

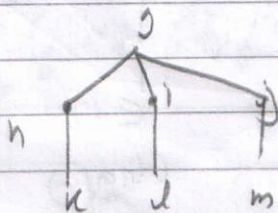
ancestors of  $(e) = \{c, b, a\}$

descendant  $(b) = \{c, d, e\}$

all internal vertices  $= \{b, g, c, h, a, j\}$

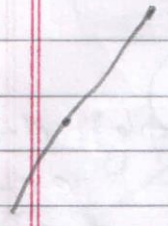
$\therefore$  all leaves  $= \{d, e, k, l, m\}$

subtree rooted at  $g$ :

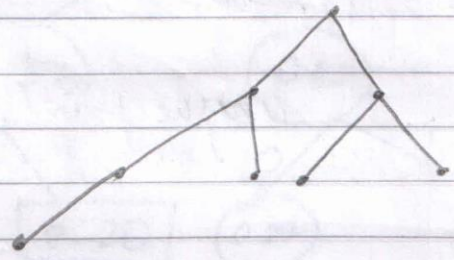


### m-ary tree

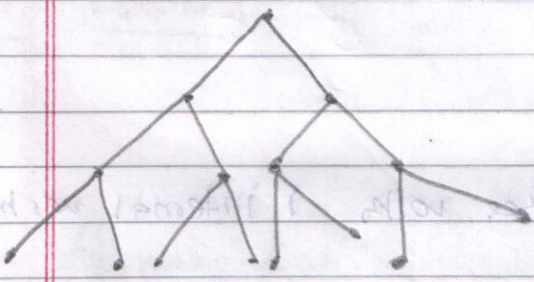
1-ary



2-ary  $\Rightarrow$  binary

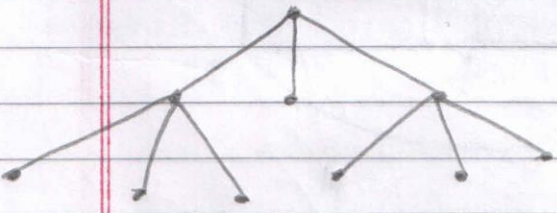


full m-ary tree (2-ary)

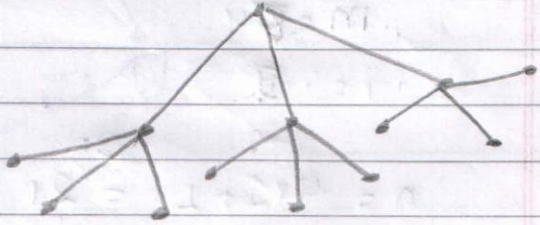


full-binary tree

3-ary tree

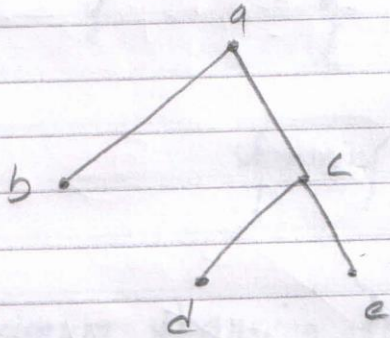


full 3-ary tree



Theorem:-

An undirected graph is a tree if and only if there is a unique simple path between any two vertices.

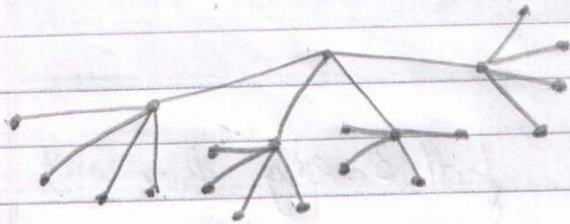


unique path (simple):  
 $d-c-e$   
 $e-c-a-b$   
 $= d-c-a-b$

Properties of Trees:-

Theorem:-

A full  $m$ -ary tree with  $i$  internal vertices contains  $n = mi + 1$  vertices.

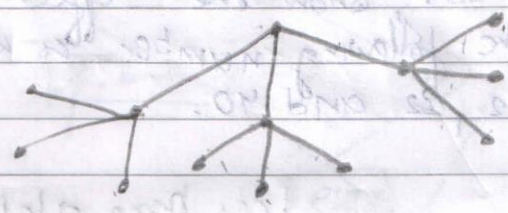


$$m = 4$$

$$i = 5$$

$$\therefore n = 4 \times 5 + 1 = 21$$

Theorem :- A tree with  $n$  vertices has  $n-1$  edges.

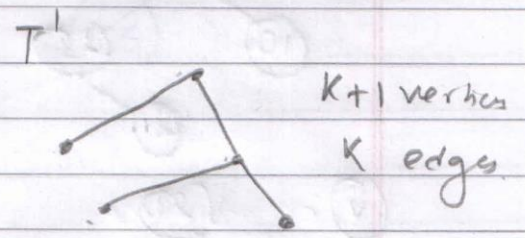
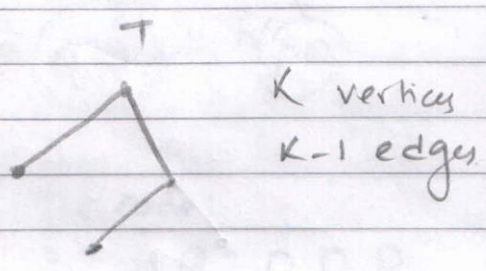


vertices ( $n$ ) = 13  
edges = 12  $\Rightarrow$  ( $n-1$ ) ,,

Base step:  $n=1$   
edge = 0

Inductive hypothesis:  $k$  vertices =  $k-1$  edges

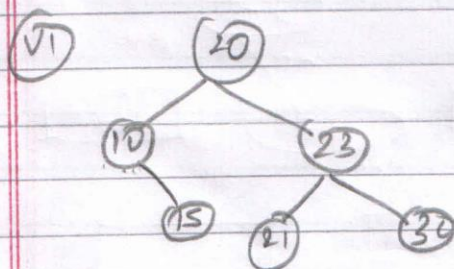
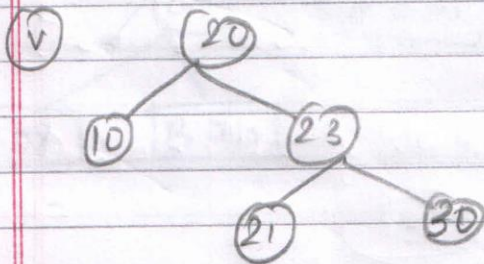
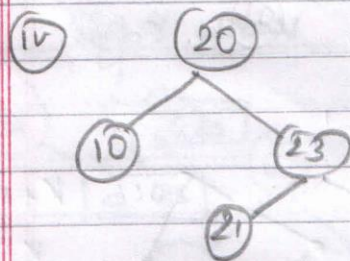
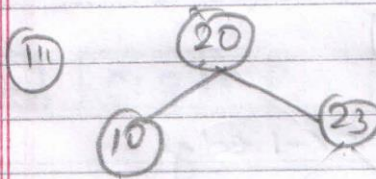
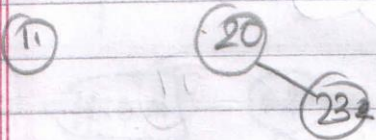
Inductive step:  $k+1$  vertices =  $k$  edges



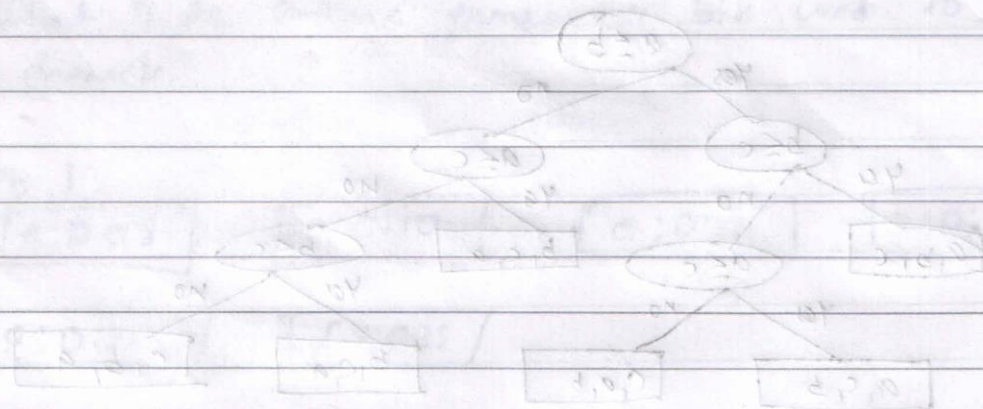
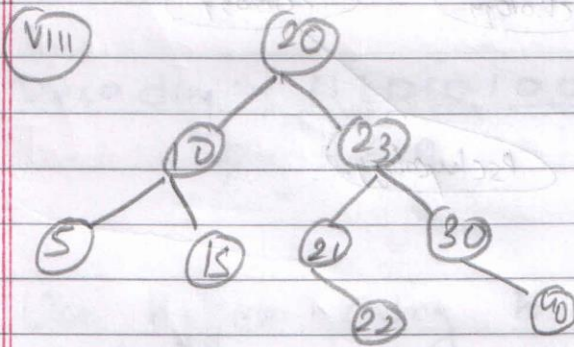
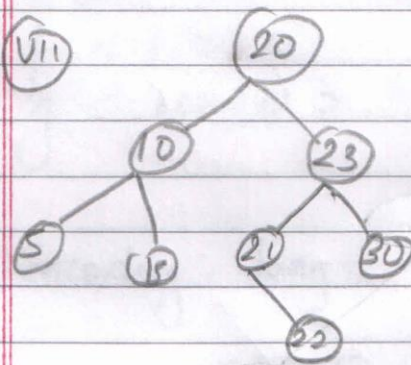
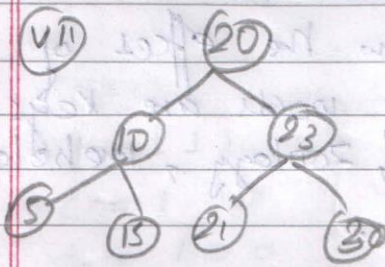
## Application of Trees:- Binary Search Tree (BST)

# Starting with empty BST show the effect of successively adding the following number as keys: 20, 23, 10, 21, 30, 15, 2, 22 and 40.

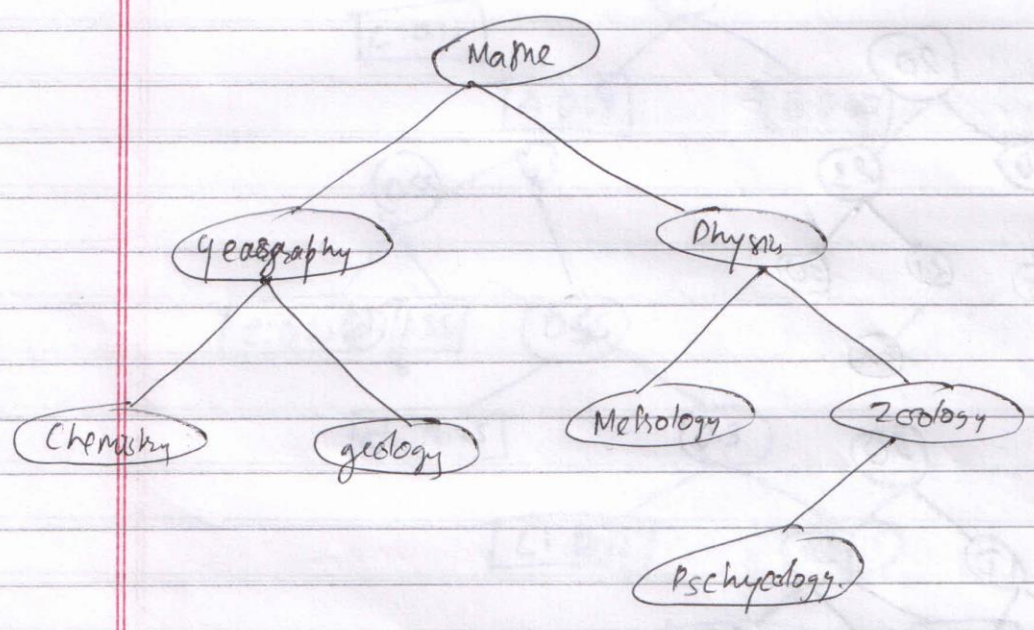
(i) 20



less than  $\Rightarrow$  left child  
more than  $\Rightarrow$  right child

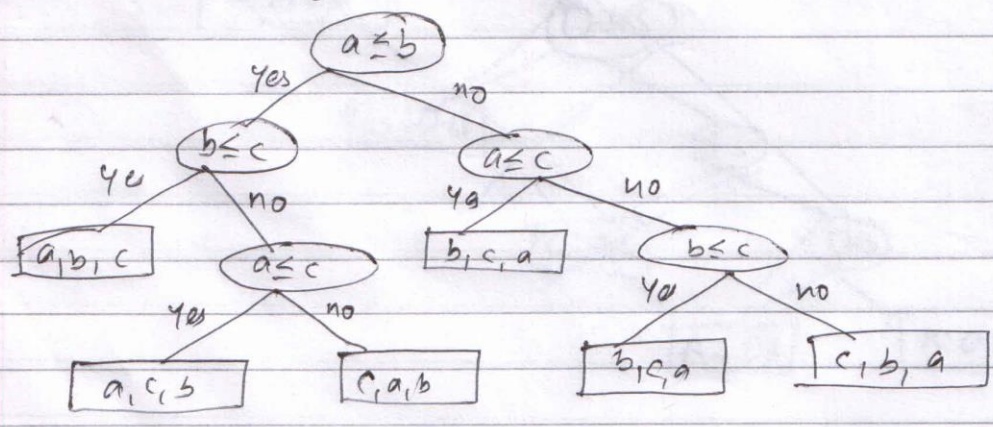


# Starting with empty BST, show the effect of successively adding the following words as keys:-  
 mathematics, physics, geography, zoology, meteorology,  
 geology, psychology & chemistry.



Decision Tree.

Decision tree of sorting 3 nos.





Prefix codes.

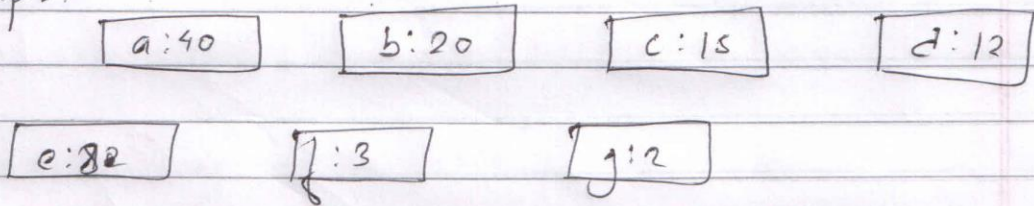
Huffman code (tree) (Greedy paradigm / algorithm)

Eg: C = { a, b, c, d, e, f, g }

f(c) = { 40, 20, 15, 12, 8, 3, 2 }

Construct the Huffman tree and list out prefix codes for characters.

Step 1:

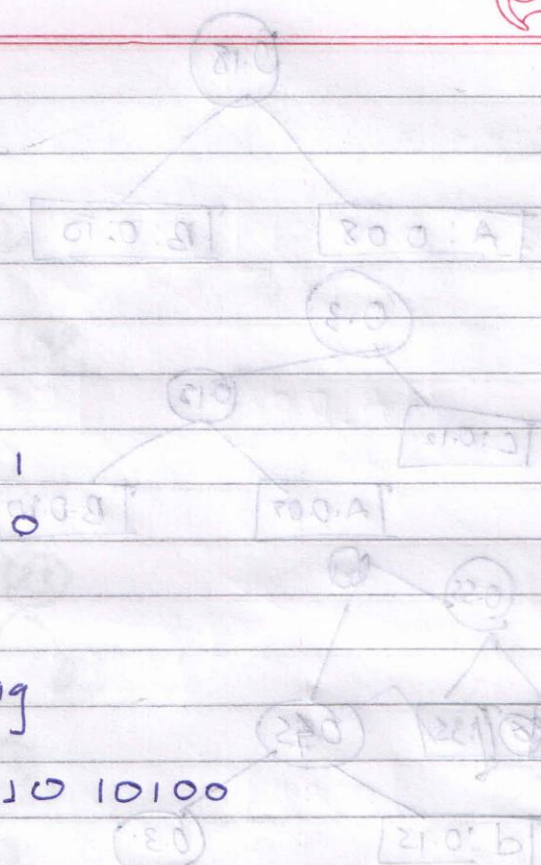


Step 2:

Arranging the characters with the frequencies in ascending order.

Prefix codes:-

- a = 0
- b = 111
- c = 110
- d = 100
- e = 1011
- f = 10101
- g = 10100



Encoding: bag

= 1110 10100

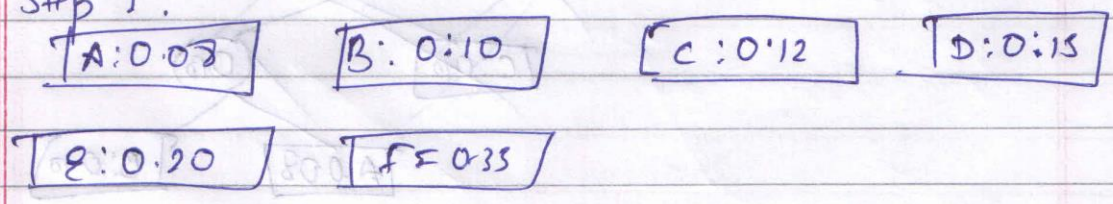
Decoding:- 111010100  
 ⇒ bag

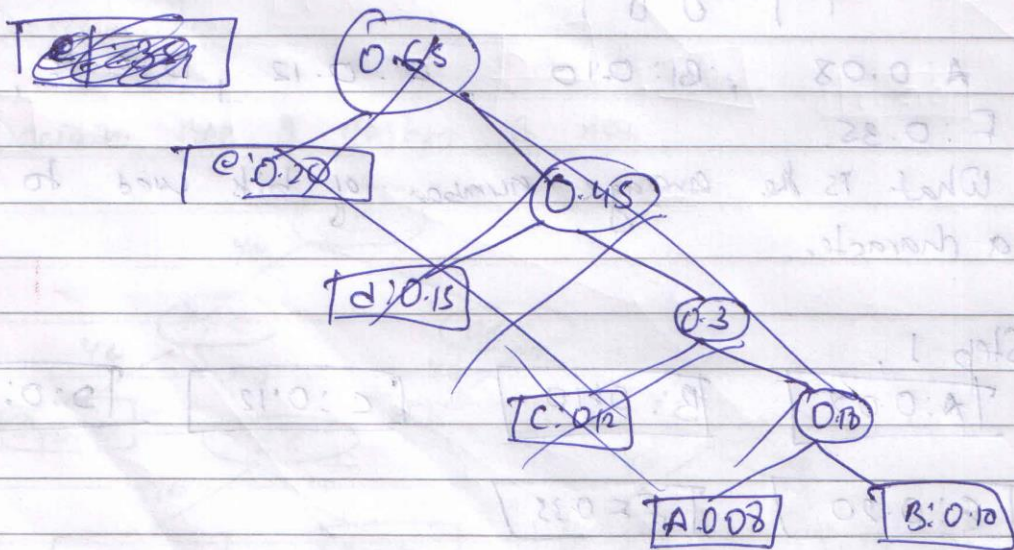
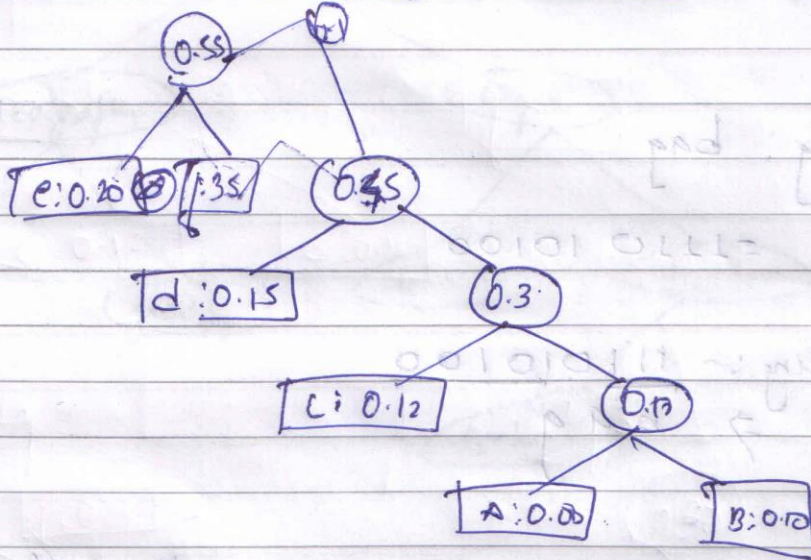
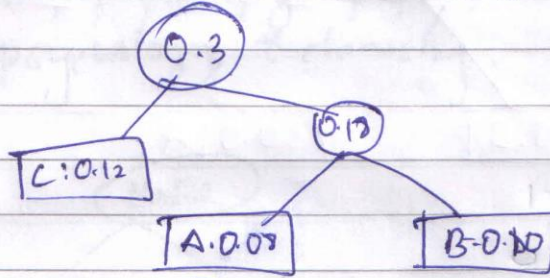
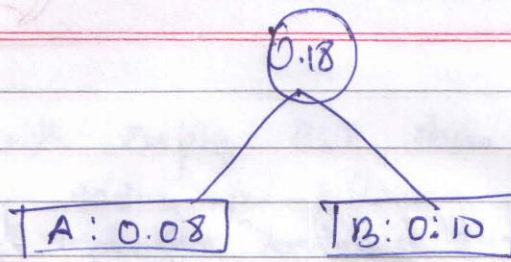
# Use Huffman coding to encode the following symbols with the frequency frequencies listed.

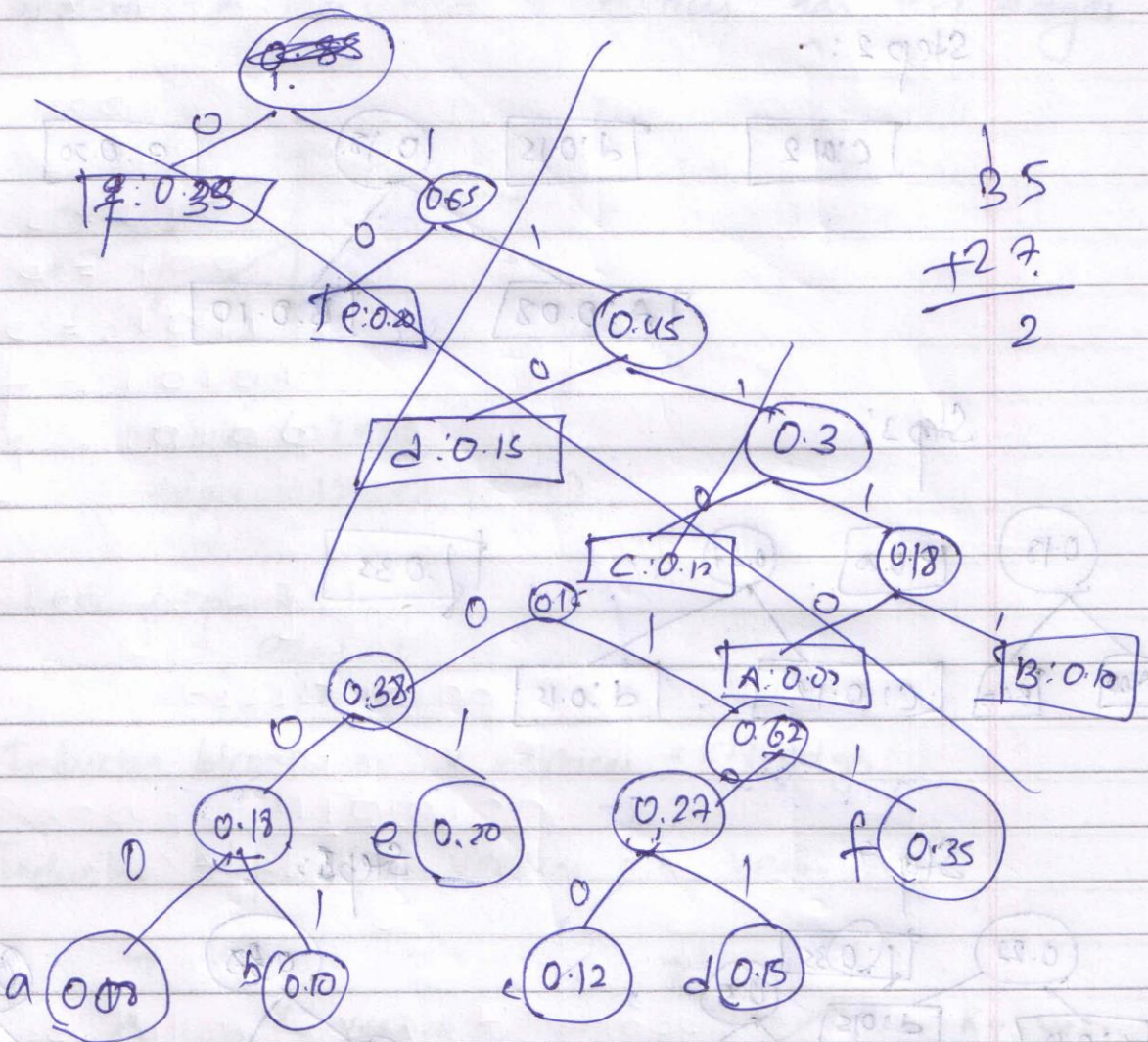
A: 0.08, B: 0.10, C: 0.12, D: 0.15, E: 0.20, F: 0.35.

What is the average number of bits used to encode a character.

Step 1.







Prefix code:

a = 0000

b = 001

c = 100

d = 101

e = 1001

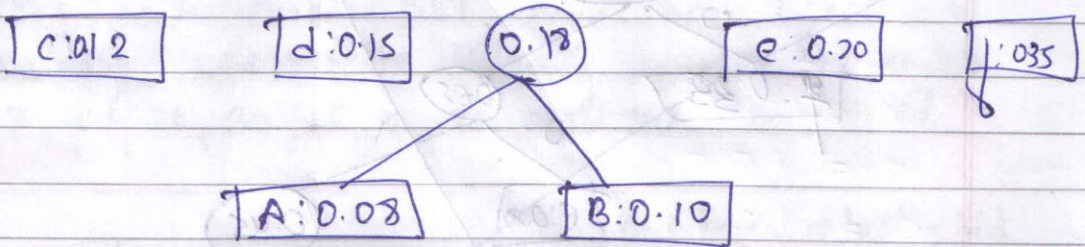
f = 11

∴ The no. of bits = 3 + 3 + 3 + 3 + 2 + 2

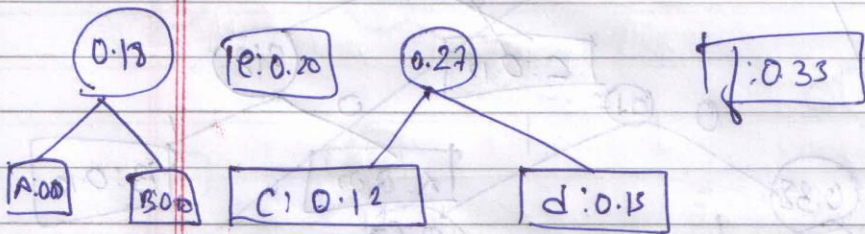
$$\text{Average} = \frac{3 + 3 + 3 + 3 + 2 + 2}{6} = \frac{16}{6} = \frac{8}{3}$$



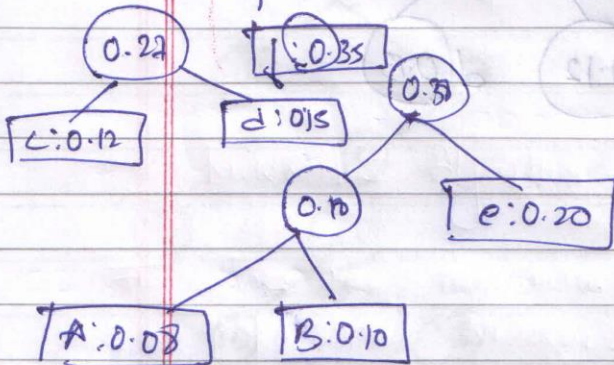
Step 2:



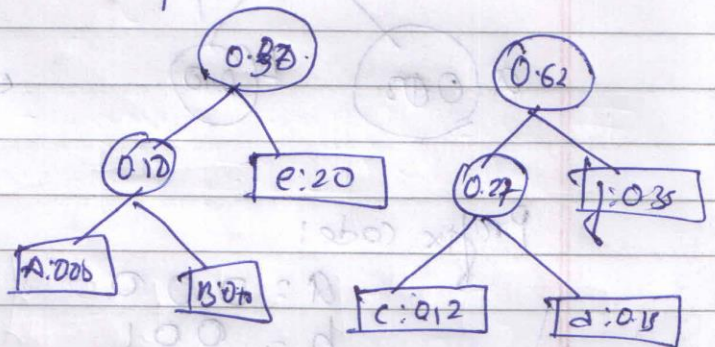
Step 3:



Step 4:

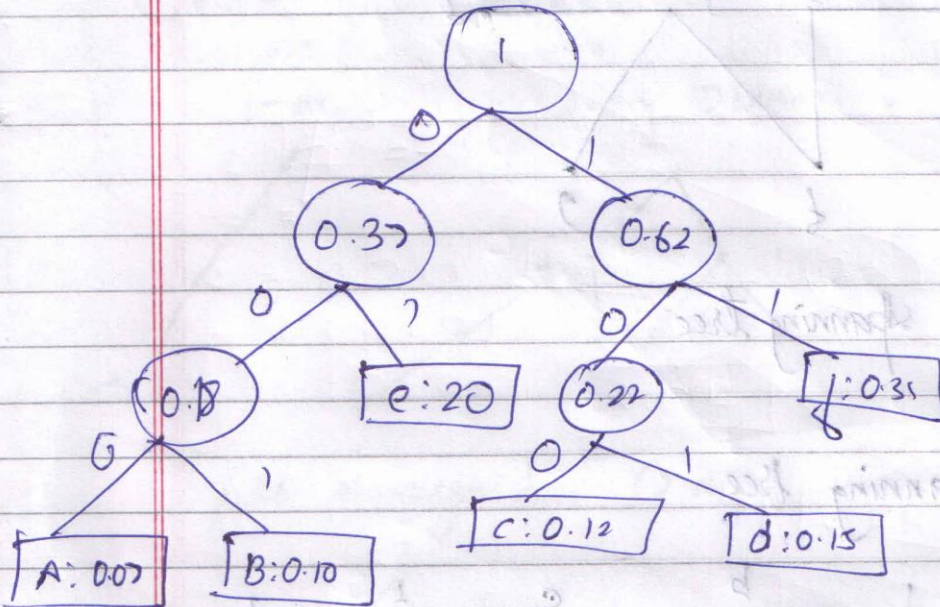


Step 5:

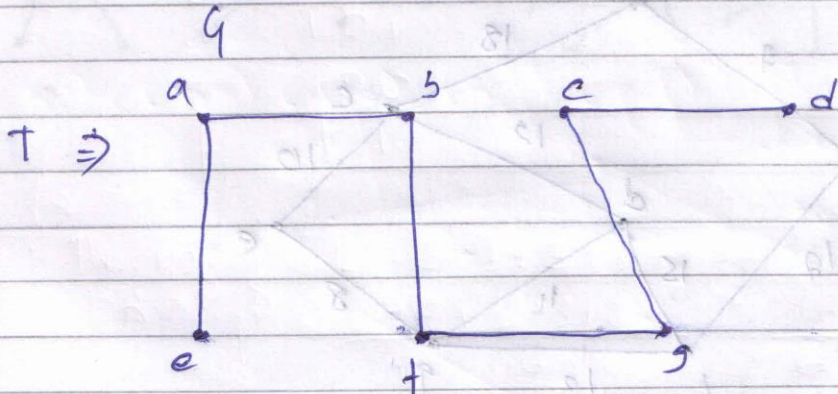
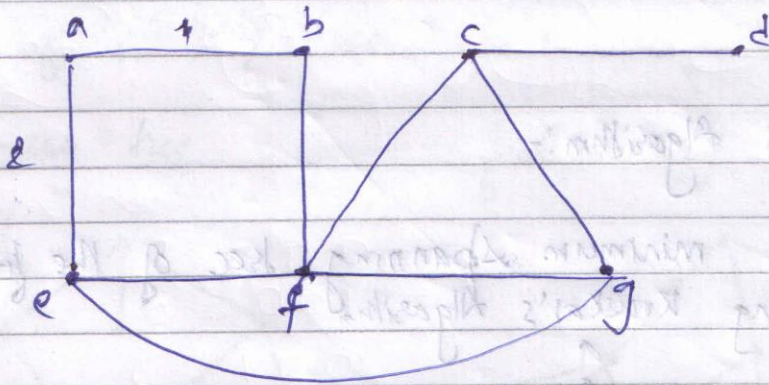


$8 = 3 + 3 + 2 = 8$   
 $3 = 3 + 0 = 3$   
 $2 = 2 + 0 = 2$

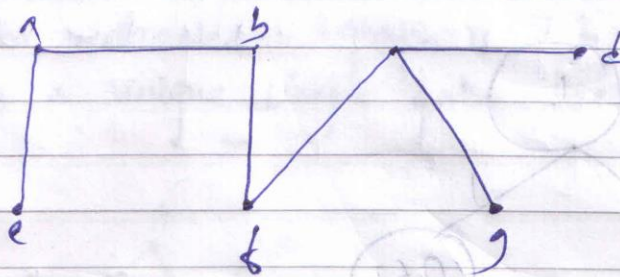
Step 6:



Spanning tree :-

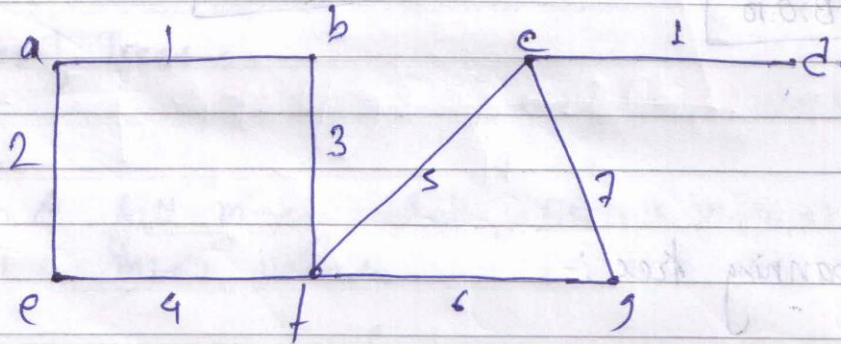


Spanning tree.



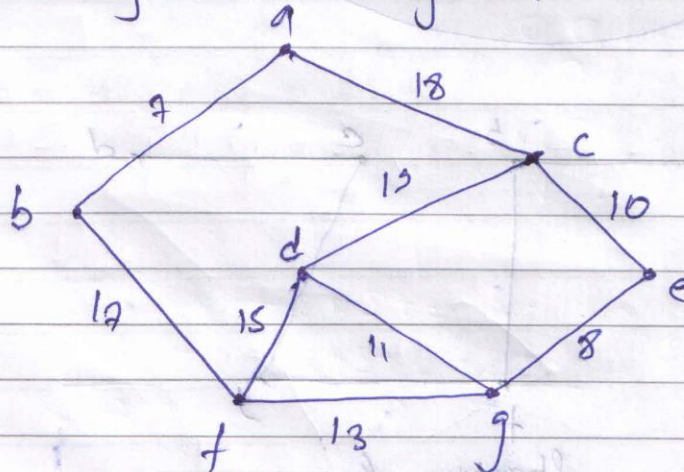
Spanning tree

Minimum spanning tree:



Kruskal's Algorithm:-

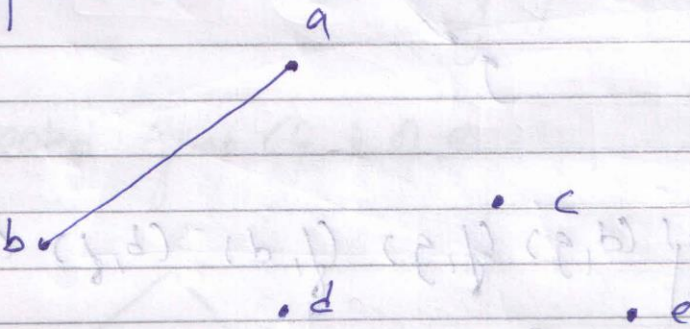
# Find the minimum spanning tree of the following graph using Kruskal's Algorithm.



Arranging the edges in ascending order with respect to their weight.

{ (a,b), (e,g), (c,e), (d,g), (f,g),  
(f,d), (b,f), (a,c), (c,d) }

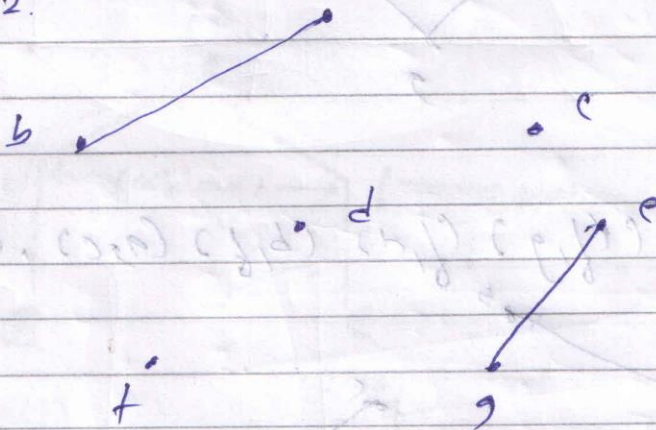
Step 1:



f g

Selecting minimum edge from the set, E.

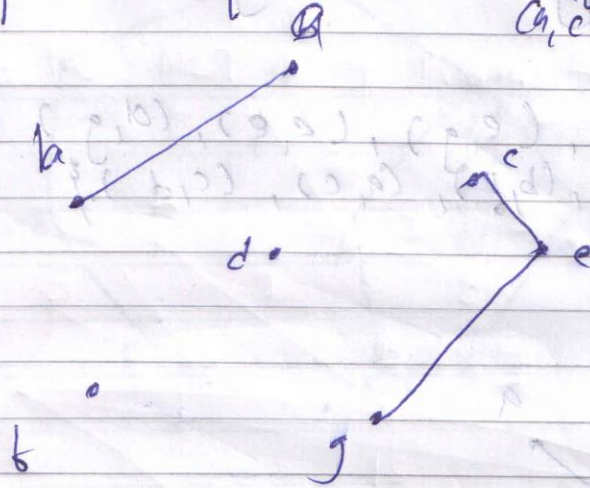
Step 2:



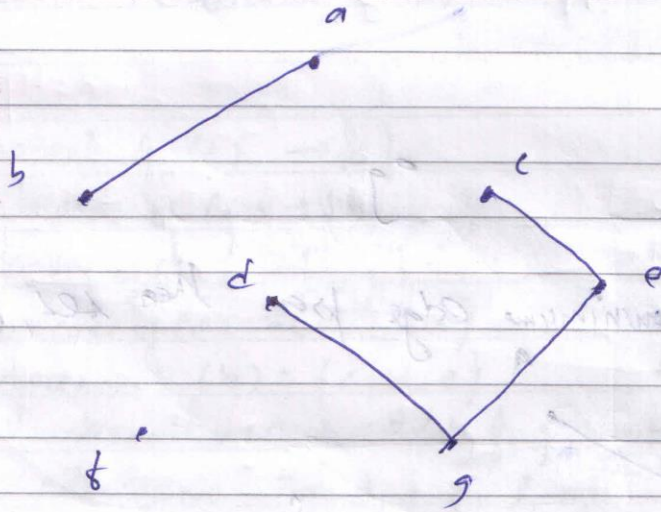
E = { (e,g), (c,e), (d,g), (f,g), (f,d), (b,f), (a,c), (c,d) }



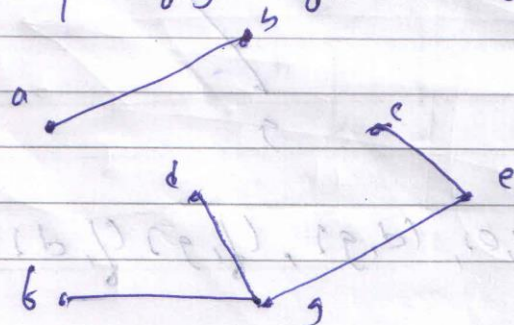
Step 3:  $T_3 = \{(c, e), (d, g), (f, g), (f, d), (b, f), (a, c), (c, d)\}$



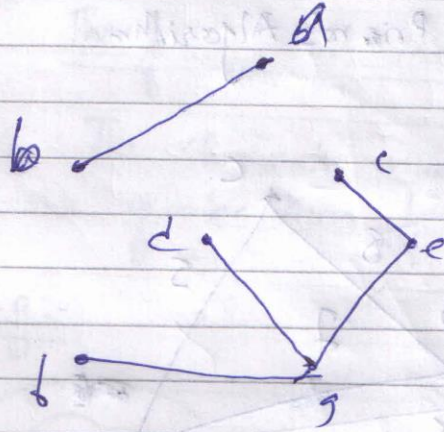
Step 4:  $T_4 = \{(d, g), (f, g), (f, d), (b, f), (a, c), (c, d)\}$



Step 5:  $T_5 = \{(f, g), (f, d), (b, f), (a, c), (c, d)\}$



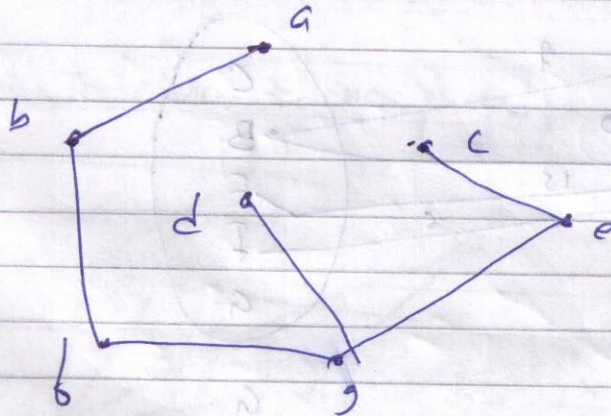
Step 6:  $E = \{(f, d), (b, f), (a, c), (c, d)\}$



Discarding  $(f, d)$  as it forms a circuit.

Step 7:

$E = \{(b, f), (a, c), (c, d)\}$



Step 8:  $E = \{(a, c), (c, d)\}$

Discarding both  $(a, c)$  &  $(c, d)$  as it forms circuit.

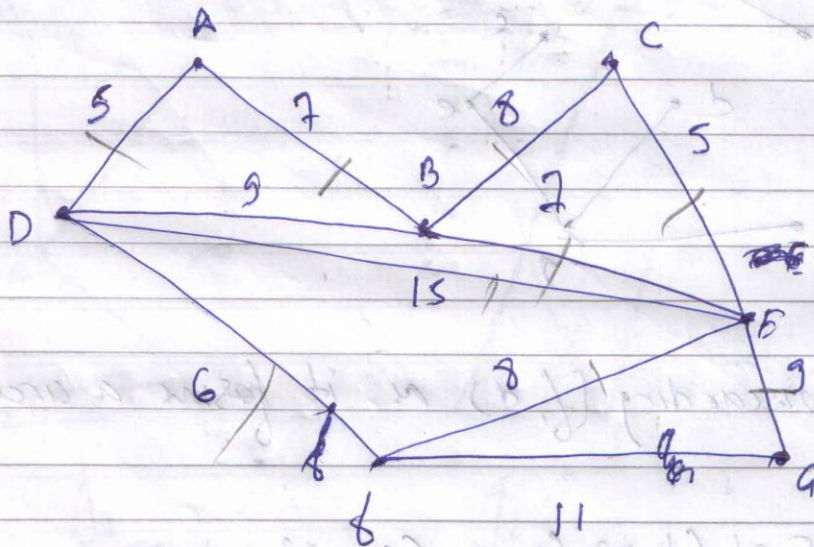
$\therefore$

$$\begin{aligned} \text{MST} &= 7 + 17 + 13 + 8 + 10 + 11 \\ &= 66 \end{aligned}$$

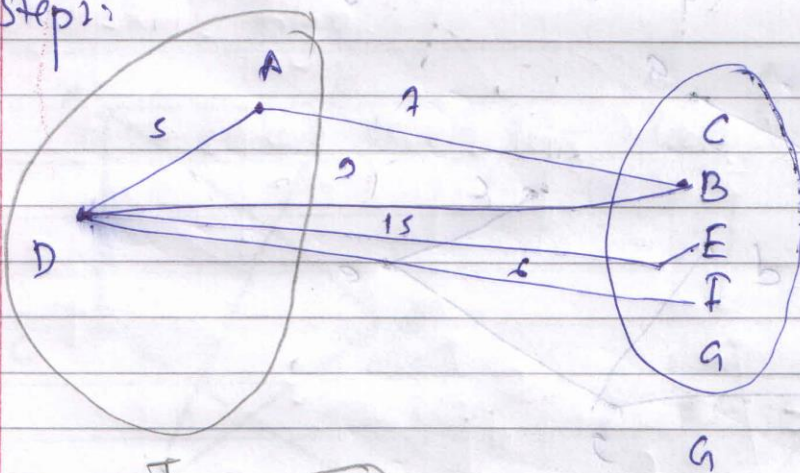
# Graph Cutting Algorithm

Priem's Algorithm (~~cutting graph~~)

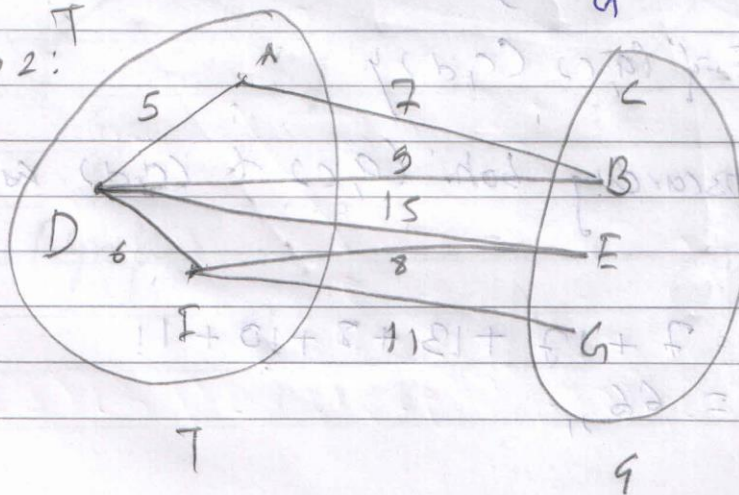
# Find the MST using Priem's Algorithm



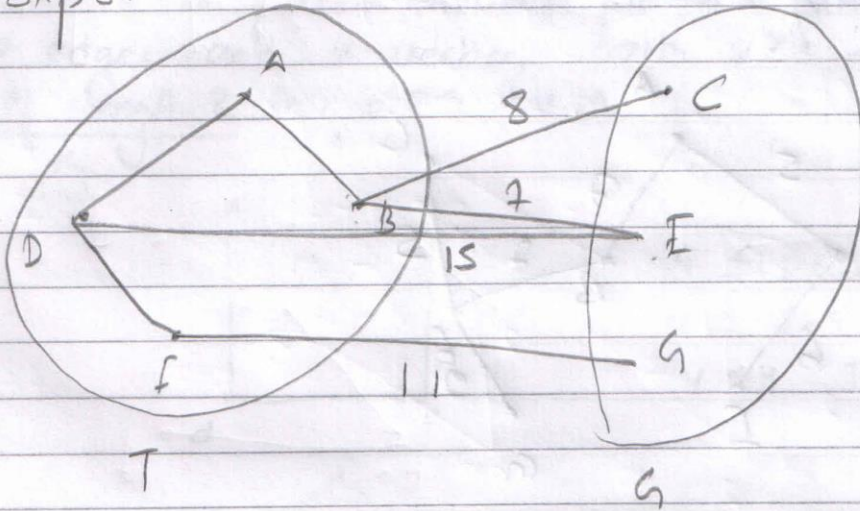
Step 1:



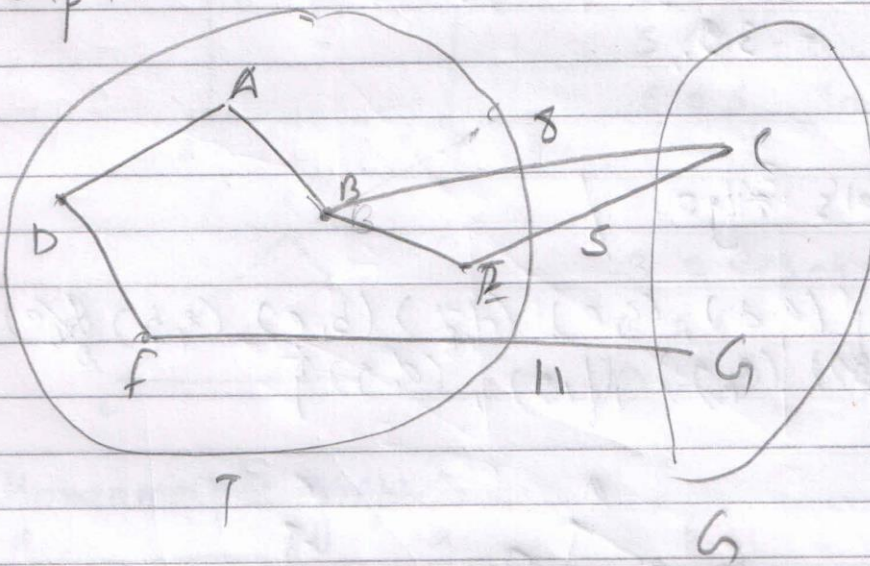
Step 2:



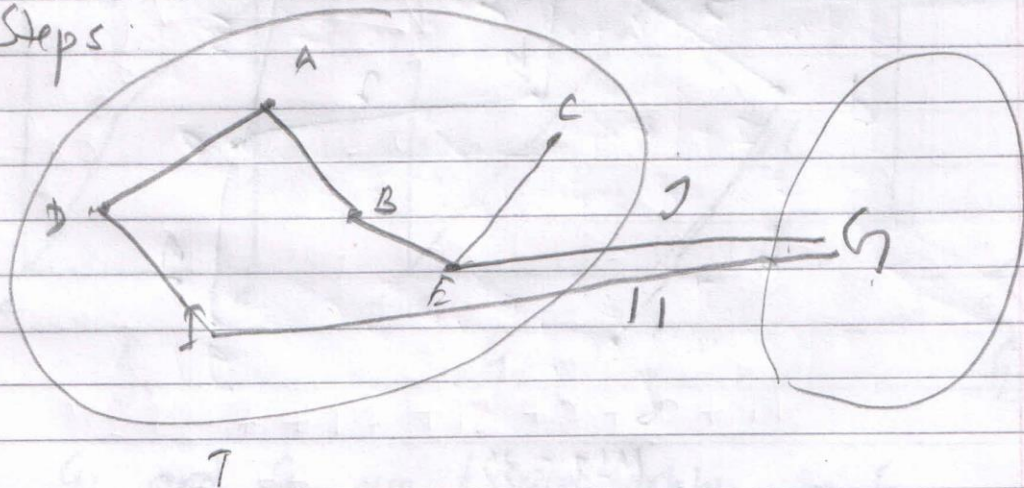
Step 3:



Step 4:

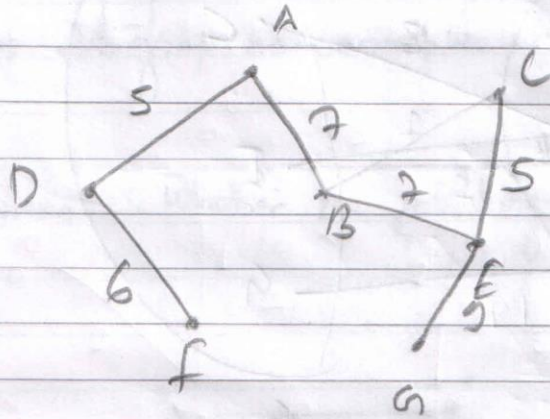


Steps:



Step 6:

∴ The

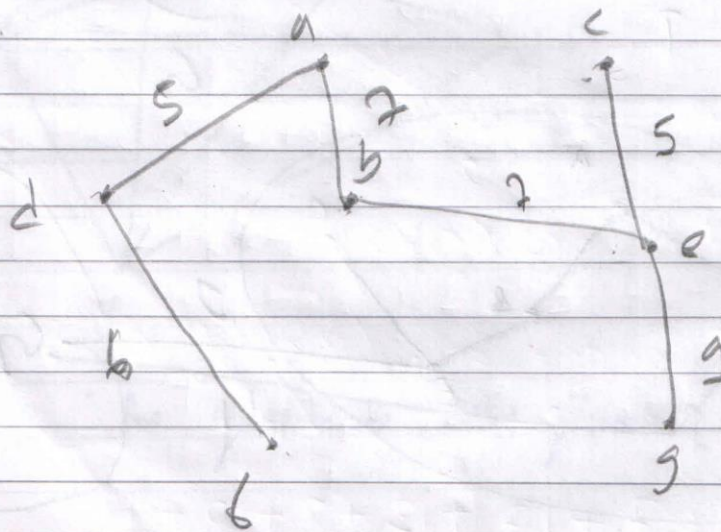


$$\begin{aligned} \text{MST} &= 5 + 6 + 7 + 7 + 9 + 5 \\ &= 39 \end{aligned}$$

Kruskal's Algo. /

$$E = \{ (a,d), (c,e), (d,f), (b,e), (a,b), (e), (b/e), (d,b), (e,g), (f,g), (d,g) \}$$

Step 1:

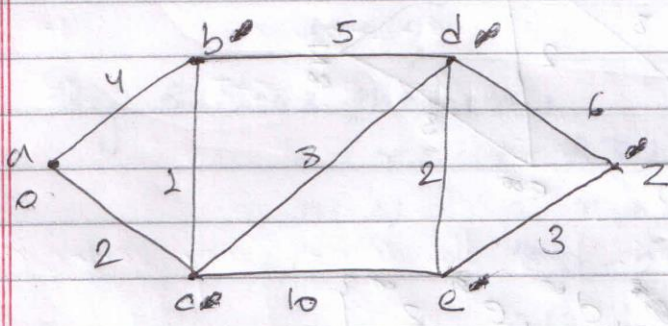


$$\text{MST} = 39$$

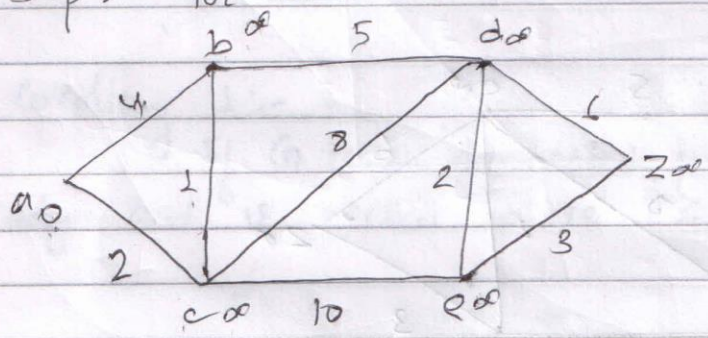
Step 1:

Shortest path Algorithm:-

Dijkstra's Algorithm,



Step 1: For value vertex a:-

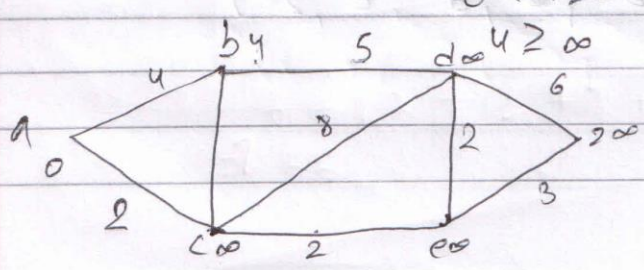


$$T = \{b, c, d, e, z\}$$

Choosing minimum distance value.

Step 2:-

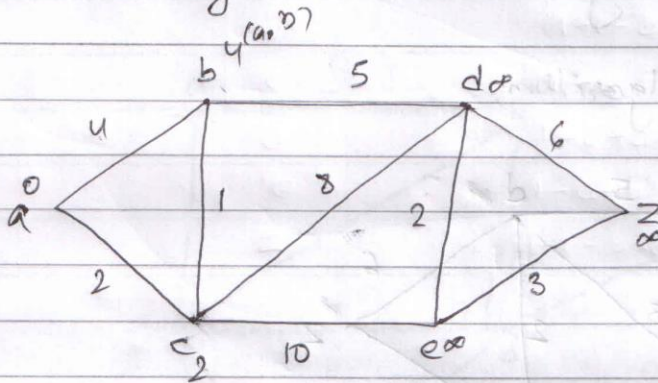
value of a + distance of edge  $\geq \infty$   
 $0 + 4 \geq \infty$



$$T = \{c, d, e, z\}$$

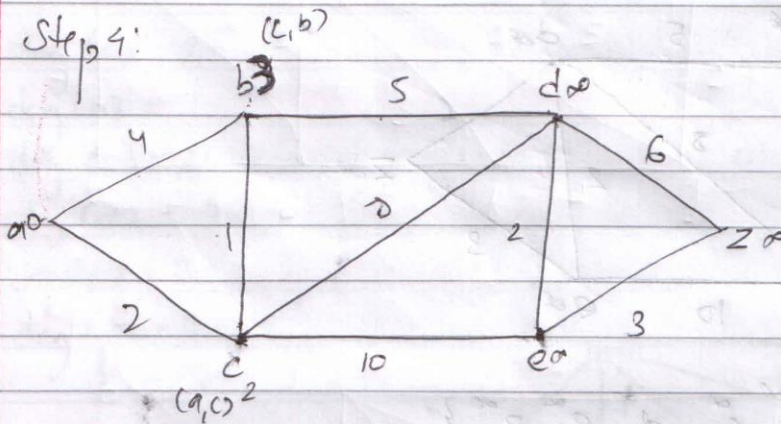
$$T = \{b^4, c^\infty, d^\infty, e^\infty, z^\infty\}$$

Step 3: choosing min distance value.



$$T = \{b^4, \text{edge}, d^\infty, e^\infty, z^\infty\}$$

Step 4:



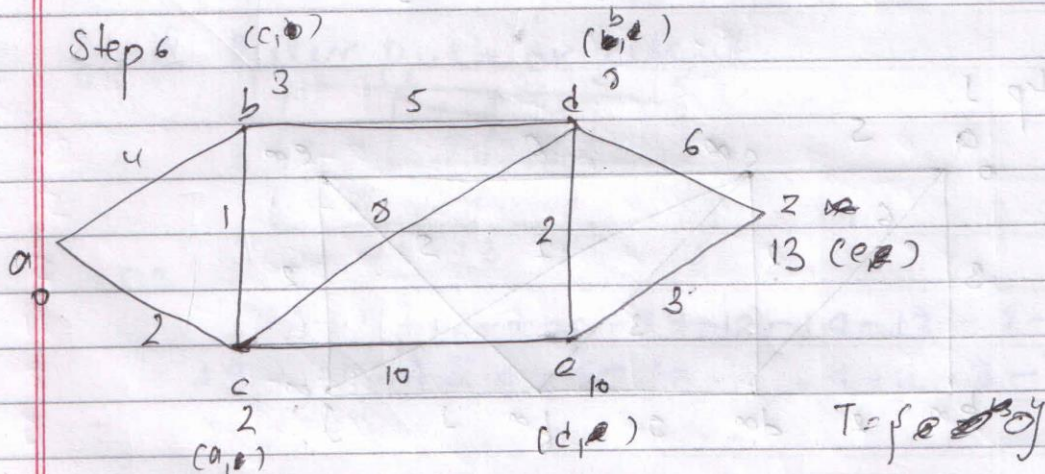
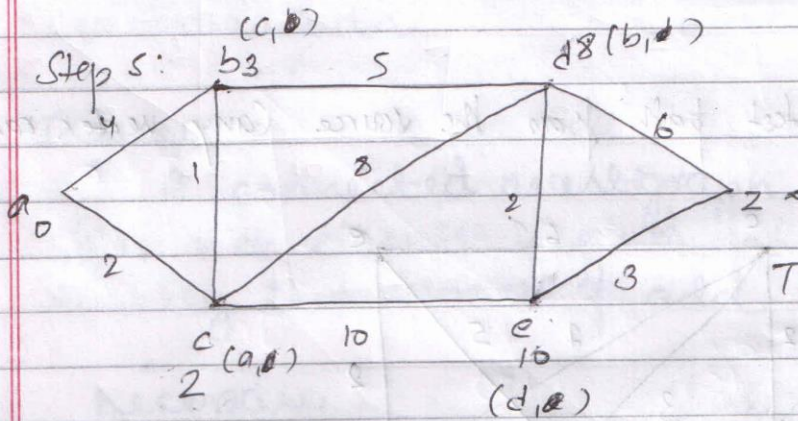
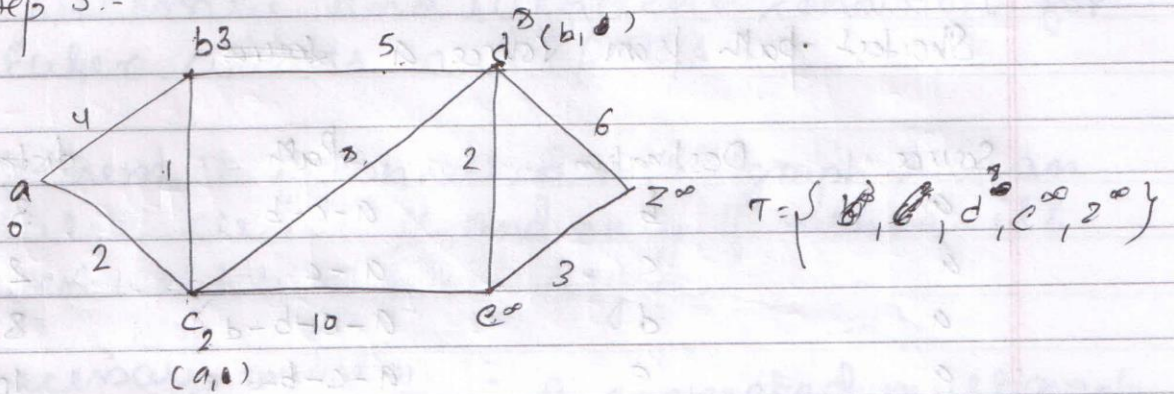
distance of c + wt. of edge  $\leq$  distance of b.

$$2 + 1 \leq 4$$

$\therefore$  distance of b is 3

$$T = \{b^3, \text{edge}, d^\infty, e^\infty, z^\infty\}$$

Step 5:- (c, b)

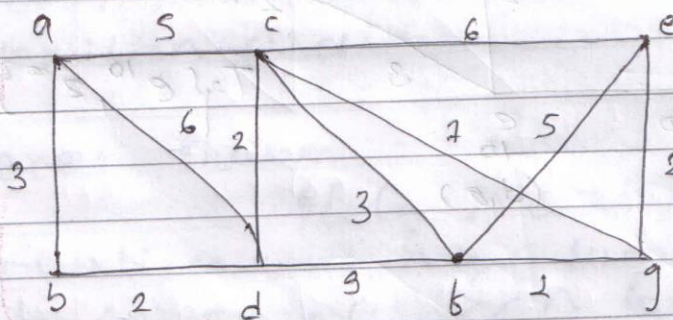




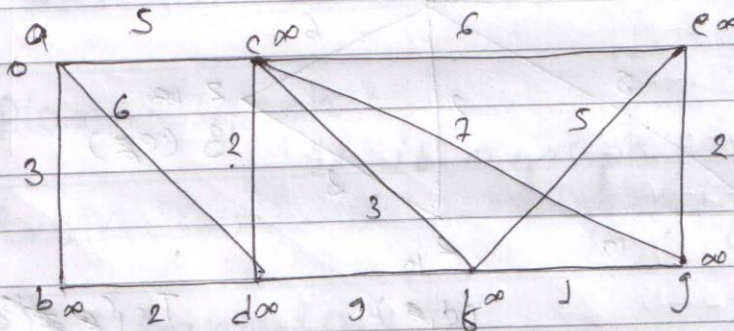
Shortest path from source a ~~to tree~~

| Source | Destination | Path        | Distance |
|--------|-------------|-------------|----------|
| a      | b           | a-c-b       | 3        |
| a      | c           | a-c         | 2        |
| a      | d           | a-c-b-d     | 8        |
| a      | e           | a-c-b-d-e   | 10       |
| a      | z           | a-c-b-d-e-z | 13       |

# Find the shortest path from the source (any vertex randomly)

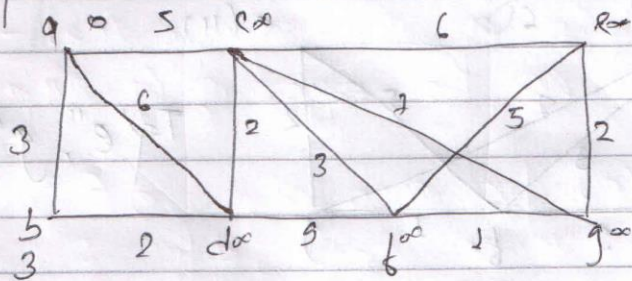


Step 1.



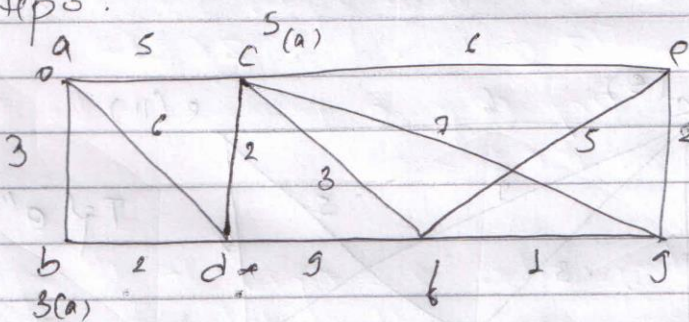
$$T = \{a^0, b^\infty, c^\infty, d^\infty, e^\infty, f^\infty, g^\infty\}$$

Step 2:



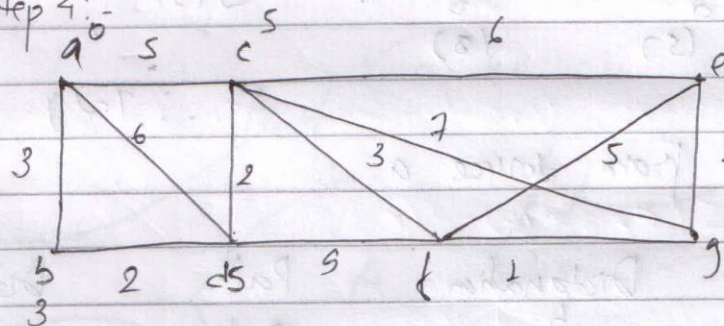
$$T = \{b^3, c^{\infty}, d^{\infty}, e^{\infty}, f^{\infty}, g^{\infty}\}$$

Step 3:



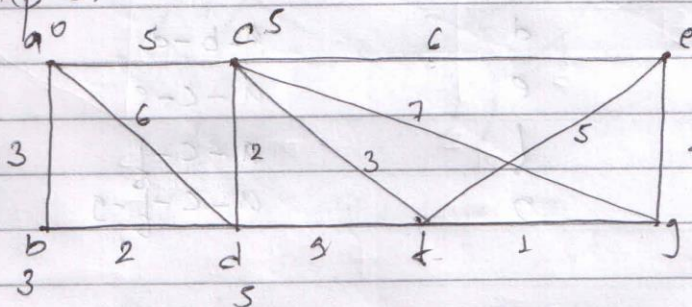
$$T = \{c^5, d^{\infty}, e^{\infty}, f^{\infty}, g^{\infty}\}$$

Step 4:



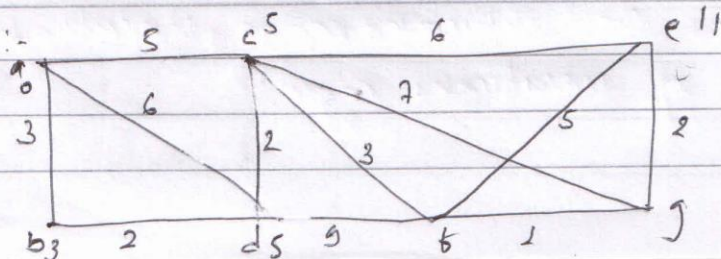
$$T = \{c^S, d^S, e^{\infty}, f^{\infty}, g^{\infty}\}$$

Step 5:



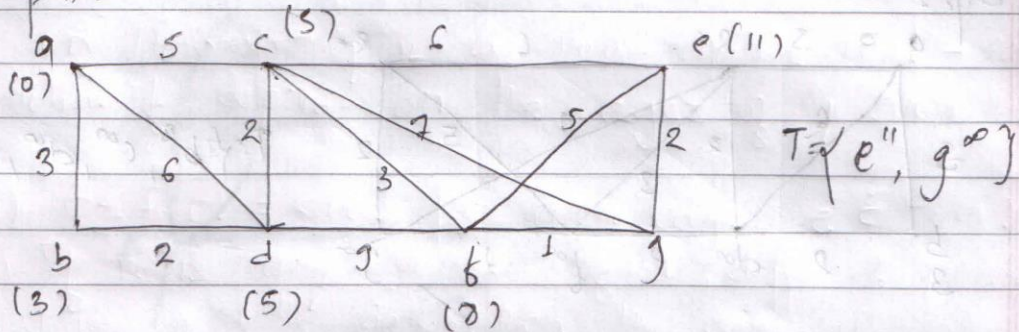
$$T = \{c^S, e^{\infty}, f^{\infty}, g^{\infty}\}$$

Step 6:

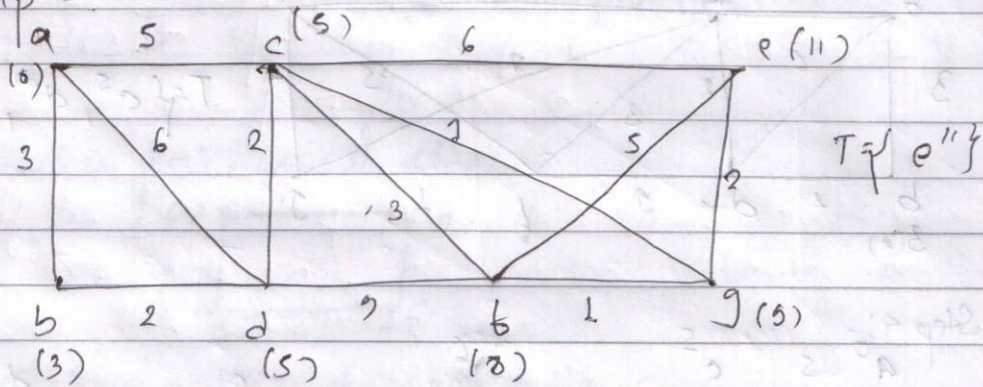


$$T = \{e^{\infty}, f^{\infty}, g^{\infty}\}$$

Step 7:

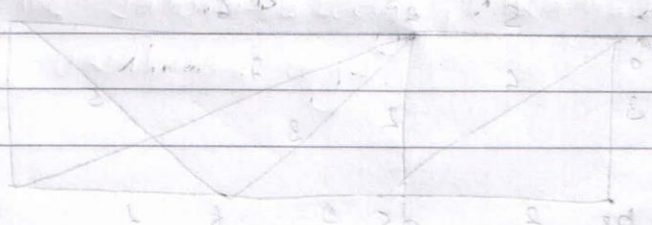


Step 8:



Shortest path from source a

| Source | Destination | Path    | Distance |
|--------|-------------|---------|----------|
| a      | b           | a-b     | 3        |
| a      | c           | a-c     | 5        |
| a      | d           | a-b-d   | 5        |
| a      | e           | a-c-e   | 11       |
| a      | f           | a-c-f   | 8        |
| a      | g           | a-c-f-g | 9        |



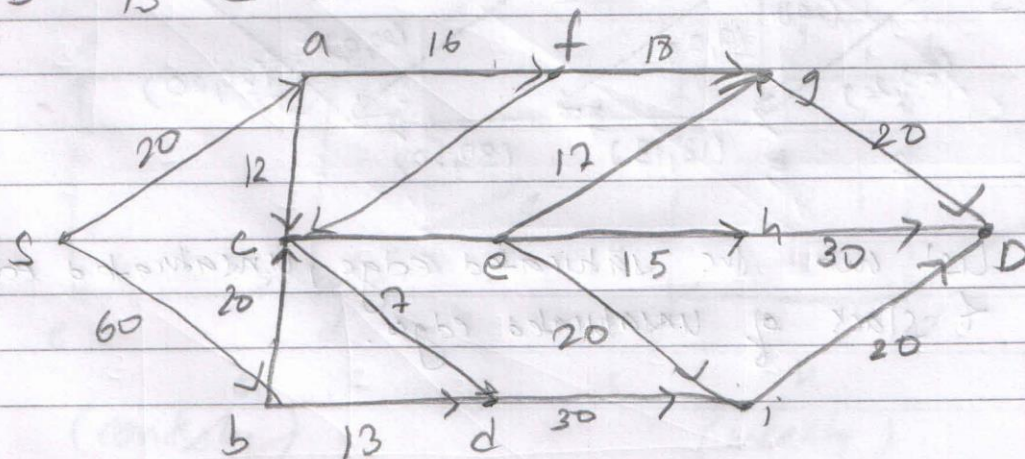
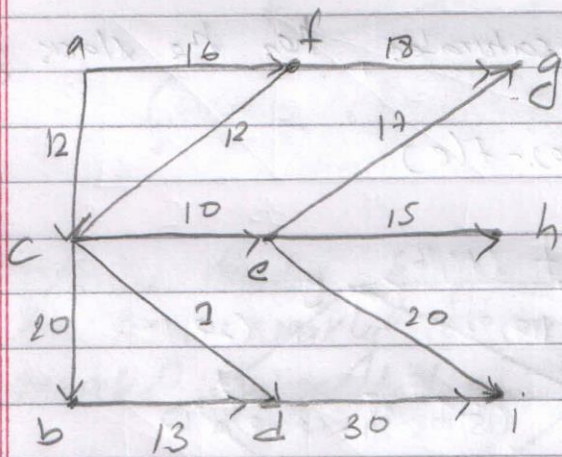
## Network flow graph

A directed graph 'G' that is weakly connected and contains no loops, is called network ~~flow~~ graph.

1. There are two distinguished vertices  $s$  &  $D$  of  $G$ , called source and sink of  $G$  respectively.
2. There is a non-negative real-valued function  $k$  defined on the edge of  $G$ .

→ the function  $k$  is called the capacity function of  $G$  and if  $e$  is any element edge of  $G$ , the value  $k(e)$  is called the capacity of  $e$ .

→ the vertices distinct from source  $s$  & the sink  $D$  are called intermediate vertices.



Flow:-

A flow in  $G$  is a non-negative real-valued function  $f$  defined on the edges of  $G$  such that

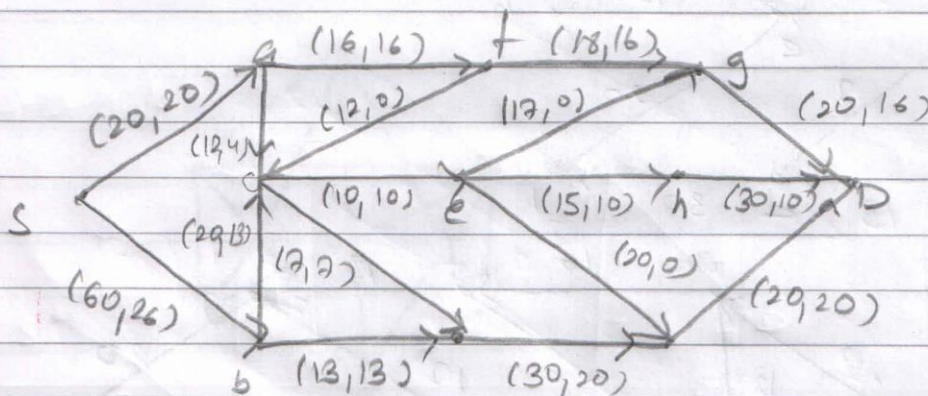
- (i)  $0 \leq f(e) \leq k(e)$  for each edge  $e \in E(G)$ .
- (ii) If  $x$  is any vertex of  $G$ , different from the source or the sink, then the sum of all values  $f(x, y)$  such that  $y \in A(x)$  must equal the sum of all values  $f(z, x)$  such that  $z \in B(x)$ .
- (iii)  $f(e) = 0$  for any edge  $e$  incident to the source  $s$  or incident from the sink  $t$ .

→ If the flow along edges are equal to its capacity, then such edges are called saturated edges & if not then unsaturated edges.

→ If an edge is unsaturated, then the slack of  $e$  in a flow  $f$  is

$$s(e) = k(e) - f(e)$$

Eg:



List out the saturated edge, unsaturated edges & slack of unsaturated edges.

Solution:-

Saturated edges:-  $(s \rightarrow a)$

$(a \rightarrow f)$

$(c \rightarrow e)$

$(i \rightarrow D)$

$(b \rightarrow d)$

$(c \rightarrow d)$

Unsaturated edges :-  $(s \rightarrow b)$   $(e \rightarrow j)$

$(a \rightarrow c)$   $(e \rightarrow h)$

$(c \rightarrow b)$   $(h \rightarrow D)$

$(f \rightarrow c)$   $(g \rightarrow D)$

$(d \rightarrow i)$

$(e \rightarrow i)$

$(f \rightarrow j)$

$$\text{Slack of } (60, 26) = k(e) - f(e)$$

$$= 60 - 26$$

$$= 34$$

$$\text{Slack of } (12, 4) = k(e) - f(e)$$

$$= 12 - 4$$

$$= 8$$

$$\text{Slack of } (20, 13) = k(e) - f(e)$$

$$= 20 - 13$$

$$= 7$$

$$\begin{aligned} \text{slack of } (12, 0) &= k(e) - f(e) \\ &= 12 - 0 \\ &= 12 \end{aligned}$$

$$\begin{aligned} \text{slack of } (30, 20) &= k(e) - f(e) \\ &= 30 - 20 \\ &= 10 \end{aligned}$$

$$\text{slack of } (18, 16) = 2$$

$$\text{slack of } (17, 0) = 17$$

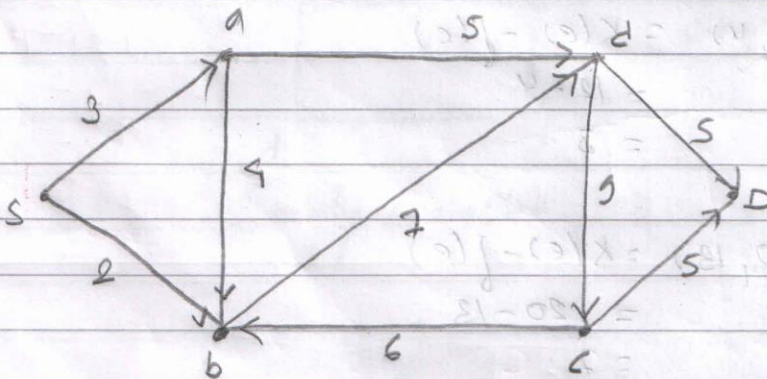
$$\text{slack of } (15, 10) = 5$$

$$\text{slack of } (20, 0) = 20$$

$$\text{slack of } (20, 16) = 4$$

$$\text{slack of } (30, 10) = 20$$

S-D cube



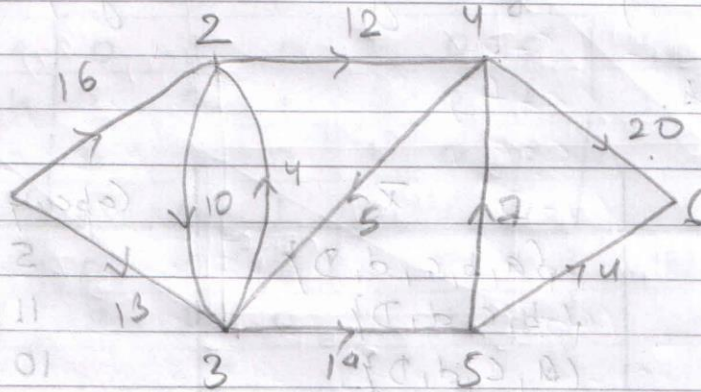
# Determine the max. flow of the given network graph.

Possible S-D cuts.

| X                   | $\bar{X}$           | Capacity of $(X, \bar{X})$ |
|---------------------|---------------------|----------------------------|
| $\{S\}$             | $\{a, b, c, d, D\}$ | 5                          |
| $\{S, a\}$          | $\{b, c, d, D\}$    | 11                         |
| $\{S, b\}$          | $\{a, c, d, D\}$    | 10                         |
| $\{S, c\}$          | $\{a, b, d, D\}$    | 16                         |
| $\{S, d\}$          | $\{a, b, c, D\}$    | 19                         |
| $\{S, a, b\}$       | $\{c, d, D\}$       | <del>12</del> 12           |
| $\{S, a, c\}$       | $\{b, d, D\}$       | 22                         |
| $\{S, b, d\}$       | $\{a, c, D\}$       | <del>17</del> 17           |
| $\{S, b, c\}$       | $\{a, d, D\}$       | <del>15</del> 15           |
| $\{S, a, d\}$       | $\{b, c, D\}$       | <del>20</del> 20           |
| $\{S, a, b, c\}$    | $\{d, D\}$          | <del>17</del> 17           |
| $\{S, c, d\}$       | $\{a, b, D\}$       | 15 21                      |
| $\{S, b, c, d\}$    | $\{a, D\}$          | <del>13</del> 13           |
| $\{S, a, c, d\}$    | $\{b, D\}$          | 22                         |
| $\{S, a, b, d\}$    | $\{c, D\}$          | 14                         |
| $\{S, a, b, c, d\}$ | $\{D\}$             | 10                         |

min capacity is the maximal flow = 5





| $X$                 | $\bar{X}$           | Capacity $(x, \bar{x})$                      |
|---------------------|---------------------|--|
| $\{1\}$             | $\{2, 3, 4, 5, 6\}$ | 29   |
| $\{1, 2\}$          | $\{3, 4, 5, 6\}$    | <del>23</del> 35                             |
| $\{1, 3\}$          | $\{2, 4, 5, 6\}$    | <del>21</del> 35 34                          |
| $\{1, 4\}$          | $\{2, 3, 5, 6\}$    | 54   |
| $\{1, 5\}$          | $\{2, 3, 4, 6\}$    | 40   |
| $\{1, 2, 3\}$       | $\{4, 5, 6\}$       | 26   |
| $\{1, 2, 4\}$       | $\{3, 5, 6\}$       | <del>84</del> 48                             |
| $\{1, 2, 5\}$       | $\{3, 4, 6\}$       | 46   |
| $\{1, 3, 4\}$       | $\{2, 5, 6\}$       | <del>40</del> 54                             |
| $\{1, 3, 5\}$       | $\{2, 4, 6\}$       | 31   |
| $\{1, 4, 5\}$       | $\{2, 3, 6\}$       | 58   |
| $\{1, 2, 3, 4\}$    | $\{5, 6\}$          | 34   |
| $\{1, 2, 4, 5\}$    | $\{3, 6\}$          | <del>33</del> <del>23</del> <del>33</del> 52 |
| $\{1, 3, 4, 5\}$    | $\{2, 6\}$          | 44   |
| $\{1, 2, 3, 5\}$    | $\{4, 6\}$          | 23   |
| $\{1, 2, 3, 4, 5\}$ | $\{6\}$             | 24   |

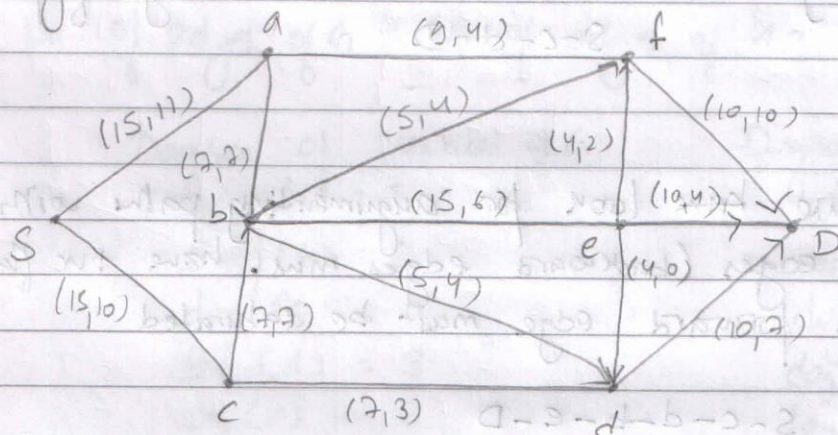
min  
max cap = 24

## Max-flow and min-cut theorem

This theorem asserts the following :-

1. The existence of a minimal cut  $(X, \bar{X})$ .
2. The existence of a maximal flow  $F$ ,
3. The equality of  $|F|$  and  $K(X, \bar{X})$  for any maximal flow  $F$  and any minimal cut  $(X, \bar{X})$

Eg: 4



→ First of all look for an aug augmenting path where if possible all edges are forward edges & they have to be unsaturated.

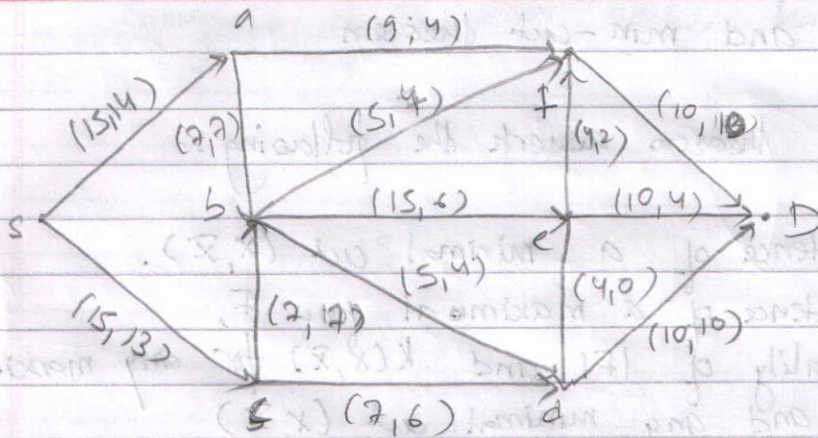
Path  $P_1$  :- S-c-d-D.

Now, calculating the slack of each edge of the path  $P_1$ .

slack of (S-c) :- 5

" " (c-d) :- 4

" " (d-D) :- 3



Adding min. slack i.e. 3 at the edges of path  
S-c-d-D

Second we have look for augmenting paths with some backward edges (backward edges must have +ve flow & of course forward edge must be saturated).

Path P1  $\Rightarrow$  s-c-d-b-e-D

Path P2  $\Rightarrow$  s-a-f-e-D

Path P3  $\Rightarrow$  s-a-f-b-e-D

Now choosing Path P1  $\Rightarrow$  s-c-d-b-e-D

slack (s,c) :- 2

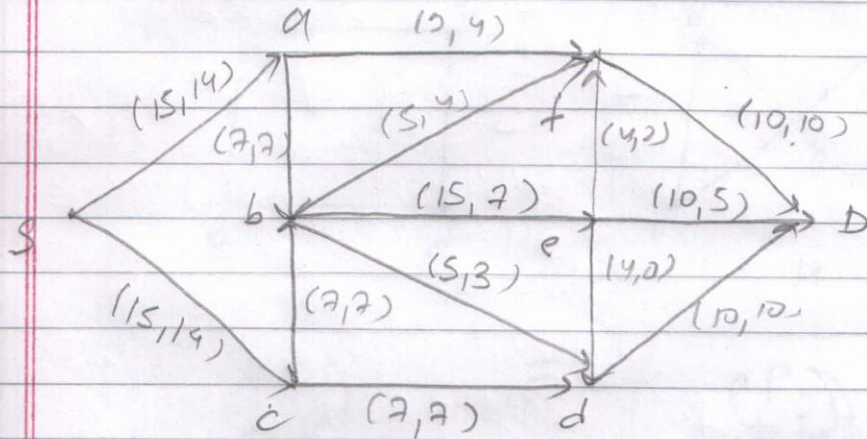
(c,d) :- 1

(d,b) :- 1

(b,e) :- 9

(e,D) :- 6

Now increasing the flow of edges by minimum slack and decreasing the flow of backward edges by minimum slack



Again taking path PII: S-a-f-e-D.

Slack of (S-a) = 1

" , (a-f) = 5

(f-e) = 2

(e-D) = 5

Again increasing the flow of edges by min. slack & decreasing the flow of backward edges by min. slack.

